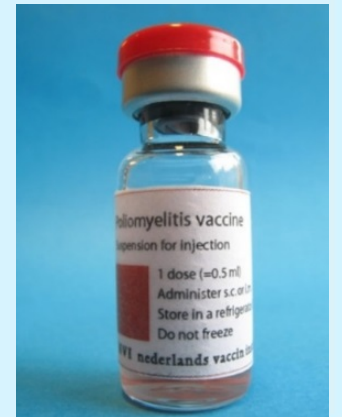


# Polio Eradicators Use Integrated Analytical Models to Make Better Decisions

Kimberly M. Thompson, Radboud J. Duintjer Tebbens, Mark A. Pallansch,  
Steven G.F. Wassilak, and Stephen L. Cochi

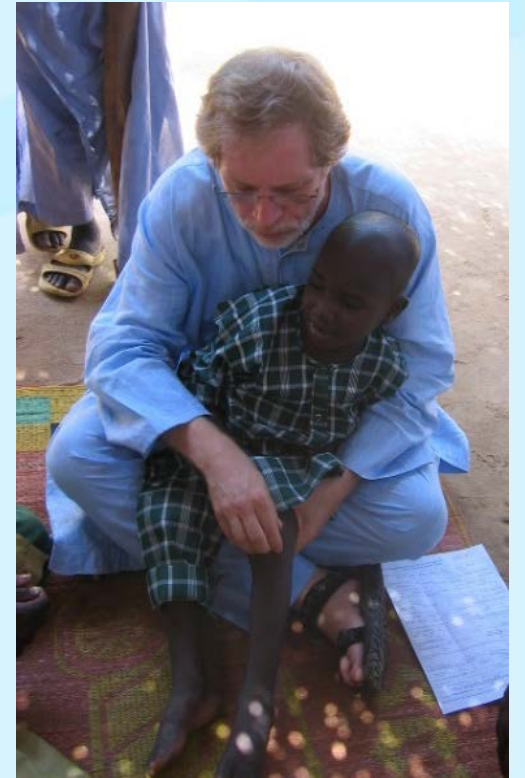
2014 Edelman Award  
March 31, 2014



Department of Health and Human Services  
Centers for Disease Control and Prevention

# ORGANIZATIONAL OVERVIEW

Dr. Steven G. F. Wassilak  
CDC Team Lead for Science, Innovation and Research for the  
Polio Response, Emergency Operations Center



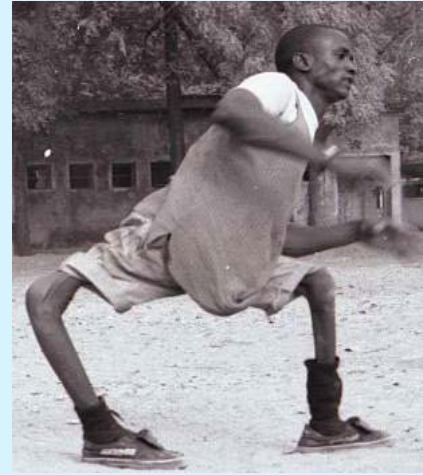
## Poliovirus

- 3 serotypes (types 1, 2, and 3)
- Approximately 1/200 infections lead to paralysis





## Polio: A paralyzing disease for life



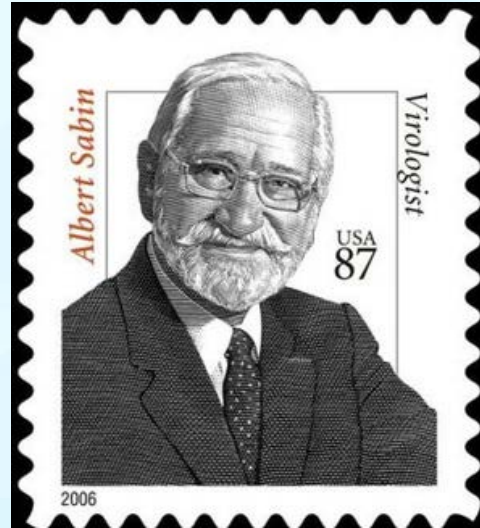


**Rancho Los Amigos Rehabilitation Hospital, California  
Iron lungs, 1953 (prior to vaccine introduction)**



# Vaccines

- Introduction in the 1950s and 1960s
- Opportunity to manage risks

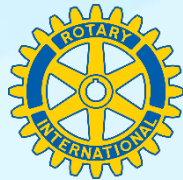


## Polio eradication

- Polio transmission in the US stopped in the 1970s
- The last polio case in the American Region occurred in 1991
- 1988 World Health Assembly resolved to eradicate wild polioviruses by 2000
- Global Polio Eradication Initiative (GPEI) launched

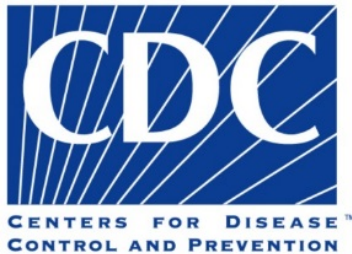


World Health  
Organization



## Role of CDC in the GPEI

- Primary technical partner
- Funding support





## GPEI key operational strategies



**1. Routine Immunization**



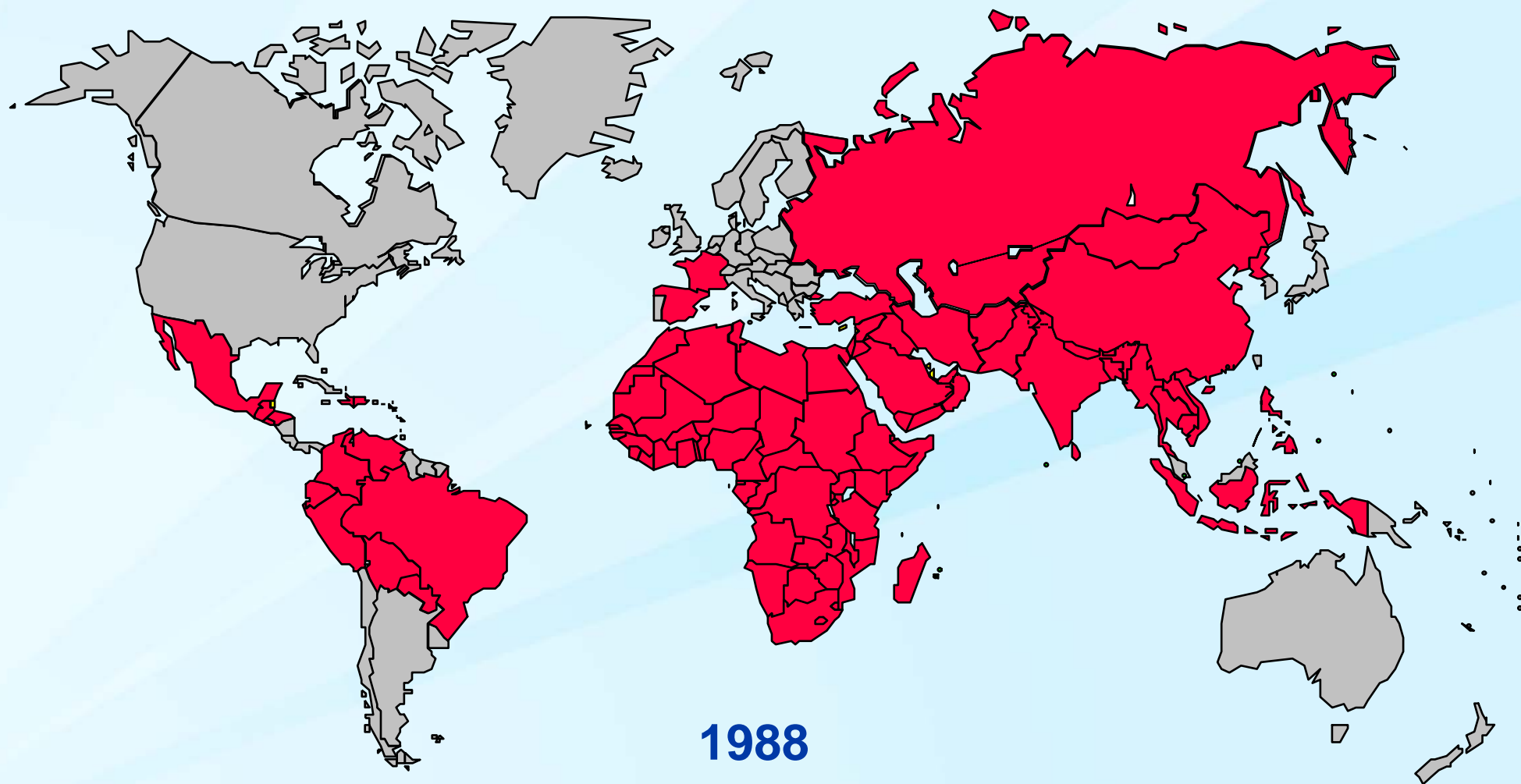
**2. Supplemental Immunization Activities (SIAs or mass campaigns)**



