

# Symmetry Matters: Machine Learning of Scalar and Tensorial Atomic-Scale Properties

Michele Ceriotti  
<https://cosmo.epfl.ch>

SIAM, Portland  
July 2018

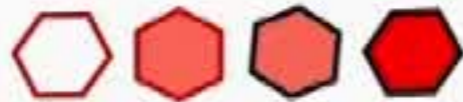


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MARVEL



NATIONAL CENTRE OF COMPETENCE IN RESEARCH



CCMX

Competence Centre for  
Materials Science and Technology



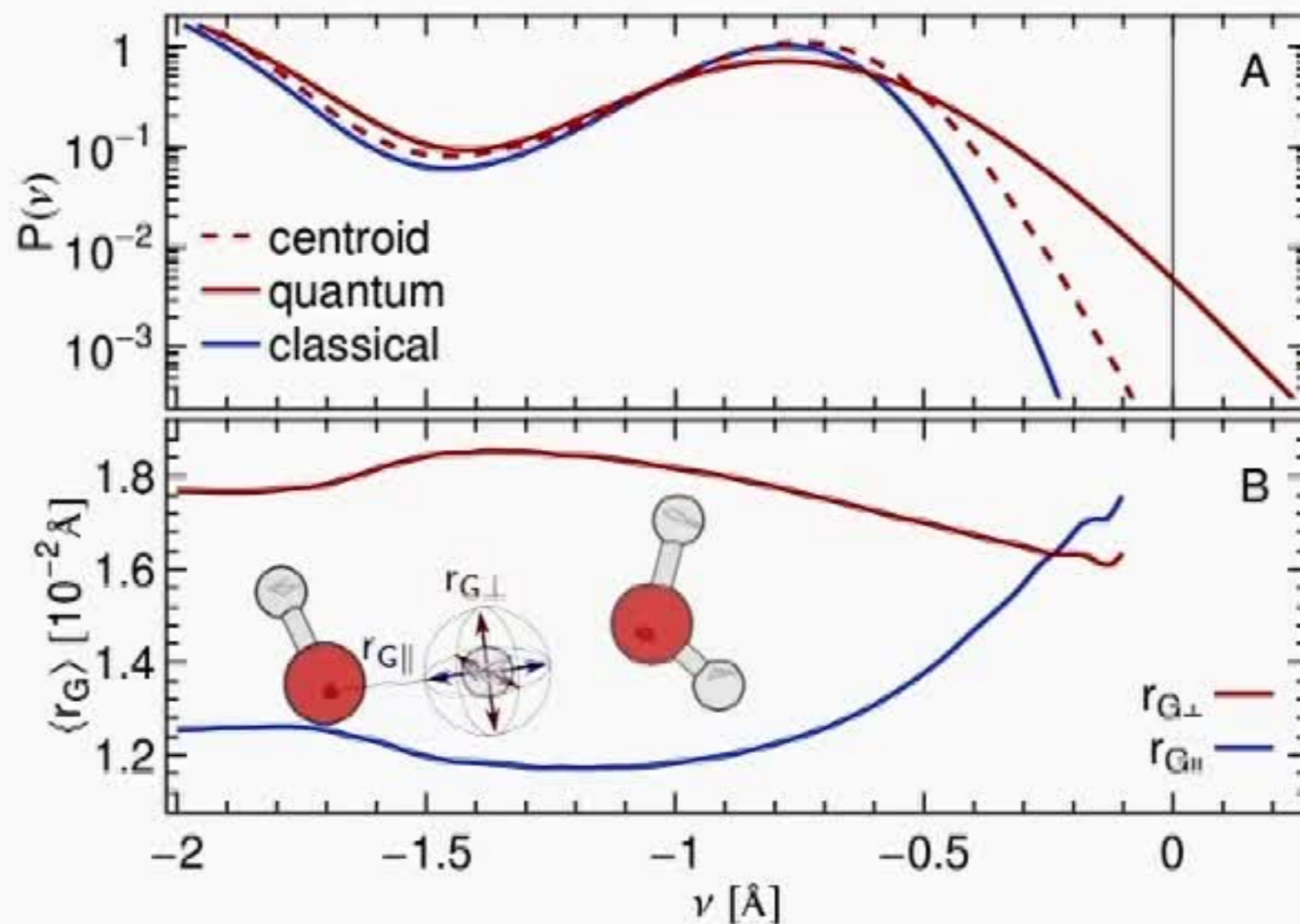
FNSNF

**S.De, F.Musil, M.Willatt  
A.Grisafi, D.Wilkins**

G.Csányi, A.Bartók, C.Poelking,  
J.Kermode, N.Bernstein  
F.Paruzzo, A.Hofstetter, L.Emsley  
C.Corminboeuf, J.Behler, A.Paxton