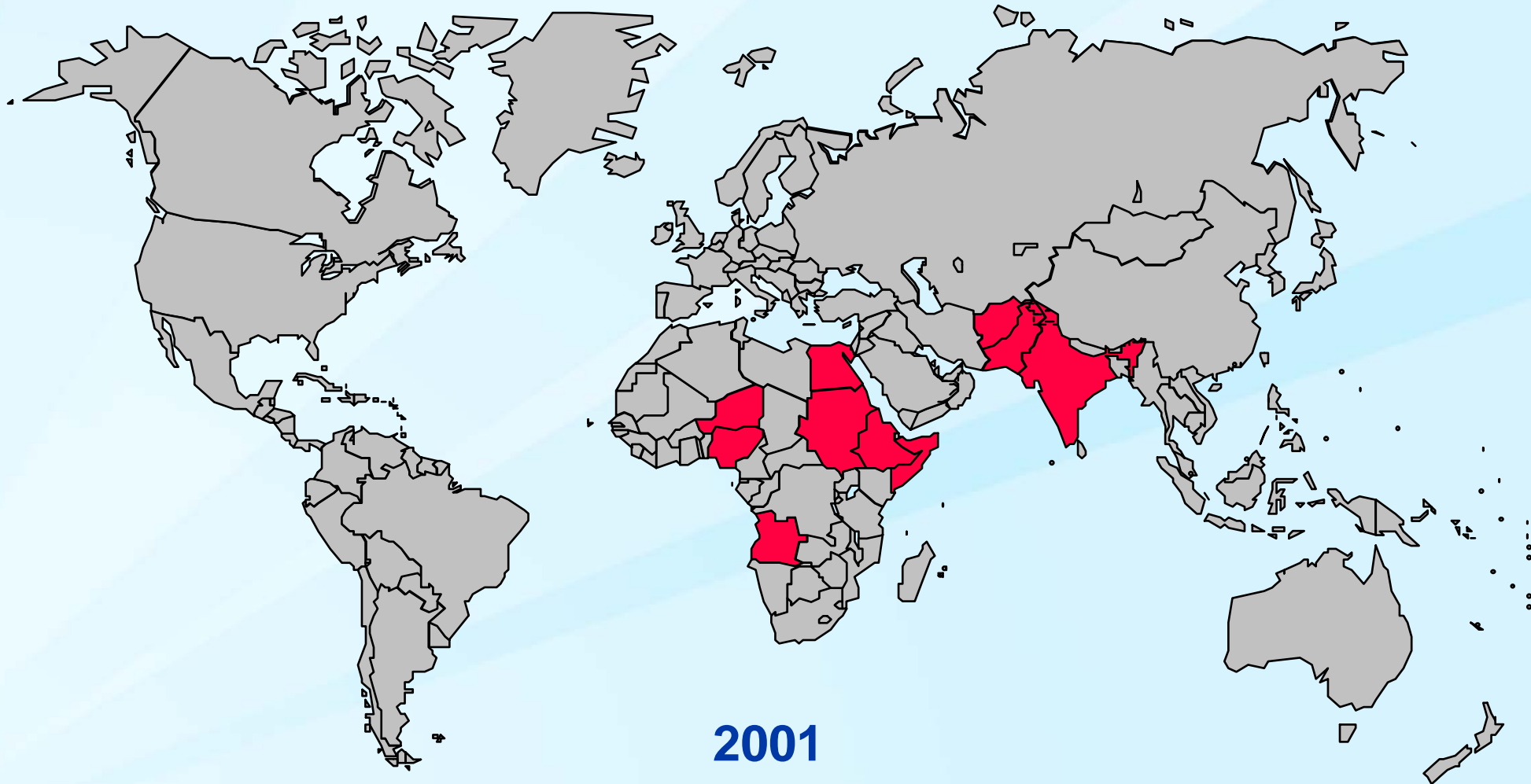
**3. Surveillance**



**GPEI launch: >125 polio-affected countries**

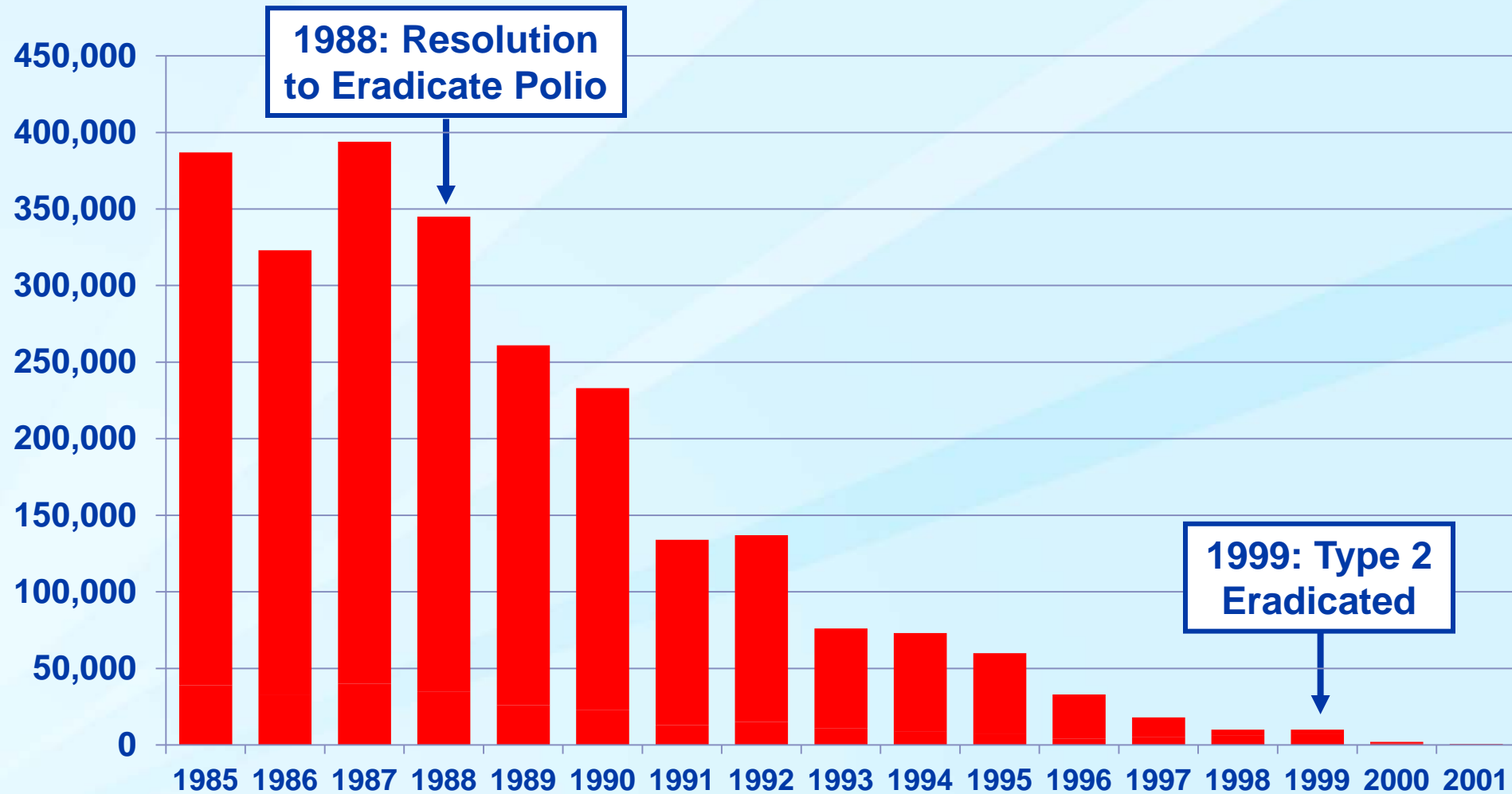


**Original target date: 10 polio-affected countries**





## Estimated polio cases: 1988-2001



Source: WHO/Polio database, data as of March 2014 for 193 WHO Member States



## Collaboration



- **CDC and Kid Risk, Inc. collaboration started in late 2001**
  - Combine CDC expertise with operations research and management science (OR/MS) tools
  - Model poliovirus transmission and assess the health and economic impacts of policies
- **Goal: Improve evidence-based decision making**
  - Peer-reviewed technical publications
  - Broad and effective communication with stakeholders

# www.kidrisk.org (over 35 publications)

| [Home](#) | [For Kids](#) | [Links](#) | [News](#) | [Research](#) | [Surveys](#)

## Kid Risk, Inc.

*Doing our best for children*

### Better Decisions



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#### Kid Risk, Inc. Officers:

Kimberly M. Thompson, Sc.D.,  
President  
Radboud J. Duintjer Tebbens, Ph.D.,  
Vice President  
Karen G. Tepichin, J.D., Secretary  
Michele Courton Brown, Treasurer

#### Board Members:

Dennis M. Bier, M.D.  
Walter R. Dowdle, Ph.D.  
Rick Hackman  
Marie C. McCormick, M.D., Sc.D.  
Kimberly M. Thompson, Sc.D.

### Research on risk management strategies for polioviruses

Even though polio no longer causes widespread fear, take a couple of minutes to [learn more about polioviruses](#) and why you should still care about them. In 2001, we launched a collaboration with the U.S. Centers of Disease Control and Prevention (CDC) with support from the CDC-Harvard Joint Initiative in Vaccine Economics (JIVE) to create useful analytical modeling tools to help decision makers consider the implications of the various global immunization and risk management choices after eradicating wild polioviruses. Over a decade later, this research collaboration continues to thrive and expand, and we thank many [contributors](#). In addition to many [presentations](#), our polio research led to peer-reviewed publications related to:

- the [decision options](#) that national and international health leaders will face after eradicating wild polioviruses
- dynamically [modeling](#) poliovirus transmission and outbreaks
- the health and financial benefits of [historical poliovirus vaccination in the United States](#)
- [risk management in a polio-free world](#)
- characterization of the [risks](#) of future options
- characterization of the [costs](#) of future options
- trade-offs associated with [outbreak response](#) options
- consideration of the [costs and value of global poliovirus surveillance](#)
- [lessons learned](#) during this collaborative project (as of December 2006)
- the choice of [eradication vs. control](#) (this paper won the 2008 [Jay Wright Forrester Award](#) from the System Dynamics Society)
- the [risks, costs, and benefits of global policies](#) for managing polio after eradication
- [uncertainty and sensitivity analyses](#) of our results related to global post-eradication policies
- the [need for global cooperation on a vaccine stockpile and coordinated OPV cessation](#)
- the role of [system dynamics](#) in our research
- the consequences of [priority shifting when seeking to eradicate multiple diseases](#)
- a framework for optimizing the future use of vaccines from the global polio vaccine [stockpile](#)
- building an ["individual-based" or "agent-based" model](#) to explore and optimize post wild poliovirus eradication outbreak response strategies
- the [economic and health benefits](#) of the Global Polio Eradication Initiative
- trends in the [risk of U.S. polio outbreaks and poliovirus vaccine availability](#) for outbreak response
- the [role of risk analysis](#) in polio eradication
- the probability of [undetected wild poliovirus circulation](#) after apparent global interruption of transmission
- current polio global eradication and control [policy options](#), including perspectives from modeling and prerequisites for oral poliovirus vaccine cessation
- prevention as the new paradigm in [global health](#)
- [modeling poliovirus risks and the legacy of polio eradication](#)
- pre-eradication national vaccine [decision options](#)
- [expert review of the literature](#) on poliovirus immunity and transmission
- poliovirus immunity and transmission [quantitative synthesis of expert assessments of the evidence](#)
- modeling [population immunity](#)
- cVDPV risks and characterization of [OPV evolution](#)
- [characterizing poliovirus transmission and evolution](#) using a model applied to diverse situations
- IPV costs and individual and population immunity considerations for [national immunization policy makers](#) evaluating the adoption of IPV
- supplemental immunization activities (SIAs) and [the role of expanded age groups](#)



**Dr. Bruce Aylward, World Health Organization,  
Assistant Director-General of Polio, Emergencies, and  
Country Collaboration**

**“...This work has been fundamental to so much of what’s happened in the eradication program over the last few years, and it’s helped to support many of our decisions over the last decade and to bring the world much, much closer to one where future generations will never know the terror of this disease.”**

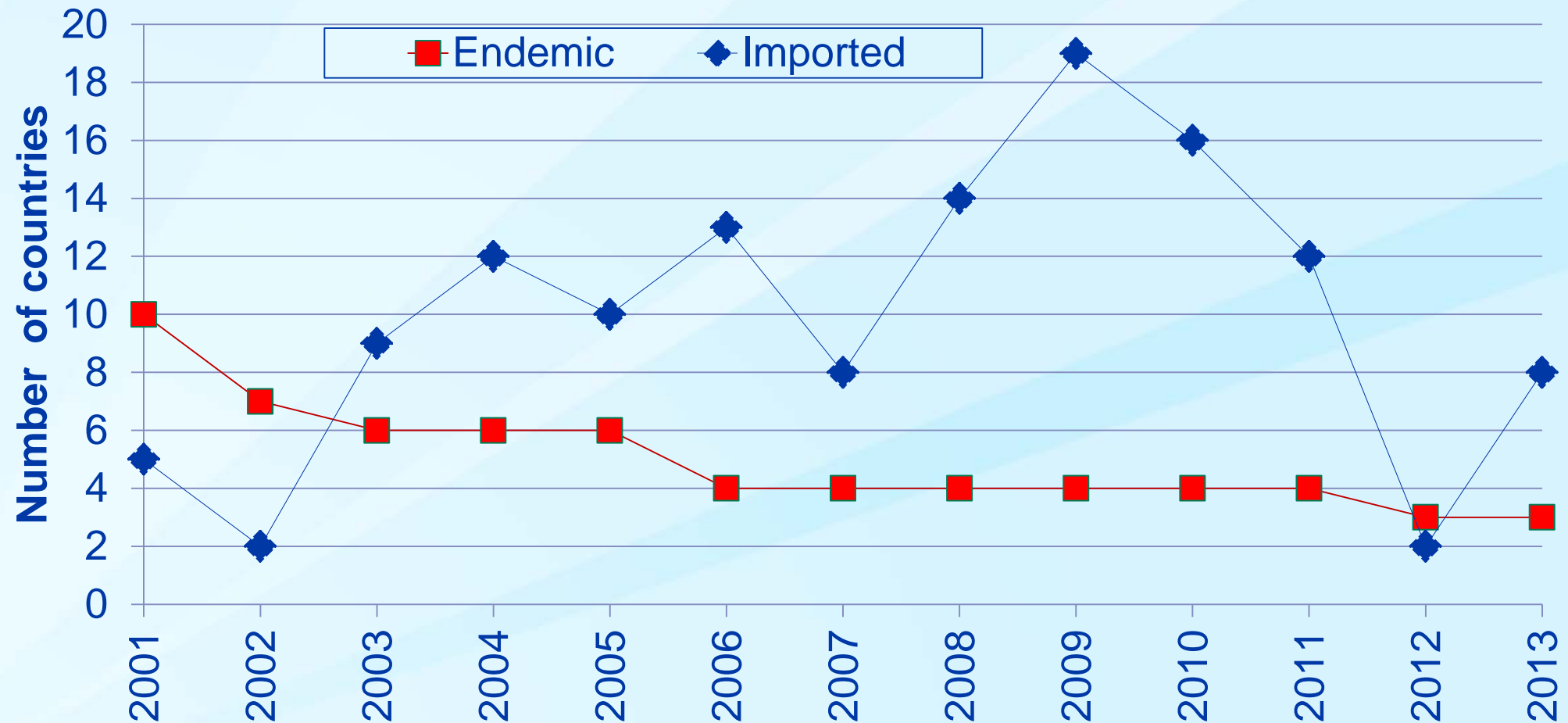


# PROBLEMS AND CHALLENGES

Dr. Mark A. Pallansch  
CDC Director of the Division of Viral Diseases



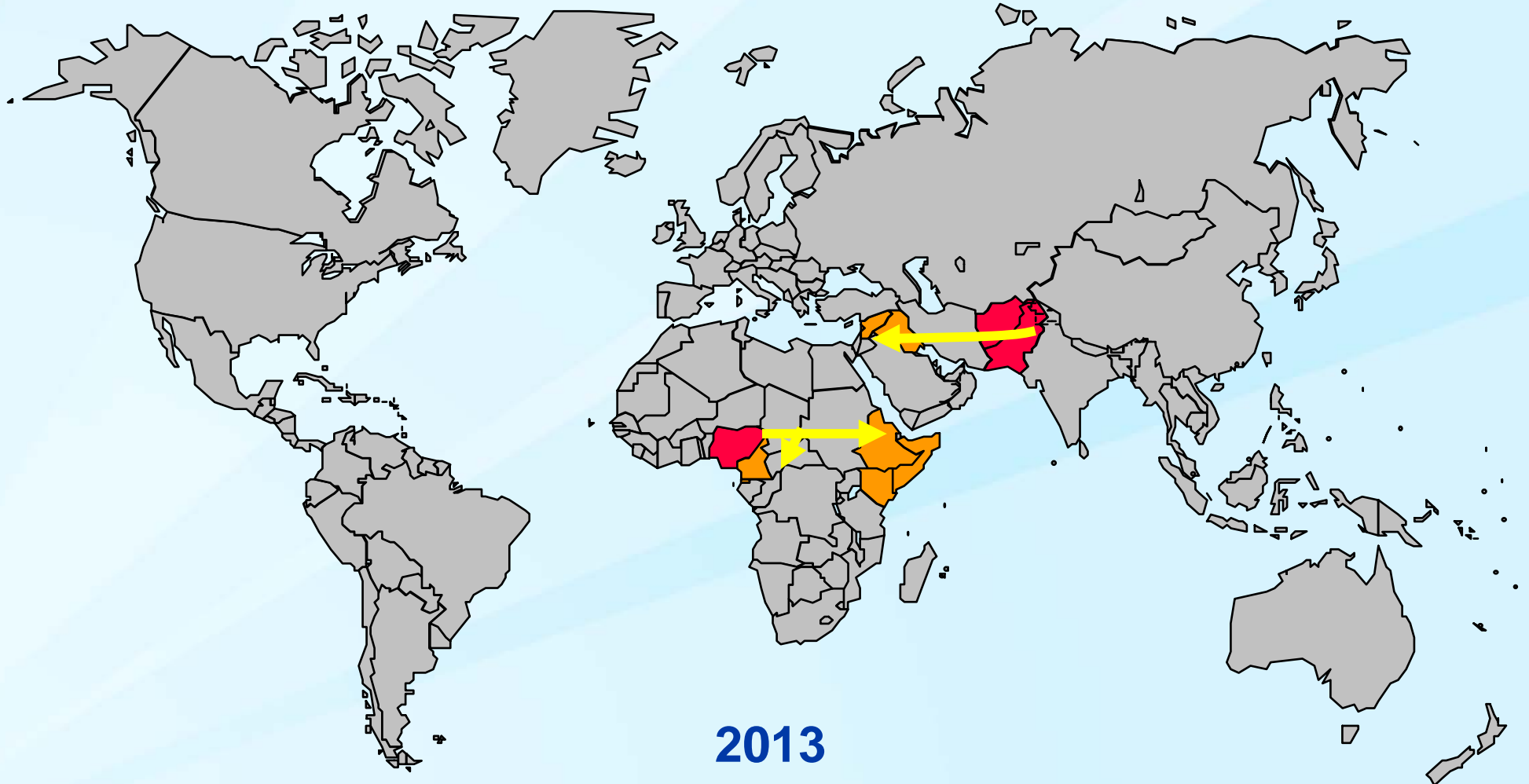
## 2001-2013: 50 countries reporting polio cases,





## Poliovirus spread 2013-4

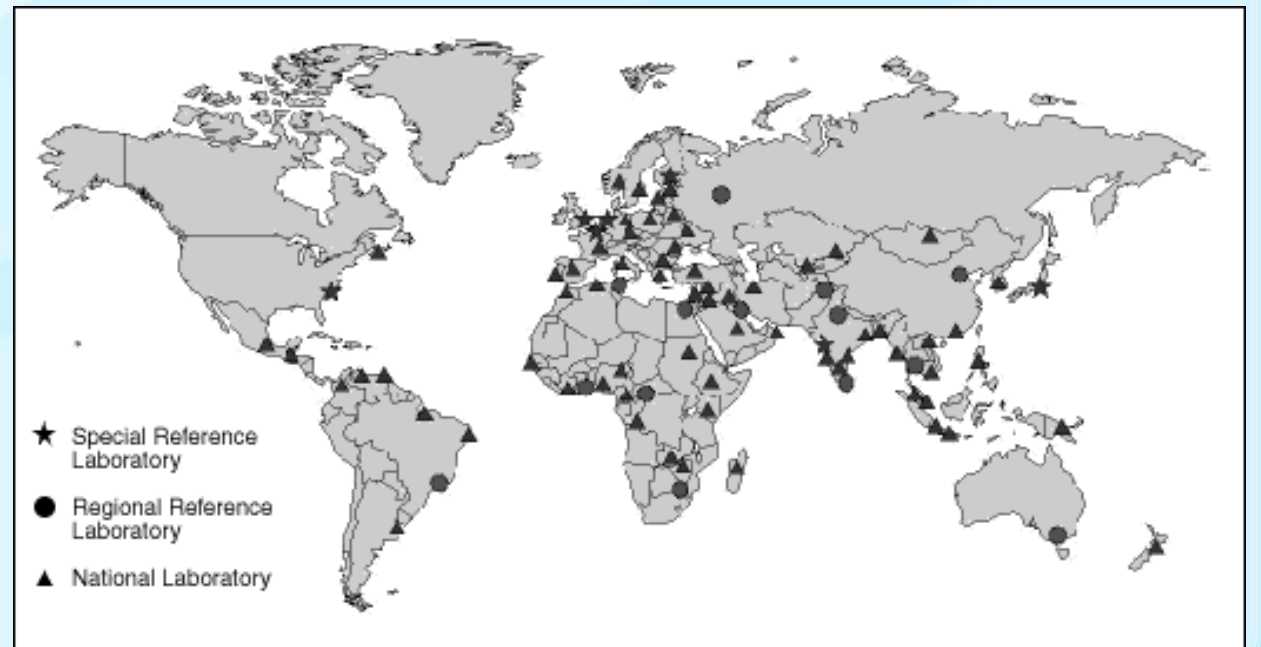
3 polio-endemic countries, 7 countries affected by outbreaks



## Difficult to see poliovirus transmission

- Many asymptomatic infections
- The global surveillance system only detects paralytic polio
- Fewer cases to see

The Global Polio Laboratory Network



## Managing immunity with poliovirus vaccines

- Countries determine their own immunization strategies as they manage population immunity
- Two poliovirus vaccines with very different costs, risks, and protection from infection





## Oral poliovirus vaccine (OPV)

### ■ Benefits

- Relatively cheap and easy to administer
- Causes infection that can spread to contacts
- Good protection from re-infection

### ■ Risks

- Very rare cases of vaccine associated paralytic polio (VAPP) in approximately 1 per 1,000,000 infections
- Can evolve to cause circulating vaccine-derived polioviruses (cVDPVs) in populations with low coverage



## Inactivated poliovirus vaccine (IPV)

- **Benefits**

- No VAPP
- No cVDPVs

- **Costs**

- Relatively expensive to make and administer (injection)
- Essentially no protection from live poliovirus infection
- High coverage required to prevent transmission



## Endgame

- **Complicated post-eradication choices**
- **Funding gaps represent a real threat**
- **High stakes**





**Dr. Stephen L. Cochi**  
**CDC Senior Advisor to the Director of the Global  
Immunization Division**

**“... Here in India I am happy to report that these strategies have yielded tremendous “real world” results, and as of today it has been officially certified that another nearly 2 billion people live in a now polio-free region of the world”**



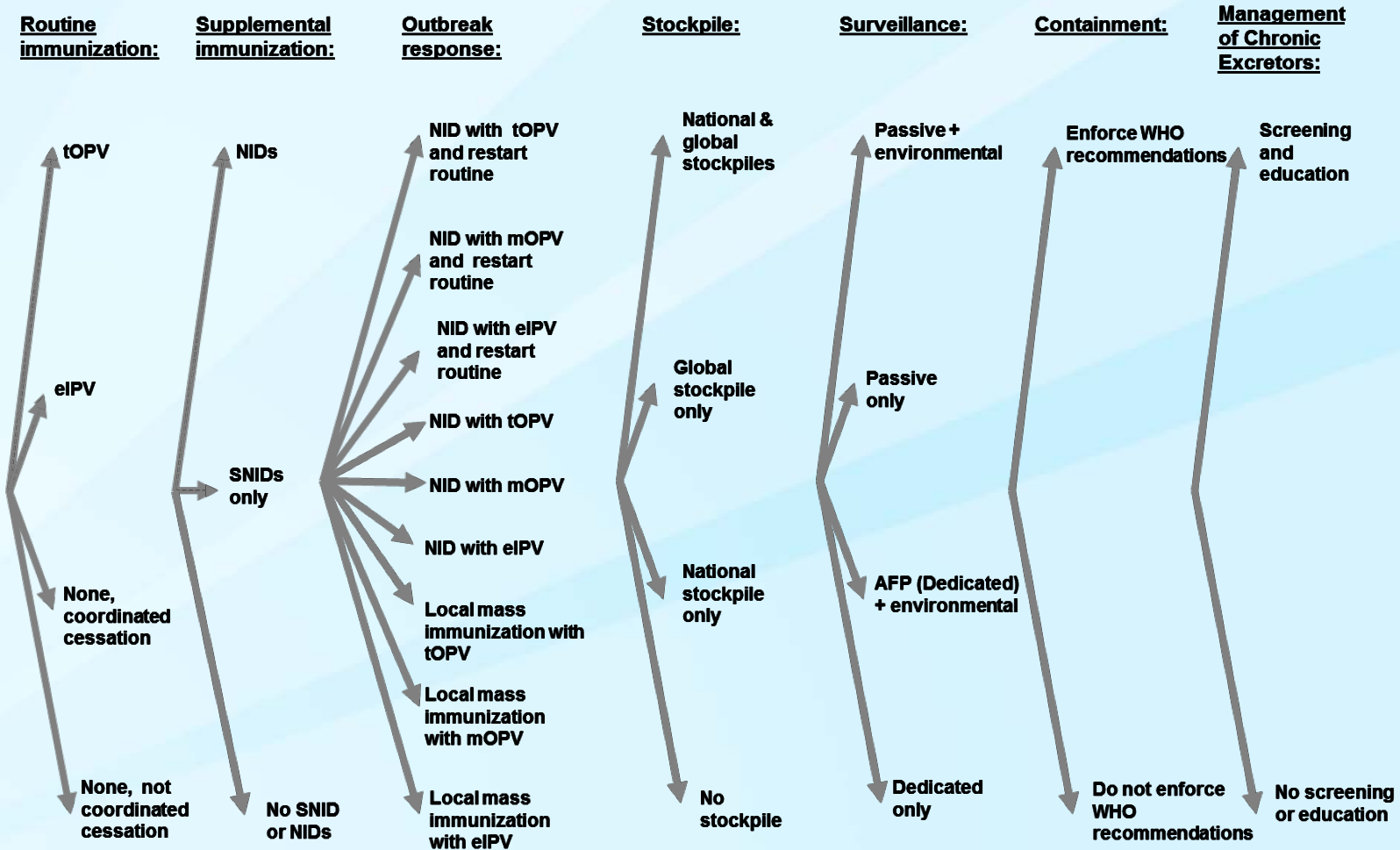
# APPROACHES AND METHODOLOGY

Dr. Radboud J. Duintjer Tebbens  
Kid Risk, Inc., Vice President



# Decision options (post-eradication)

Major decision options for countries using routine IPV on





## Objective

### ■ Overall decision options $i$

- Minimize incremental costs per disability-adjusted life year (DALY) saved (Incremental Cost-Effectiveness Ratio)

$$\min_i \frac{C_i - C_{SQ}}{(P_{SQ} - P_i)D}$$

- Maximize difference between economic value of prevented polio cases and incremental costs (Incremental Net Benefits)