# Bringing Fluctuations into Materials Modelling

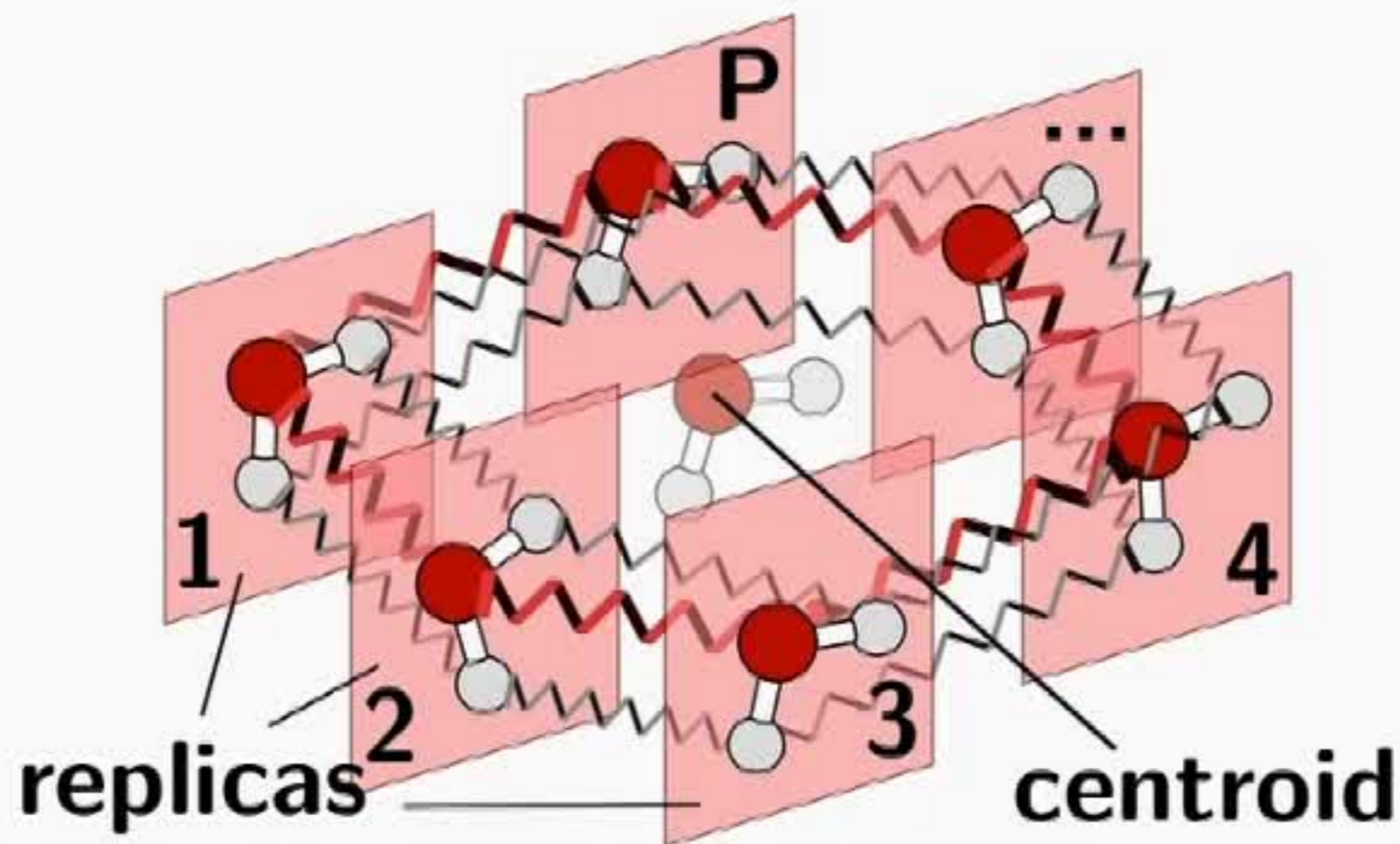
- Quantum nuclei with path integral methods
- Anharmonic free energies in solids
- Activated events and phase transitions



Li, Walker, Michaelides, PNAS (2011); **MC** et al., PNAS (2013); **MC** et al., Chem. Rev. (2016)