$$\max_i (P_{SQ} - P_i)H - (C_i - C_{SQ})$$

$C_i$  = discounted cumulative costs for option  $i$

$C_{SQ}$  = discounted cumulative costs for *status quo*

$P_i$  = discounted cumulative paralytic polio cases for option  $i$

$P_{SQ}$  = discounted cumulative paralytic polio cases for *status quo*

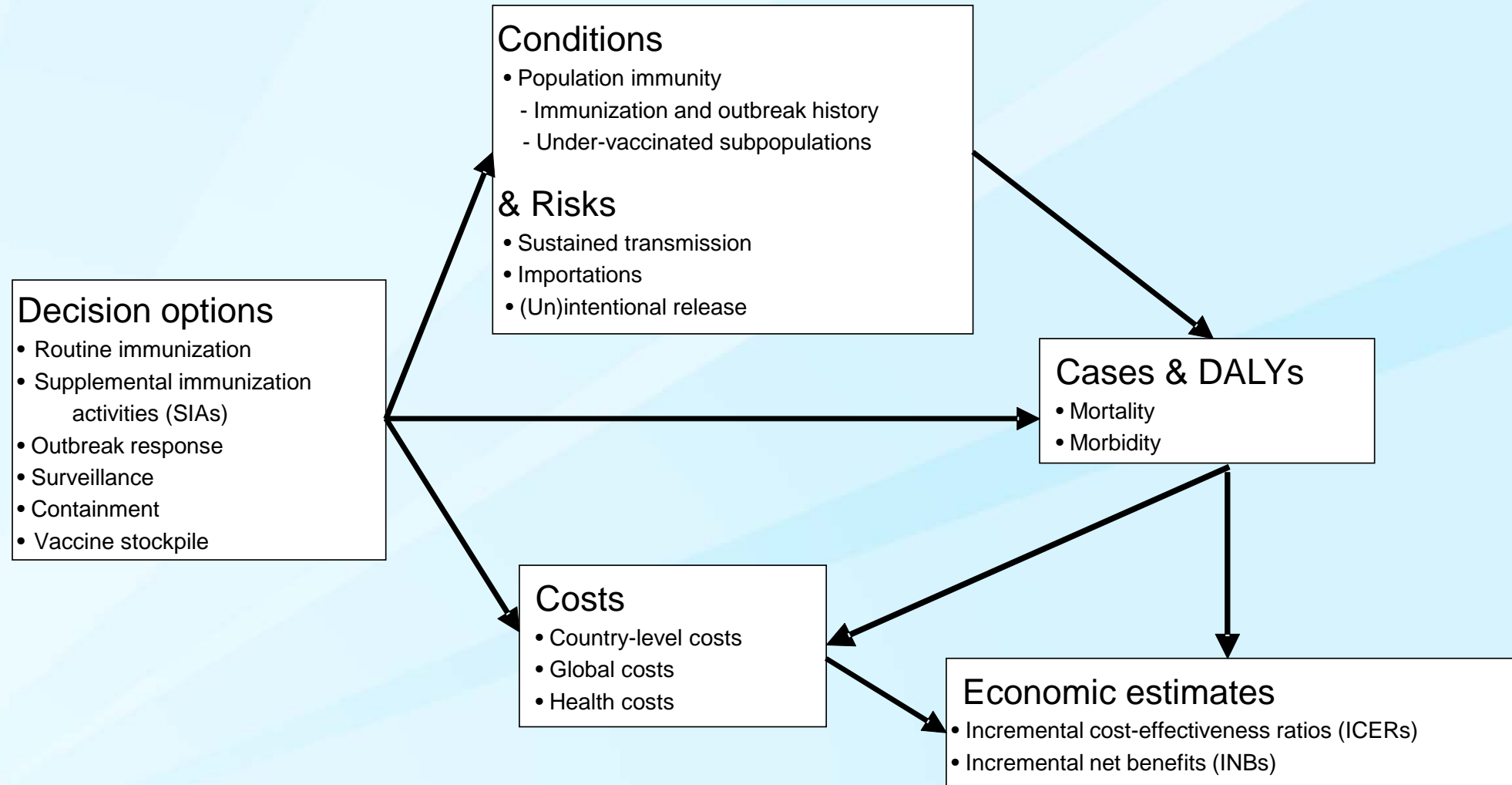
$D$  = DALY per paralytic polio case

$H$  = economic value of a prevented paralytic polio case

## Integration of OR/MS tools

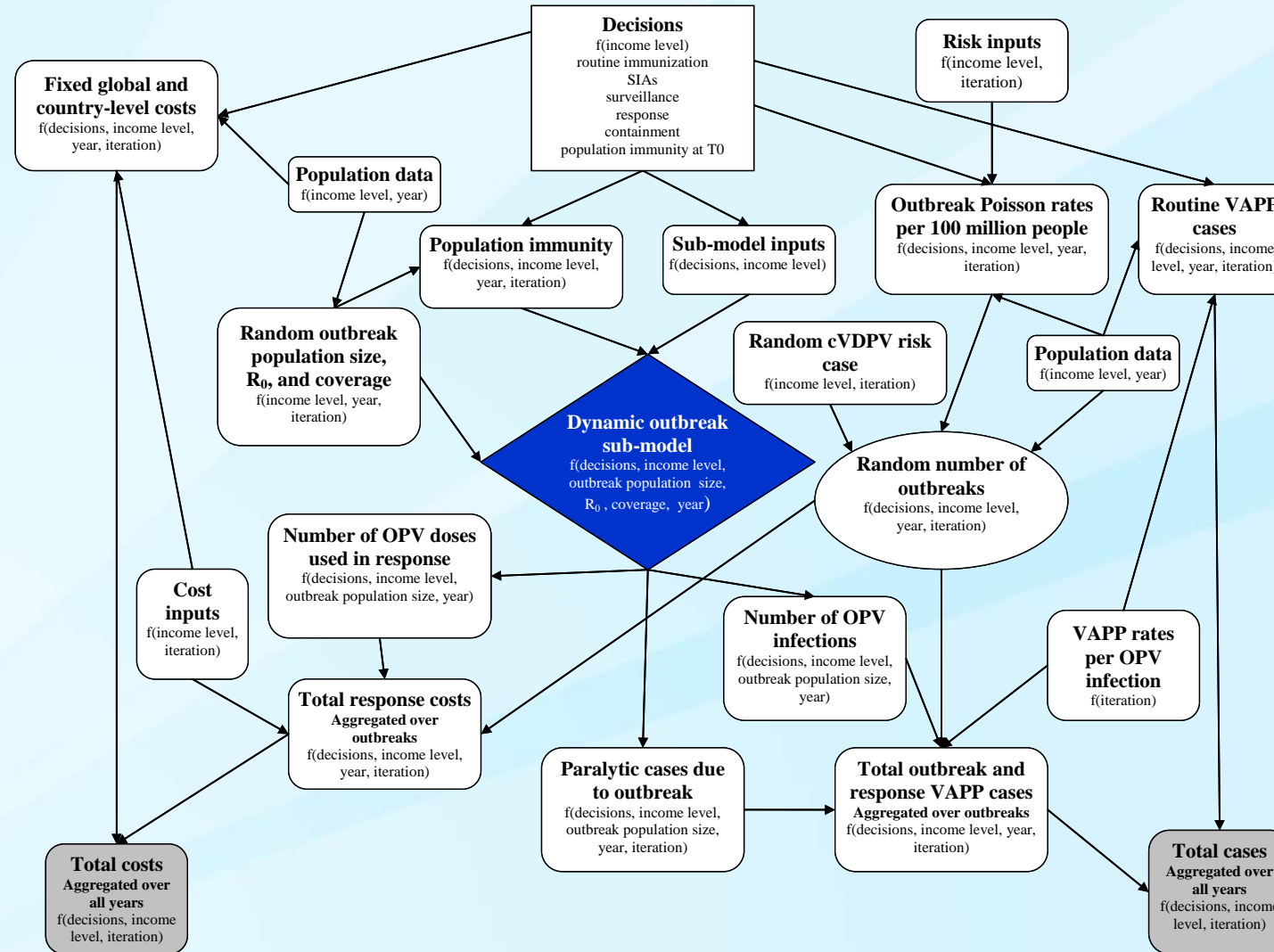
- **Frame overall problem in decision analytic context**
- **Probabilistic risk analysis modeling**
  - Time-varying risk of virus reintroduction  $f(\text{decision option})$
  - Uncertainty in model inputs
- **System dynamics modeling**
  - Population immunity  $f(\text{decision options})$
  - Expected polio cases if poliovirus reintroduced
  - Important feedbacks and time delays

# Integrated model (high level influence diagram)

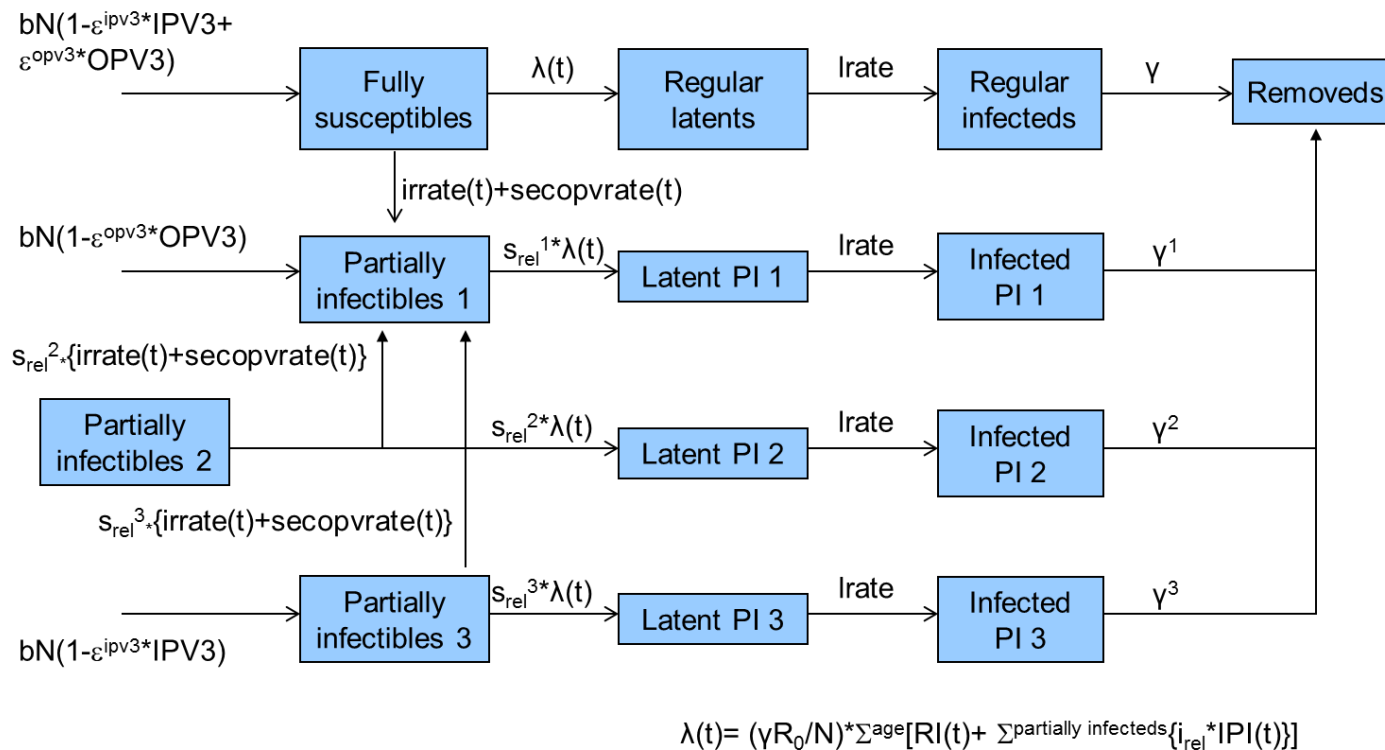
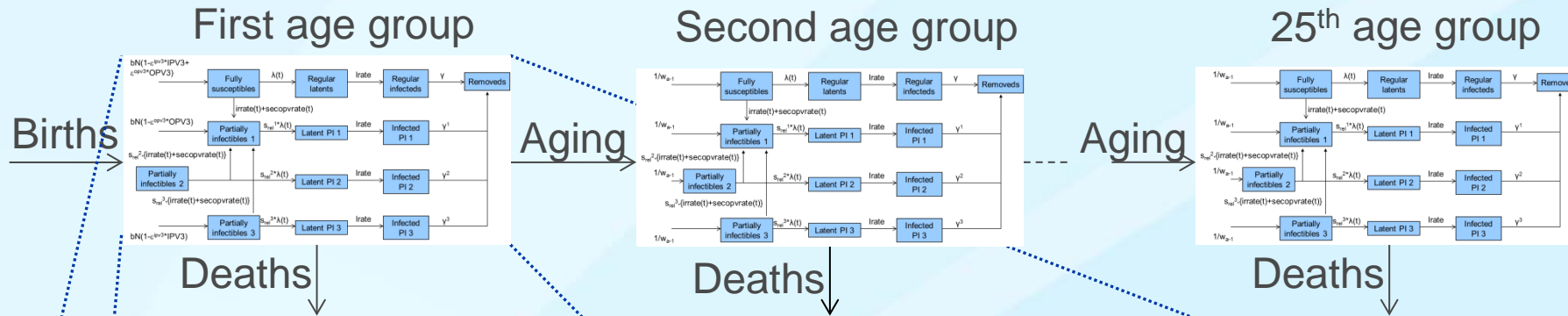