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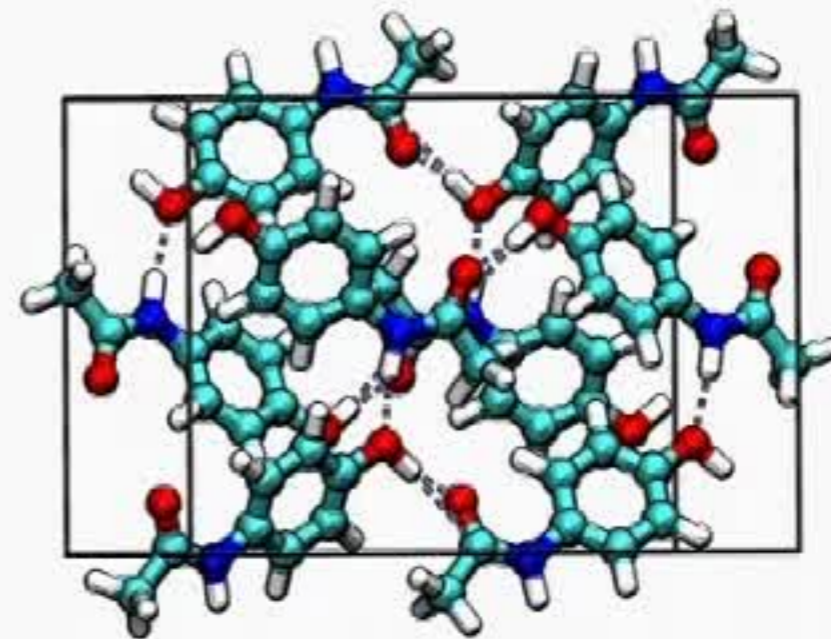
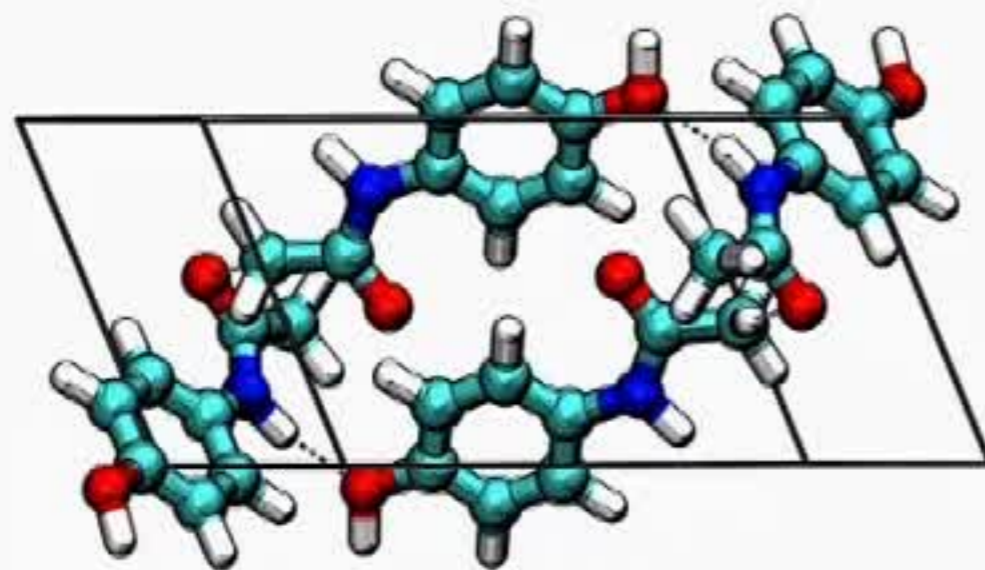
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Markland & MC, Nat. Rev. Chem. (2018); <http://ipi-code.org>

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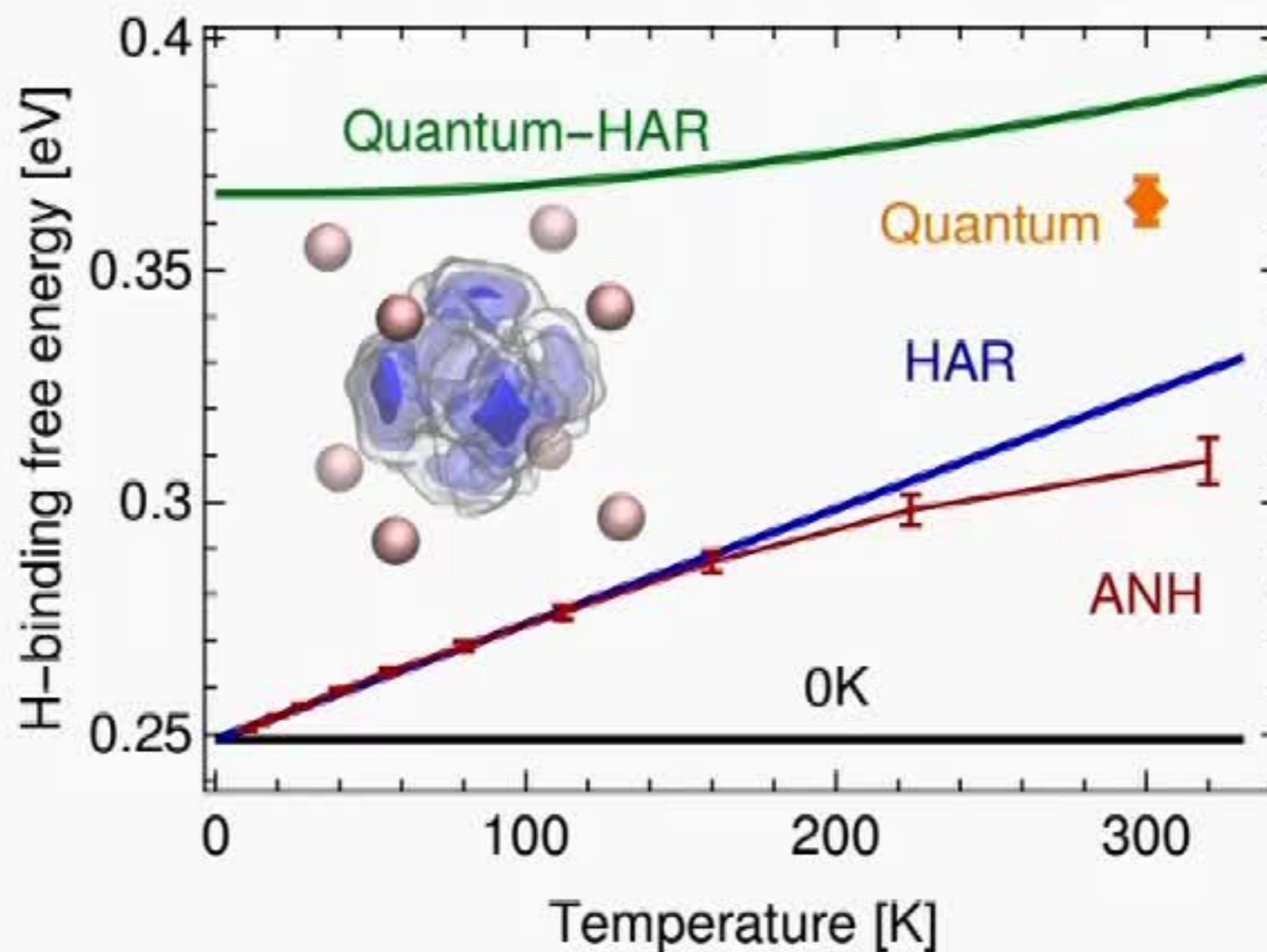


Binding Energy (meV/mol)	fl	flI	$\Delta\Delta$
Lattice Energy (PBE+D3)	-1492	-1489	-3
Lattice Energy (PBE0+D3)	-1271	-1271	0
Classical Harmonic	-1500	-1487	-13
<b>Quantum Anharmonic</b>	<b>-1152</b>	<b>-1107</b>	<b>-45</b>

Rossi, Gasparotto & MC, Phys. Rev. Lett. (2016)

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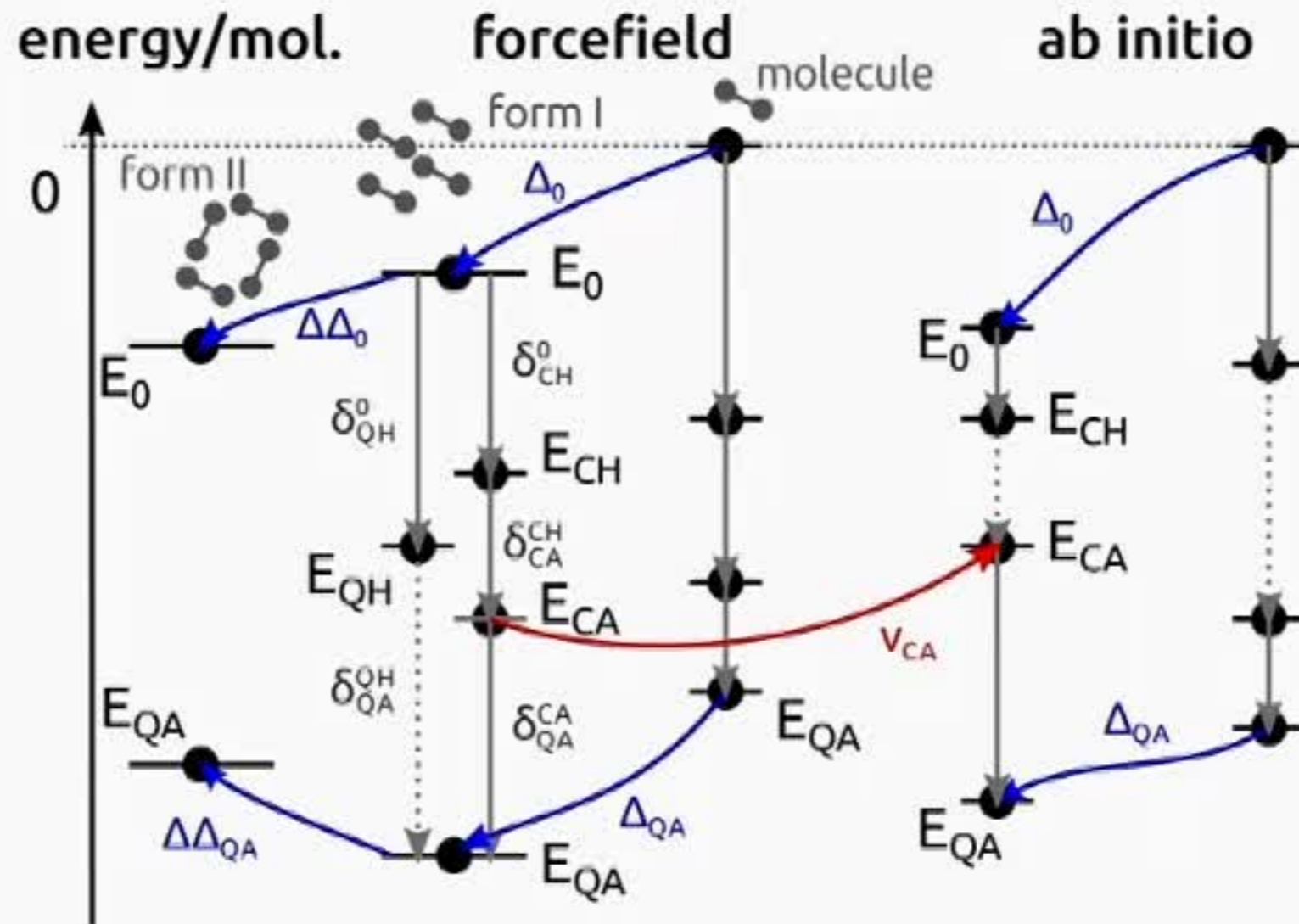
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Cheng, Paxton, **MC**, Phys. Rev. Lett. (2018)