# Integrated model (full influence diagram)

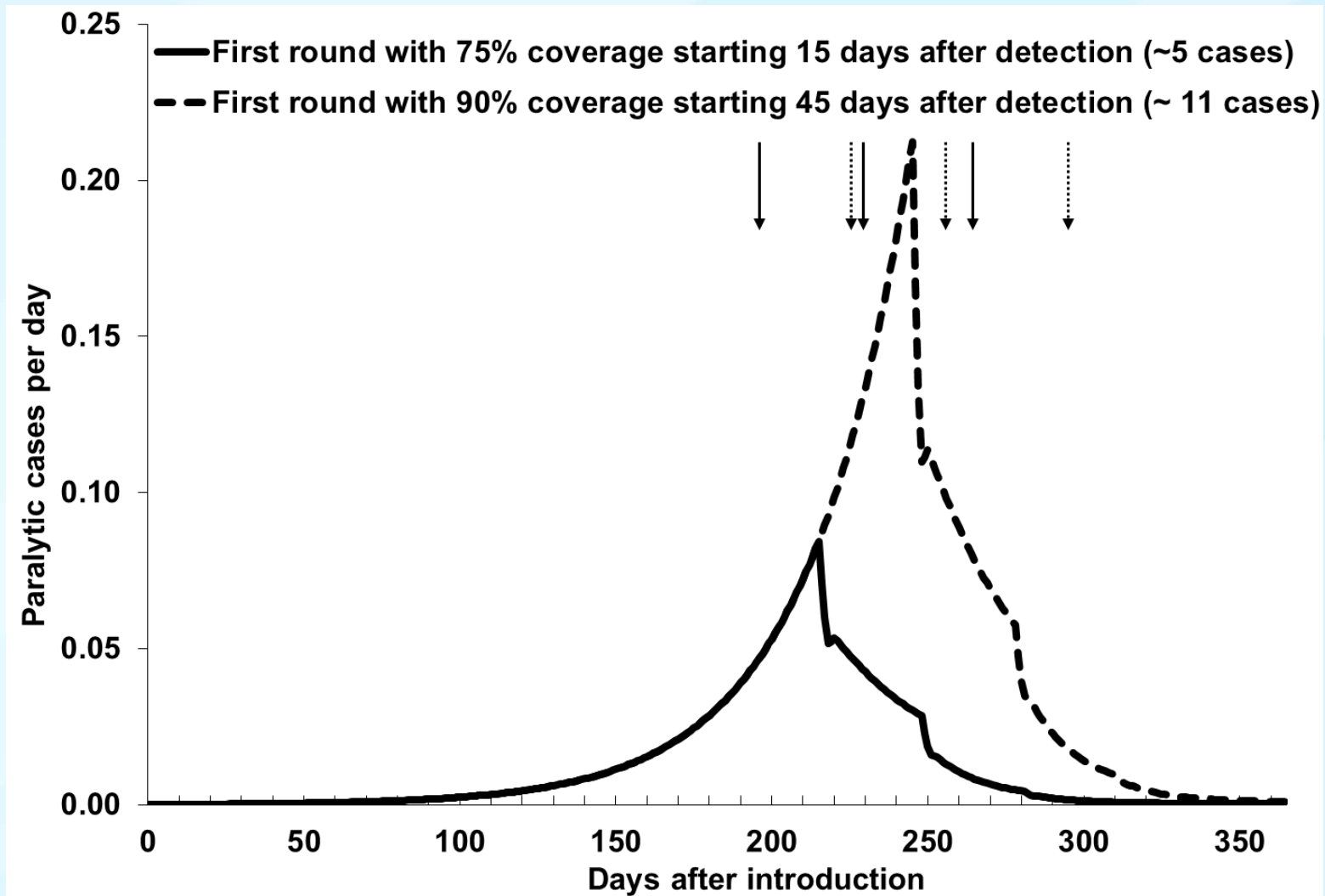


# Dynamic transmission model



**Total of  
325 stocks  
to model  
population  
immunity  
and virus  
behavior**

## Outbreak response



## Outbreak response



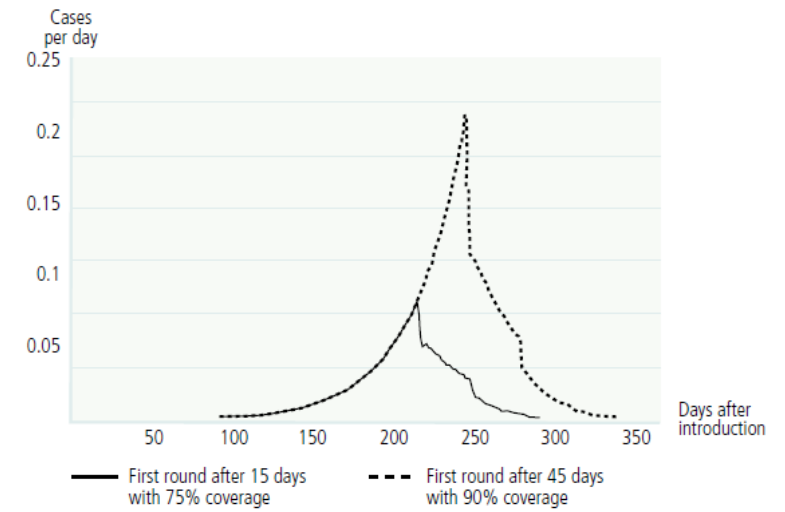
# Polio outbreak response: the faster, the better...

In line with the standing recommendations for outbreak response by the Advisory Committee on Polio Eradication (ACPE) (see page 2), mathematical modelling predicts that a rapid, large-scale immunization response is preferable to a delayed response. Exploring the trade-offs between time and coverage, mathematical modelling suggests that an initial quick response with medium coverage (above 70%) is more beneficial in controlling an outbreak than a delayed activity with higher coverage, as long as the initial rapid response is followed by two, large-scale campaigns attaining high coverage (at least >90%).

See figure on right: in a hypothetical outbreak in a low income country of 10 million people, implementing a first round with 75% coverage 15 days after the onset of the first paralytic case leads to 5 cases, compared to 11 cases if the first round occurs 45 days after the onset of the first paralytic case, but attains 90% coverage.

### Rapid response translates into a lower number of cases

Main assumptions: 10 million people, low-income country, no SIAs in the previous 5 years, 50% routine OPV3 coverage,  $R_0=10$ , AFP surveillance, 2nd and 3rd rounds cover 90% of under fives, all rounds use mOPV



Adapted from Kim Thompson and Radboud Duintjer Tebbens



**Dr. Bruce Aylward, World Health Organization,  
Assistant Director-General of Polio, Emergencies, and  
Country Collaboration**

**“...This work clearly demonstrated that speed trumps coverage at the beginning of an outbreak response and that was a fundamental shift in the way people were approaching polio outbreak response... most indicative of the depths of impact of this new understanding on our work is that it underpinned a World Health Assembly resolution”**

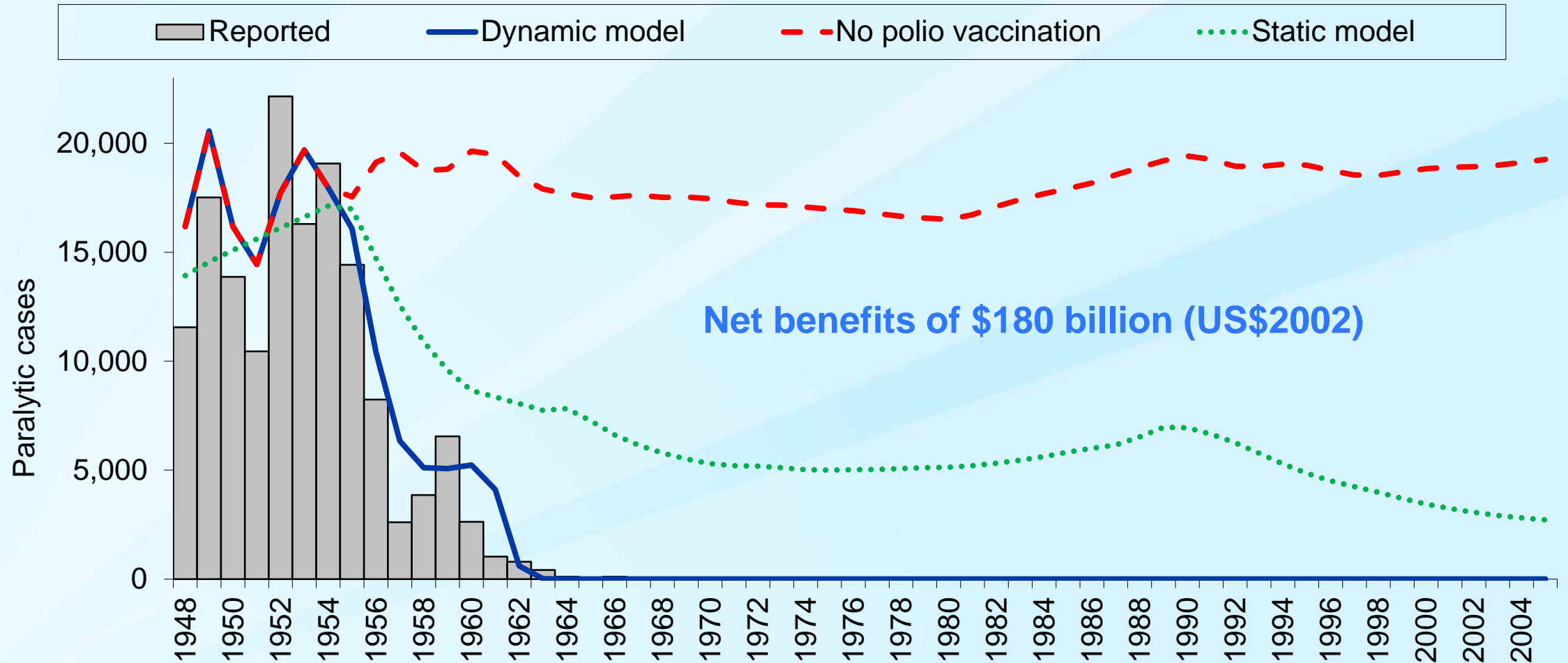


# RESULTS, IMPACT, AND CONCLUSIONS

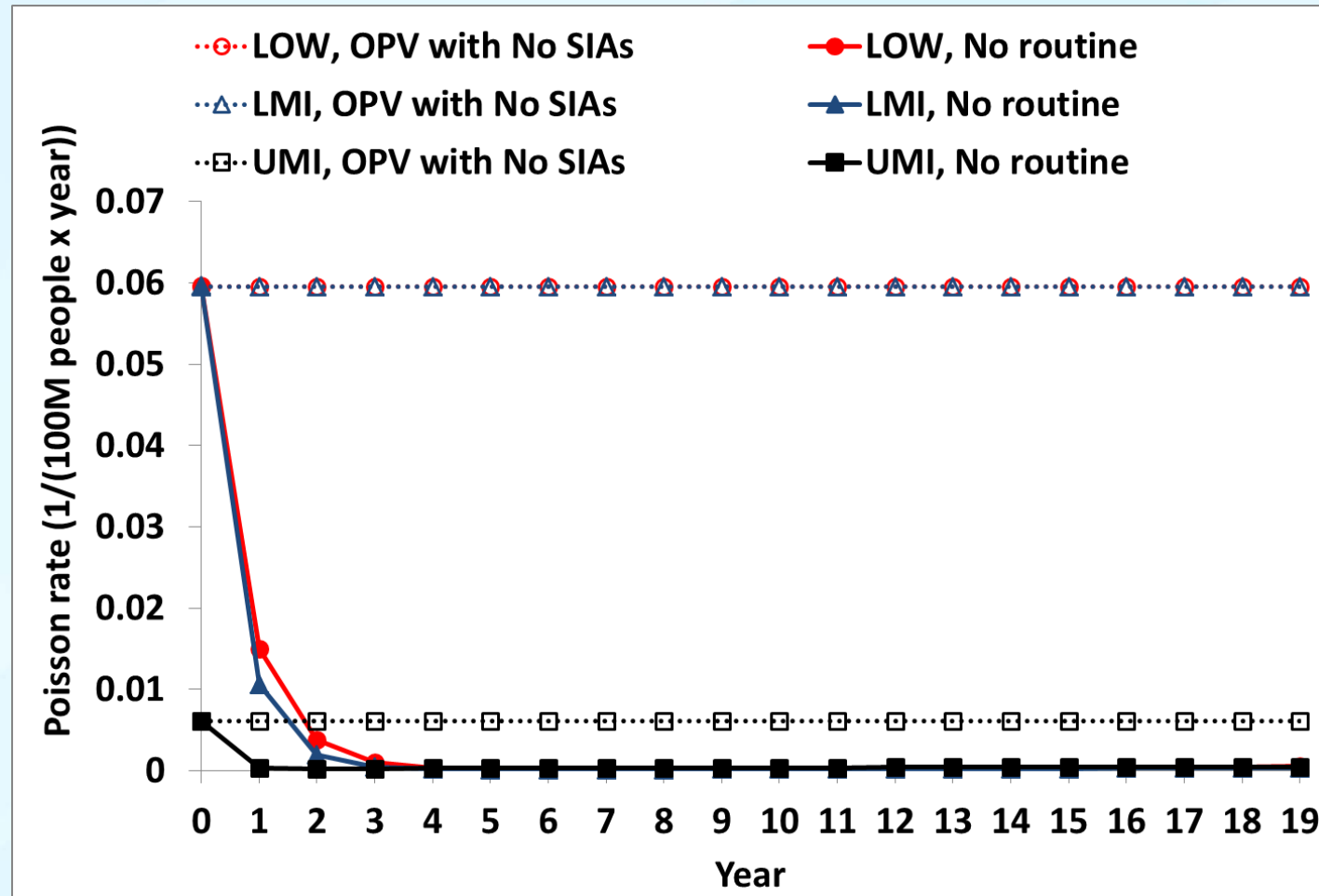
Dr. Kimberly M. Thompson  
Kid Risk, Inc., President