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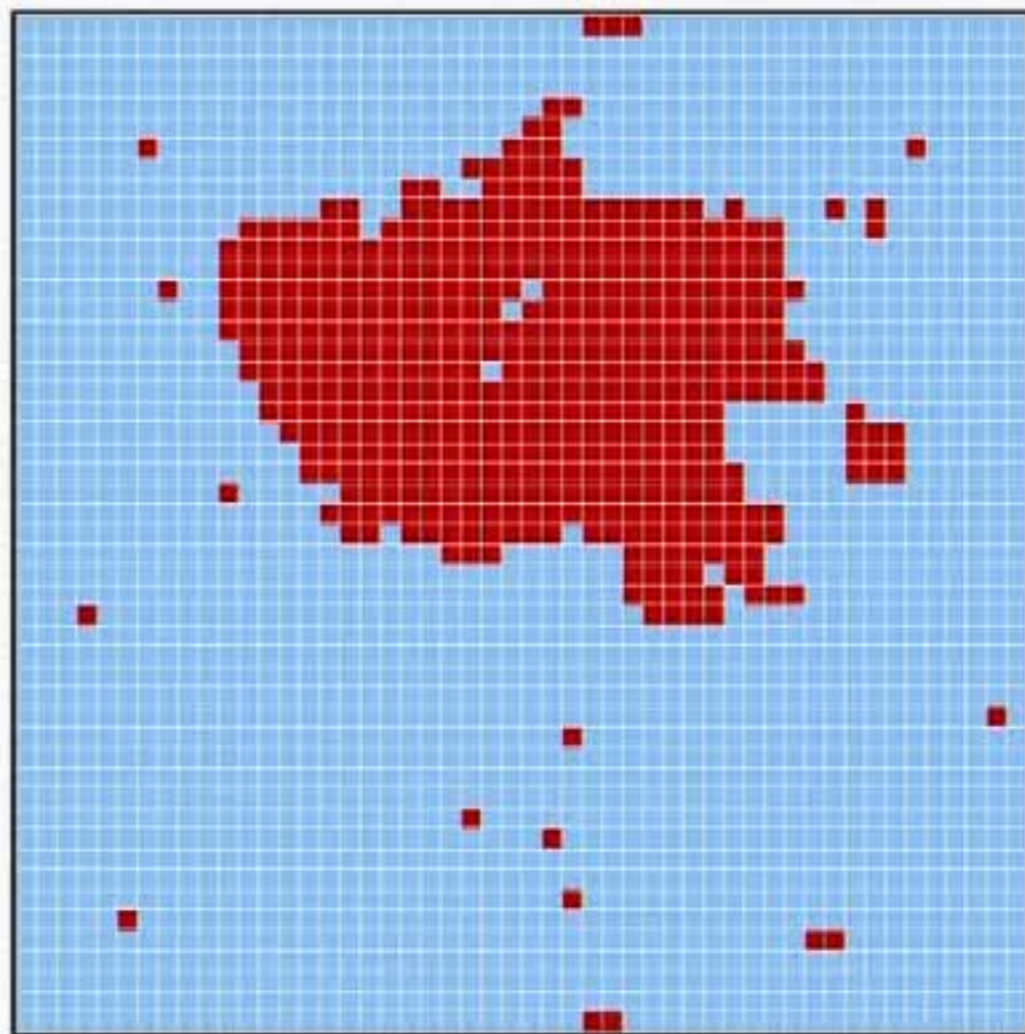
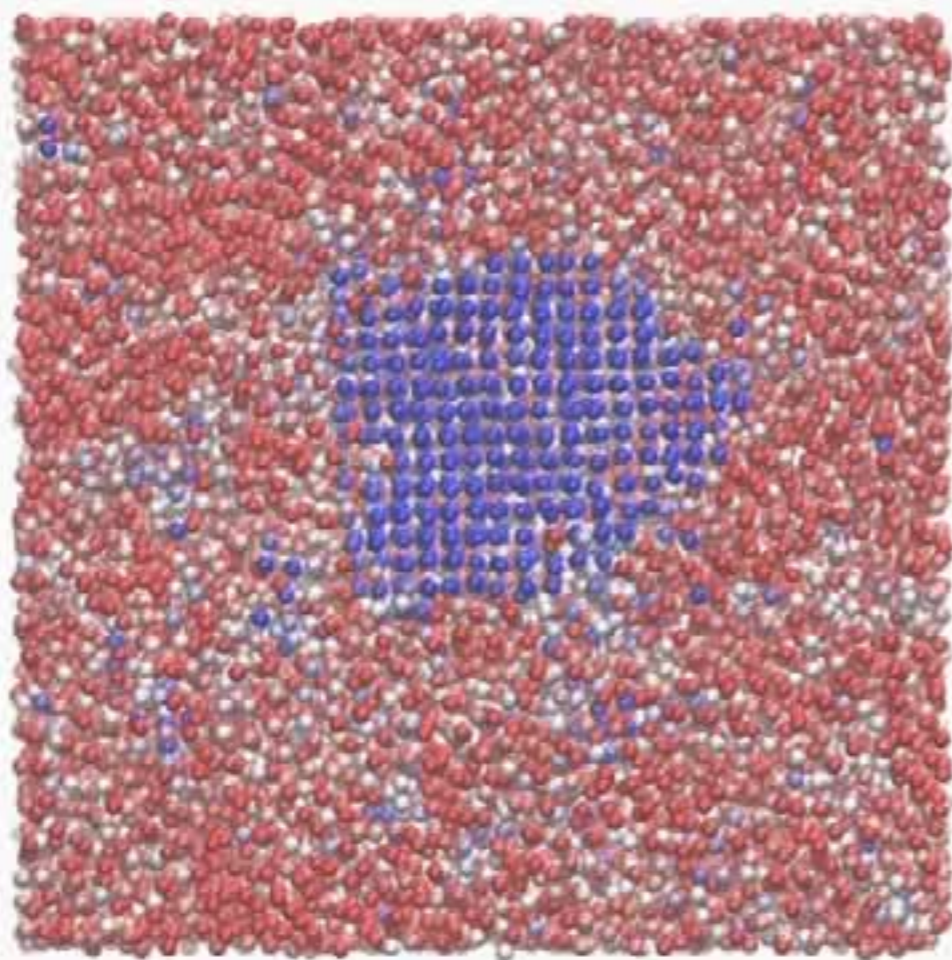
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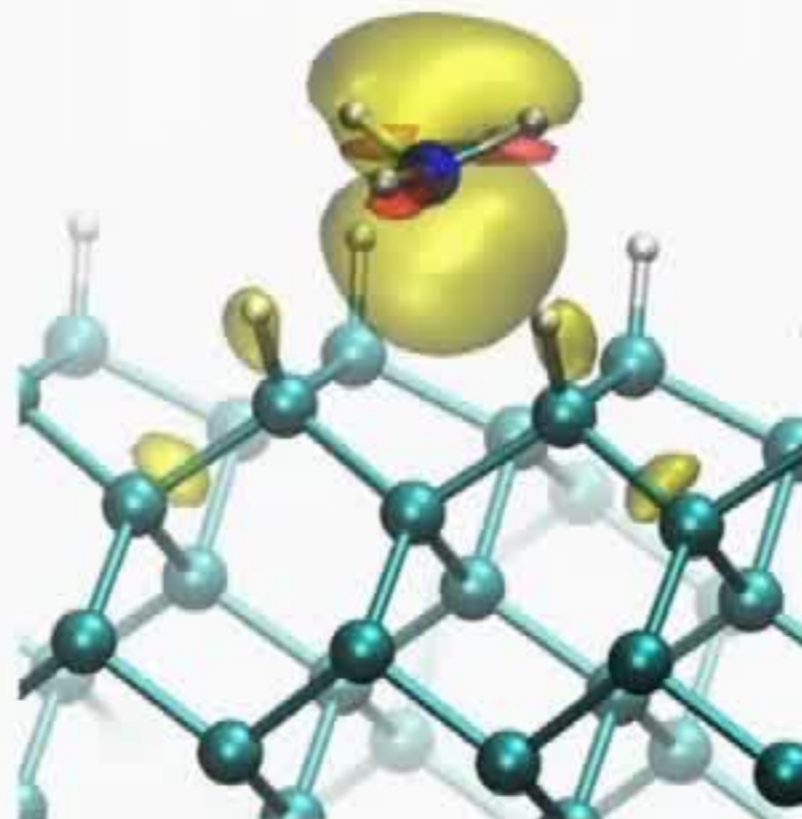
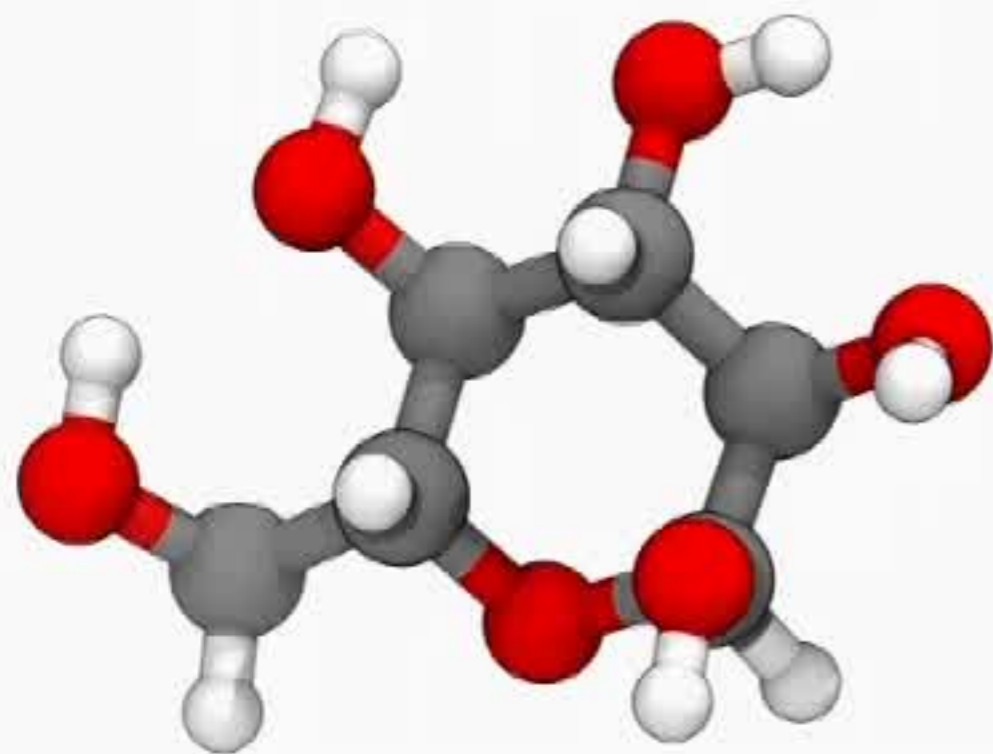