## Integrated analysis: The US experience



## Characterization of post-eradication risks

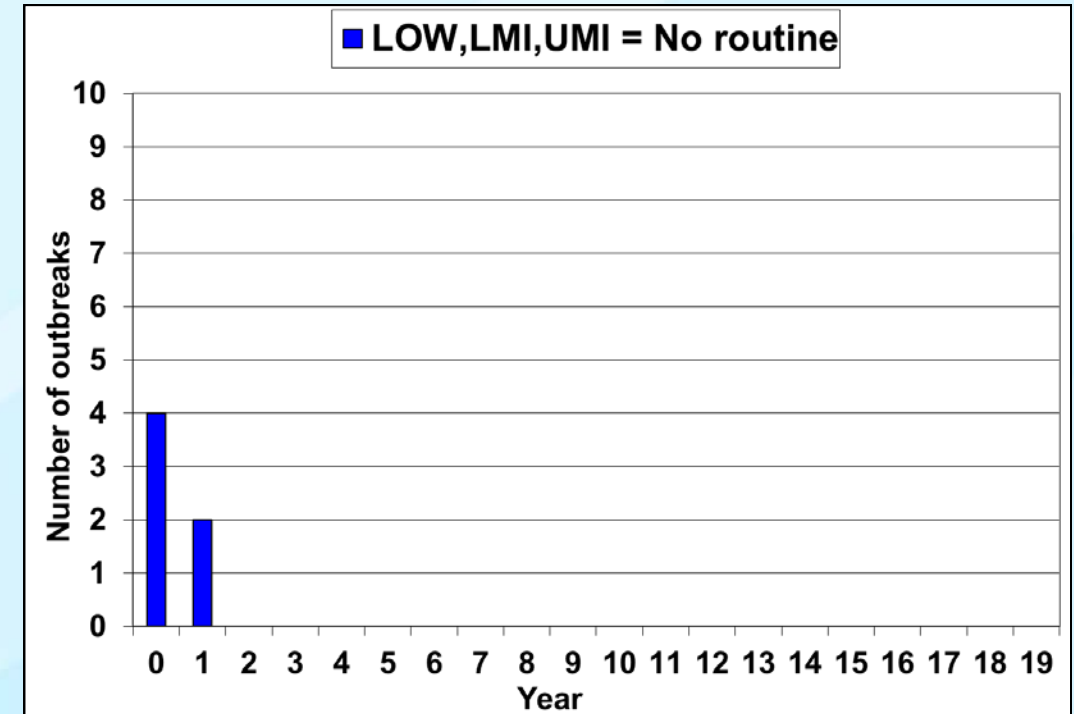
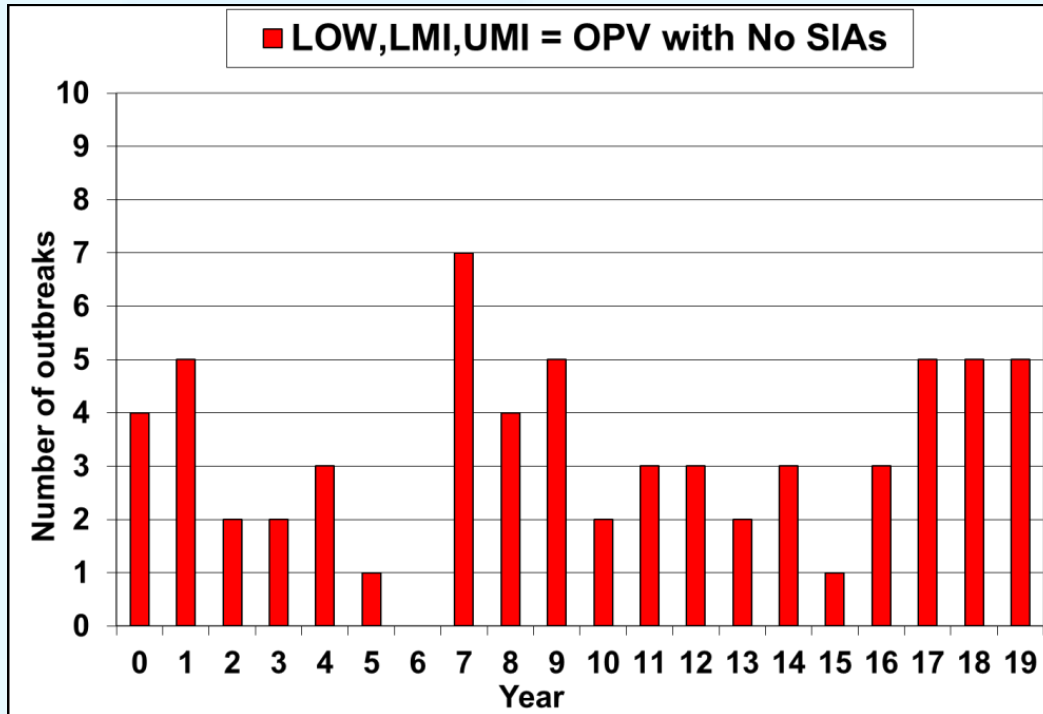


LMI=lower middle-income countries; Low=low-income countries; OPV = oral poliovirus vaccine;  
SIAs = supplemental immunization activities; UMI = upper-middle income countries

Based on Duintjer Tebbens et al., Risk Analysis, 2006; estimates for realistic population immunity at year 0, cVDPV risks based on confirmed cVDPVs only, and enforced containment



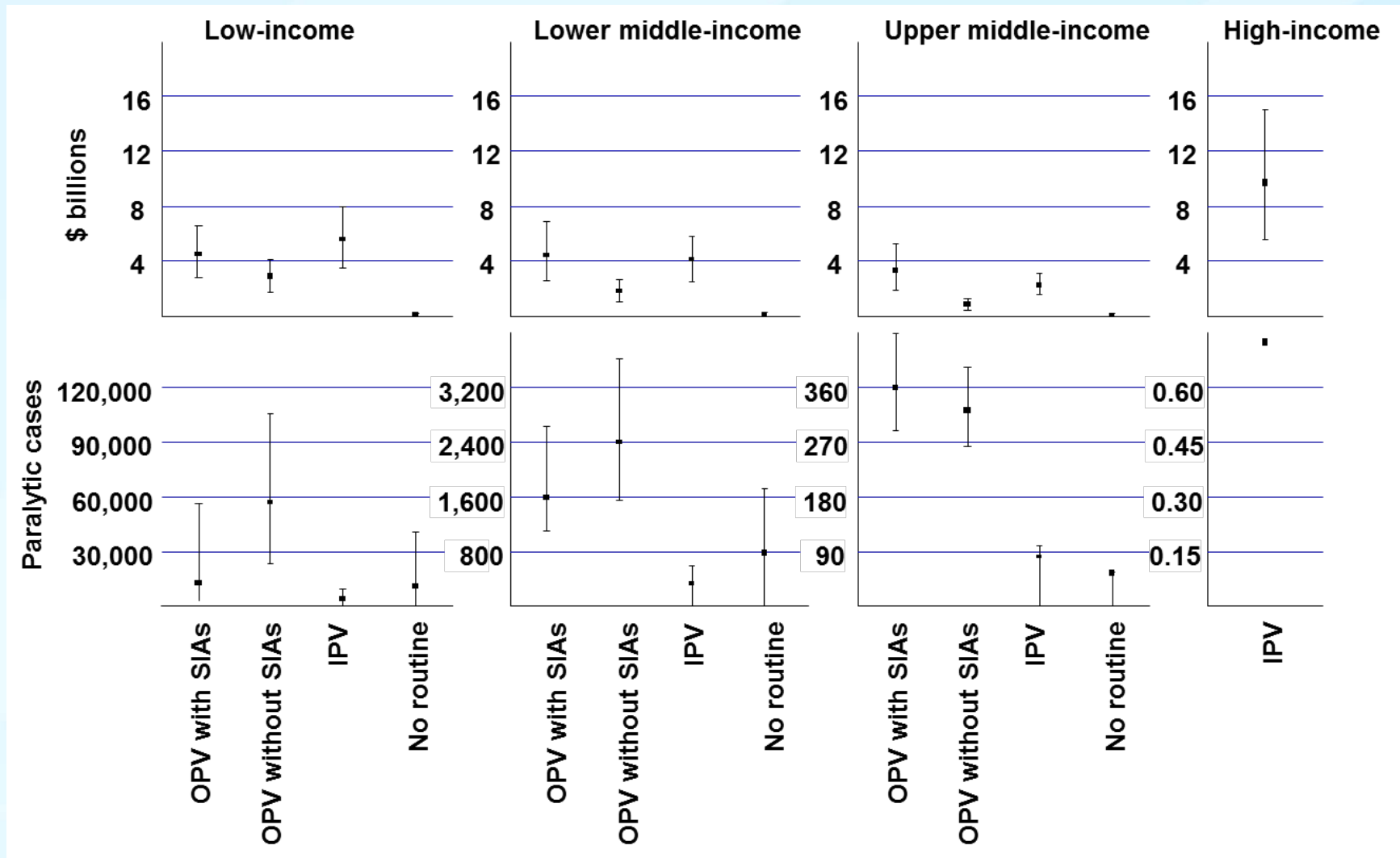
## Stochastic simulation showing possible futures



LMI=lower middle-income countries; Low=low-income countries; OPV = oral poliovirus vaccine; SIAs = supplemental immunization activities; UMI = upper-middle income countries

**OPV with low coverage is not a good epidemiological option**

# Integrated analysis: Post-eradication vaccination strategy optimization



Continued OPV use after eradication is not a good economic or health option

## Impact

- Agreement to coordinate OPV cessation
- 2008 WHA Resolution 61.1 asks the WHO Director-General “to set, if and when appropriate, a date for the eventual cessation of use of oral poliomyelitis vaccine use in routine immunization programmes”

WHO/POL10/05.02

### Framework for National Policy Makers in OPV-Using Countries

*Cessation of routine oral polio vaccine (OPV) use after global polio eradication*

#### 3. Risks associated with OPV cessation

**Six prerequisites for simultaneous OPV cessation:**

- Confirmation of interruption of wild poliovirus transmission globally
- Appropriate biocontainment of all polioviruses
- International stockpile of monovalent OPV (mOPV)
- Highly-sensitive surveillance for circulating polioviruses
- Procedure for internationally-simultaneous OPV cessation
- Long-term routine polio immunization policy (i.e. national IPV decisions)

**Risk of cVDPV outbreaks\***

substantially as polio-susceptible individuals accumulate after OPV cessation. The risk of reintroduction of a vaccine-derived poliovirus from an iVDPV is still lower, for the reasons noted in section 2 above.