Cheng & MC, J. Chem. Phys. (2017)



# Machine Learning for Atomic-Scale Properties

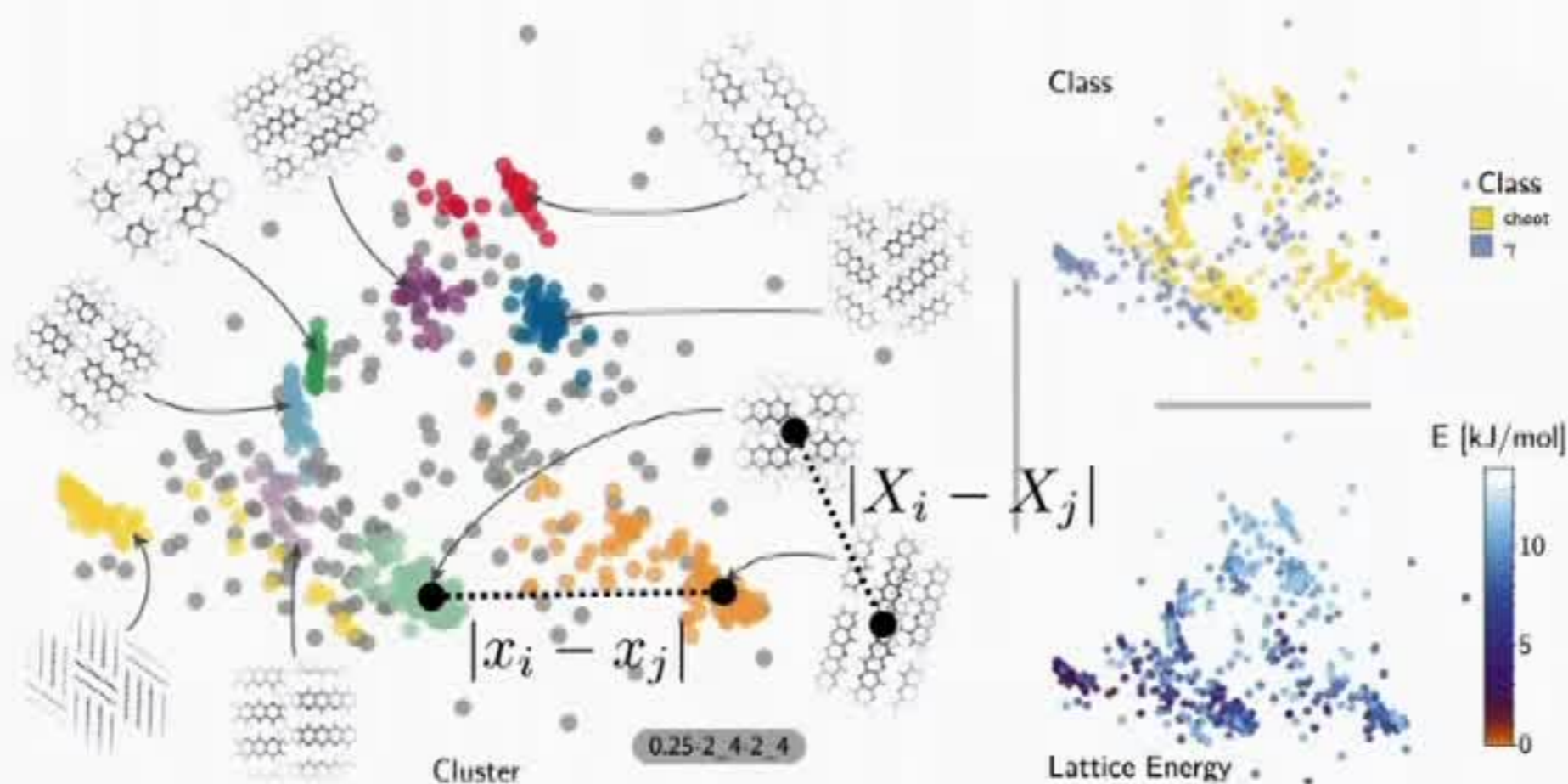
- An alternative to quantum mechanics and empirical models
- Predict atomic-scale properties based on coordinates and nature of the atoms, following training on reference configurations
- Well-principled: incorporates structure and symmetries of physical laws
- Not only a fancy interpolator: use ML to gain insights and understanding