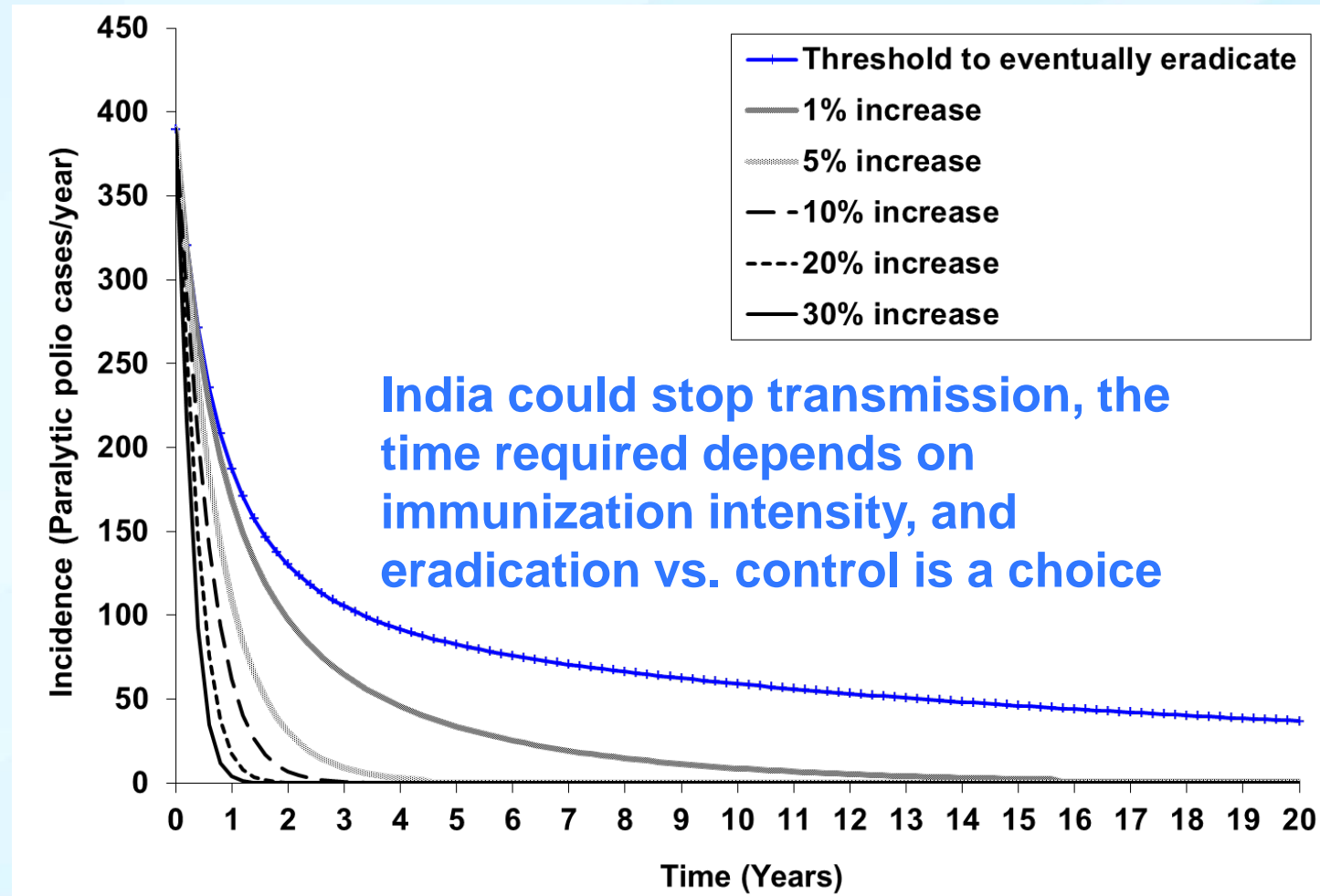
Although the risks associated with stopping OPV use in routine immunization are relatively small, these risks can be further reduced through international implementation of appropriate risk management strategies before, during and after OPV cessation. Implementation of these risk management strategies – or prerequisites – will involve close oversight by National Policy Makers.

\* Based on Chartier-Jobidon et al. Risk of Vaccine-Derived Poliovirus due to Wild or Vaccine-Derived Poliovirus after Eradication (Submitted). Probabilities assume routine population immunity at 75% include low, lower-mortality and upper-mortality immune sensitive (currently using OPV).

World Health Organization

## Integrated analysis: Control vs. eradication

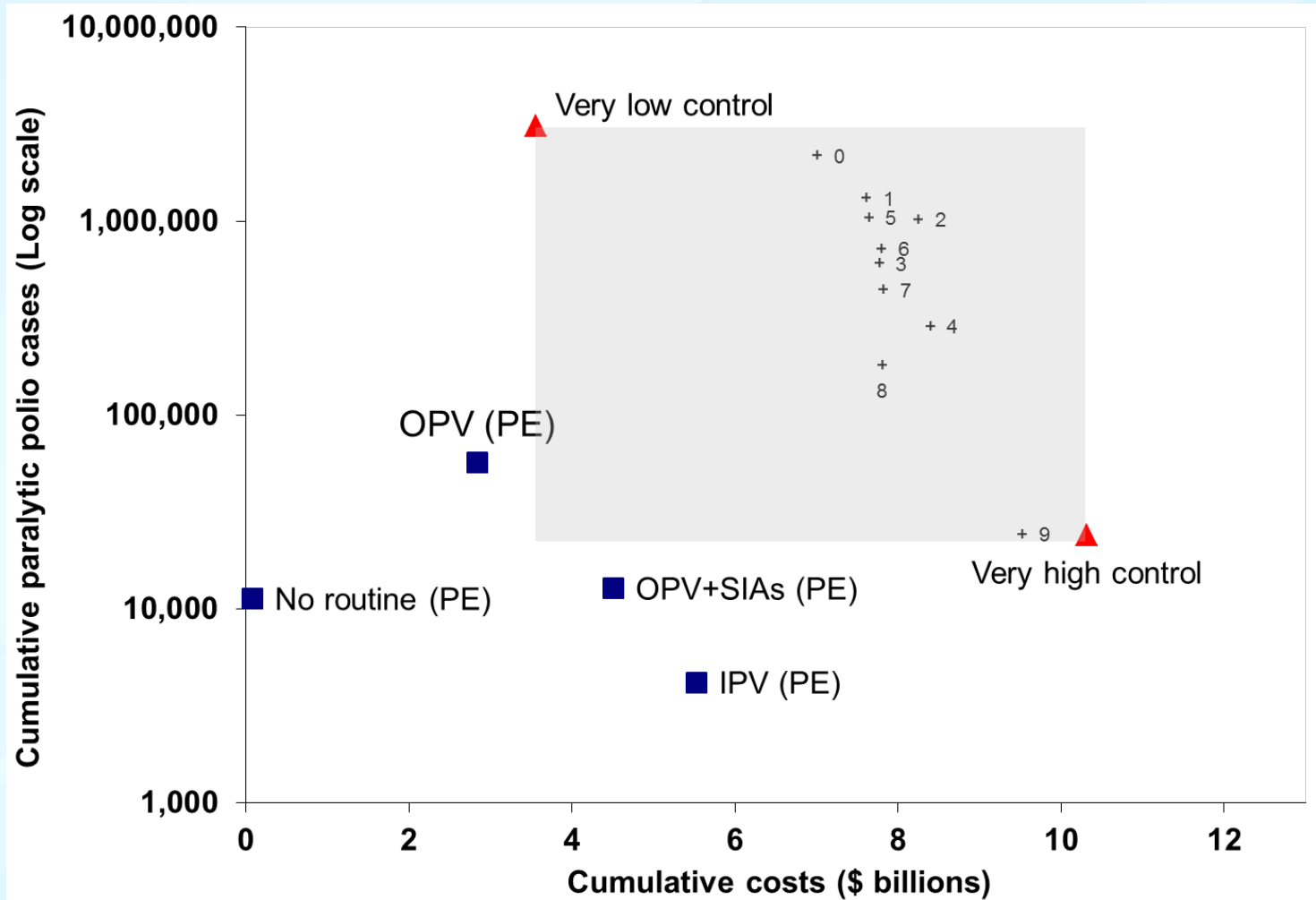
### Can we stop transmission in Northern India?





# Integrated analysis: Control vs. eradication

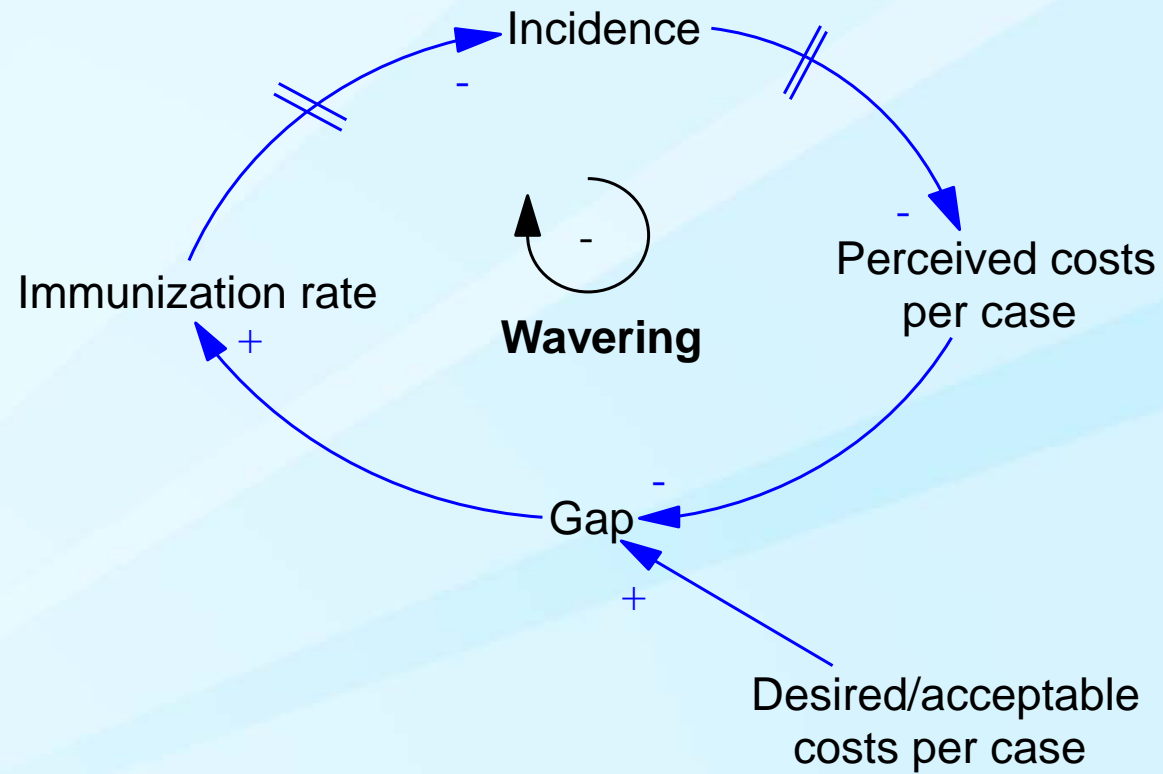
## What are the cost and case trade-offs?



Post-eradication (PE) options offer lower cases and costs than control

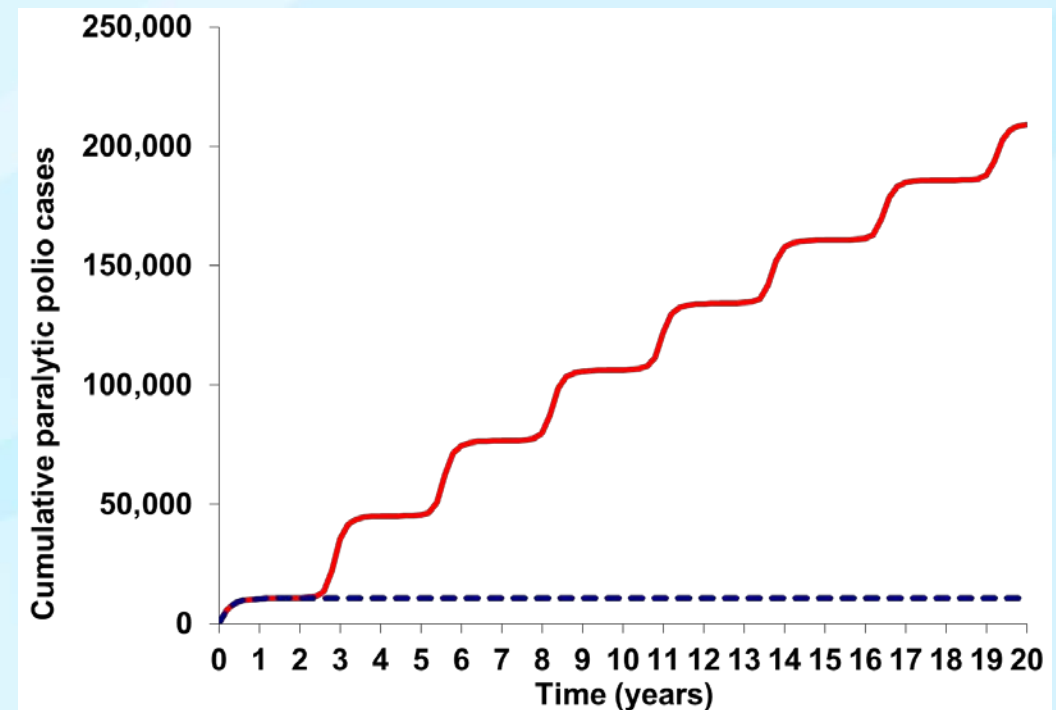
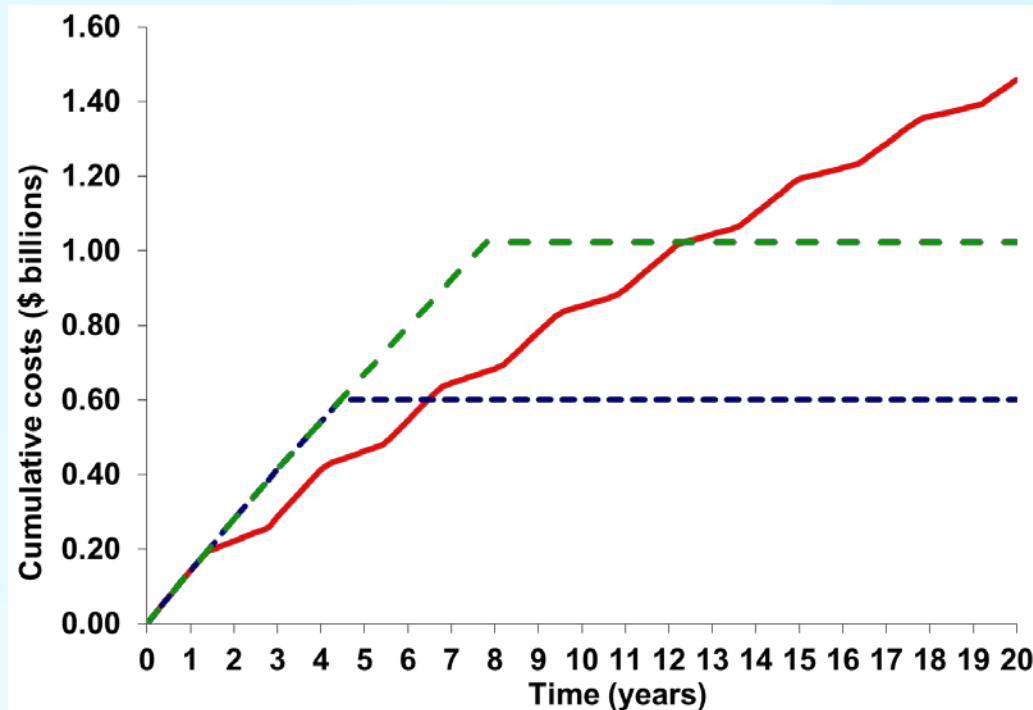
## Integrated analysis: Control vs. eradication

### What happens with a wavering commitment?



## Integrated analysis: Control vs. eradication What happens with a wavering commitment?

- ..... Vaccinate intensely until eradication (possibly longer - - -)
- Vaccinate intensely until things look good then waver



**Wavering is more costly with respect to both costs and cases in the long run**

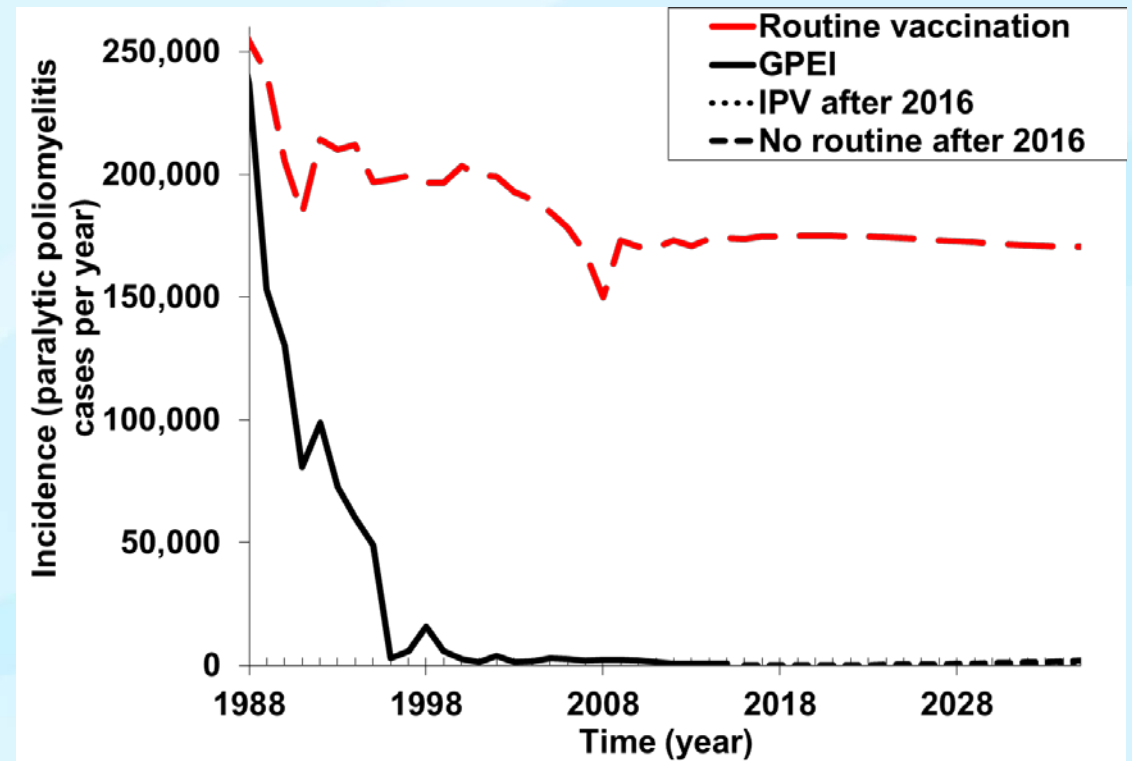
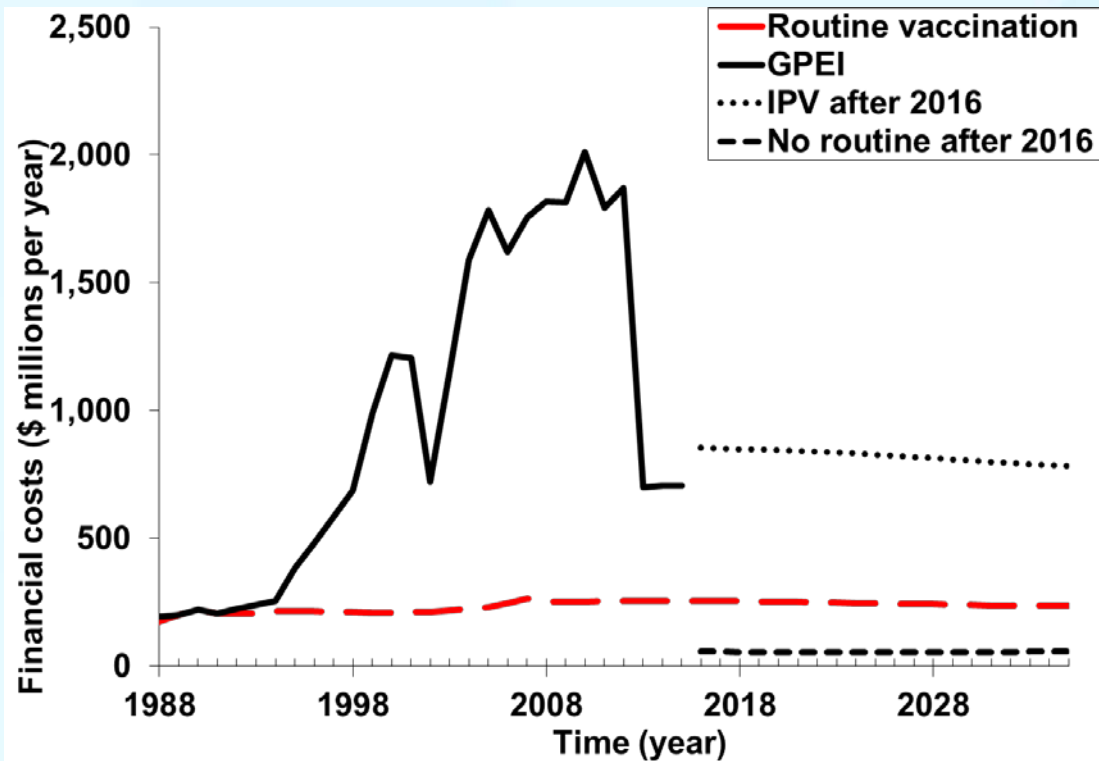
## February 2007 WHO stakeholder consultation

**Dr. Margaret Chan, WHO Director-General, told the attendees that their “commitment must not waver” and they would be “seeing today new data that show why, over a 20-year period, every proposed option for controlling polio will cost more, in human suffering and dollars, than finishing eradication. In other words, getting the job done is your best buy.”**





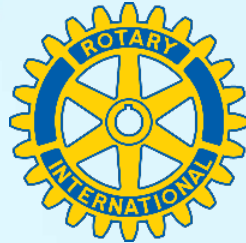
## Integrated analysis: Impacts of the GPEI



Expected net benefits of the GPEI exceed \$40-50 billion (US\$2010)

## Importance to stakeholders

**Dr. Carol Pandak, Rotary International,  
Director of PolioPlus: “We regularly use the  
\$40-50 billion estimate of net benefits of  
the GPEI as we raise funds to finish polio  
eradication both within and outside of  
Rotary. The modeling work made a  
compelling case for stable and sustained  
funding, and this helped all of us as we plan  
ahead.”**



## Impacts

Integrated analyses used to support the economic case to raise the funds needed to realize net benefits of \$40-50 billion of the GPEI





## April 2013: Global Vaccine Summit yields US\$4 billion in funding commitments to polio endgame plan



<https://www.rotary.org/en/global-vaccine-summit-yields-us4-billion-funding-commitments-polio-endgame-plan>



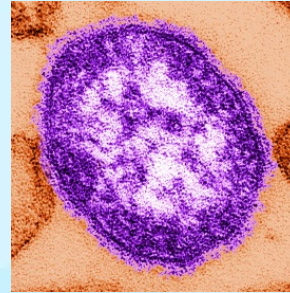
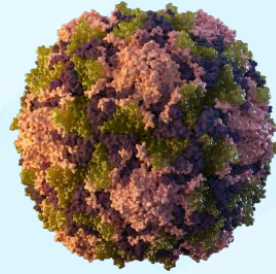
**Dr. Bruce Aylward, World Health Organization,  
Assistant Director-General of Polio, Emergencies, and  
Country Collaboration**

**“...At a time when most people have forgotten polio, I cannot overstate how critical it was to have these numbers to illustrate the gains of completing polio eradication and the benefits of eradication over control.”**



## Transportability

- Additional polio complexities
- Other vaccine-preventable diseases
- Complex systems that require consideration of variability, uncertainty, and time



## Conclusions

- Insights
- Costs and lives saved





## Acknowledgments for this presentation

- **Funding to Kid Risk, Inc. for first 2 authors from CDC Cooperative Agreement U66 IP000169**
- **Thank you**
  - Drs. Bruce Aylward, Carol Pandak, and Walter Orenstein for providing stakeholder perspectives
  - Katerina Alves, Sona Bari, Thomas Bell, Christopher Black, Ivana Boko, Lee Bookman, Louis Boviero, James Cosgrove, Christine Fares, Jean-Marc Glinz, Brian Hirten, Alan Janssen, Todd Jordan, Sarah Poser, Oliver Rosenbauer, Bryon Skinner, Jeanette St. Pierre
  - The GPEI partners for the images used in this presentation
  - Sid Hess, Pelin Pekgun, Carrie Beam, and the Edelman judges for comments



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- **WHO:** Bruce Aylward, Fred Caillette, Claire Chauvin, Philippe Duclos, Esther deGourville, Hans Everts, Marta Gacic-Dobo, Tracey Goodman, Ulla Griffiths, David Heymann, Scott Lambert, Asta Lim, Jennifer Linkins, Patrick Lydon, Chris Maher, Linda Muller, Roland Sutter, Rudi Tangermann, Chris Wolff, David Wood
- **Others:** Global Polio Laboratory Network, Harrie van der Avoort, Francois Bompert, Anthony Burton, Konstantin Chumakov, Laurent Coudeville, Walter Dowdle, Paul Fine, Michael Galway, Shanell Hall, Neal Halsey, Tapani Hovi, Kun Hu, Jacob John, Samuel Katz, Tracy Lieu, Marc Lipsitch, Anton van Loon, Apoorva Mallya, Elizabeth Miller, Phil Minor, John Modlin, Van Hung Nguyen, Walter Orenstein, Carol Pandak, Peter Patriarca, Christina Pedreira, Stanley Plotkin, Hazhir Rahmandad, Robert Scott, John Sever, Thomas Sorensen, John Sterman, Robert Weibel, Jay Wenger, and Peter Wright
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  - Unrestricted gifts to the Harvard Kids Risk Project
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  - WHO APW200179134
  - Bill & Melinda Gates Foundation: 4533-17492 , 4533-18487, 4533-21031, 4533-23446

**Dr. Bruce Aylward, World Health Organization,  
Assistant Director-General of Polio, Emergencies, and  
Country Collaboration**

**“...mathematical modeling can give us an idea of the future and the potential costs and impact of our policy options .... As other teams create analytical models to support decision makers who manage complex systems, I can vouch for how transformational these are for strategic and program planning.”**

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# Thank you

**For more information please contact the Centers for Disease Control and Prevention**

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Department of Health and Human Services  
Centers for Disease Control and Prevention

## **Trade-offs between objectives**

- Trade-offs mainly between human health and money
- Economic results estimated with and without monetization of health outcomes
- Sensitivity analyses explored different values for health outcomes and discount rates



## **Streams of net benefits**

- Ongoing prevention implies ongoing net savings, our work support the efforts to sustain the prevention (outbreak response)
- Economic benefits at any point in time depend on the assumptions made about time horizon, discount rate, and other factors
- Decision makers focused on making the biggest impact on human health as cost-effectively as possible