$$E(\mathbf{q}) = ML(\mathbf{q} | \{\mathbf{q}_i, V_i\})$$

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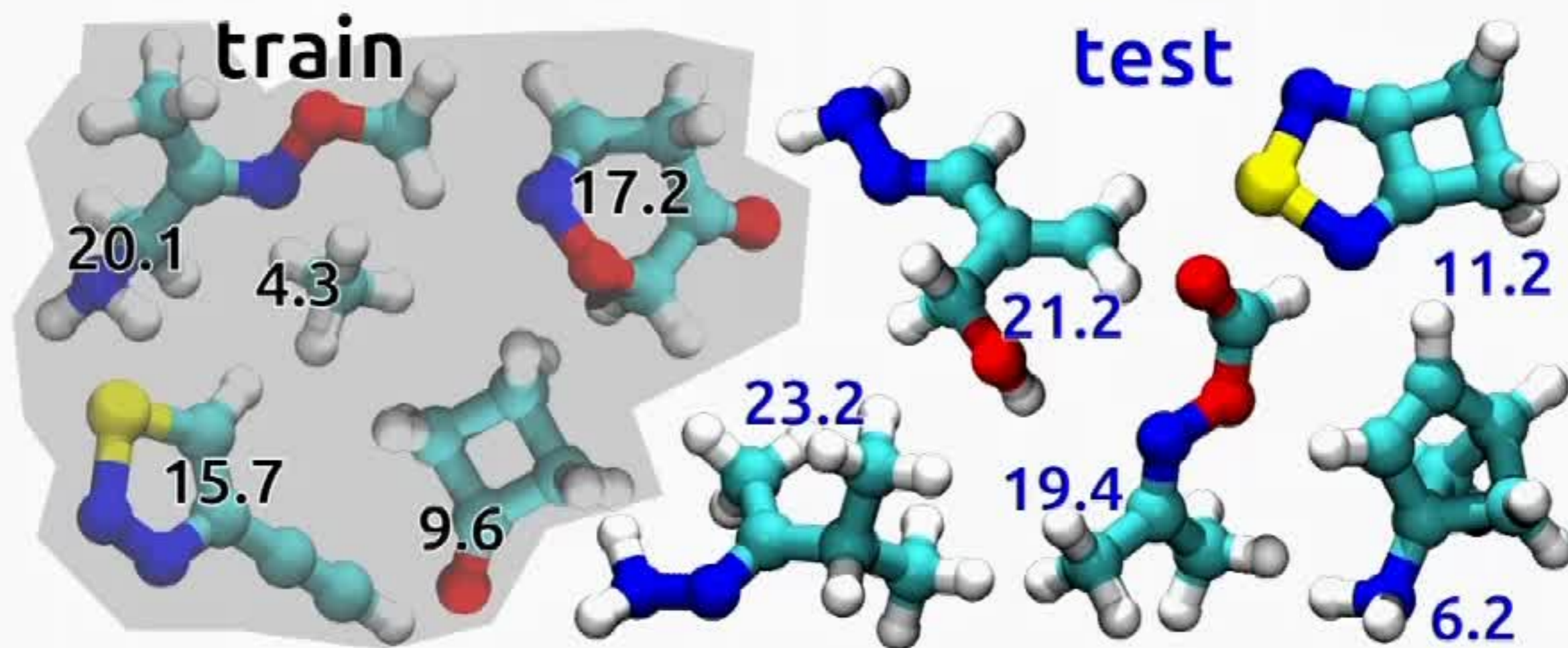


$$\{X_i\} \Rightarrow \{x_i\} \quad \{x_i\} = \operatorname{argmin} \sum_{ij} [s(|X_i - X_j|) - s(|x_i - x_j|)]^2$$

Musil, De, Yang, Campbell, Day, **MC**, Chemical Science (2018)

# Machine-Learning as a Universal Interpolator

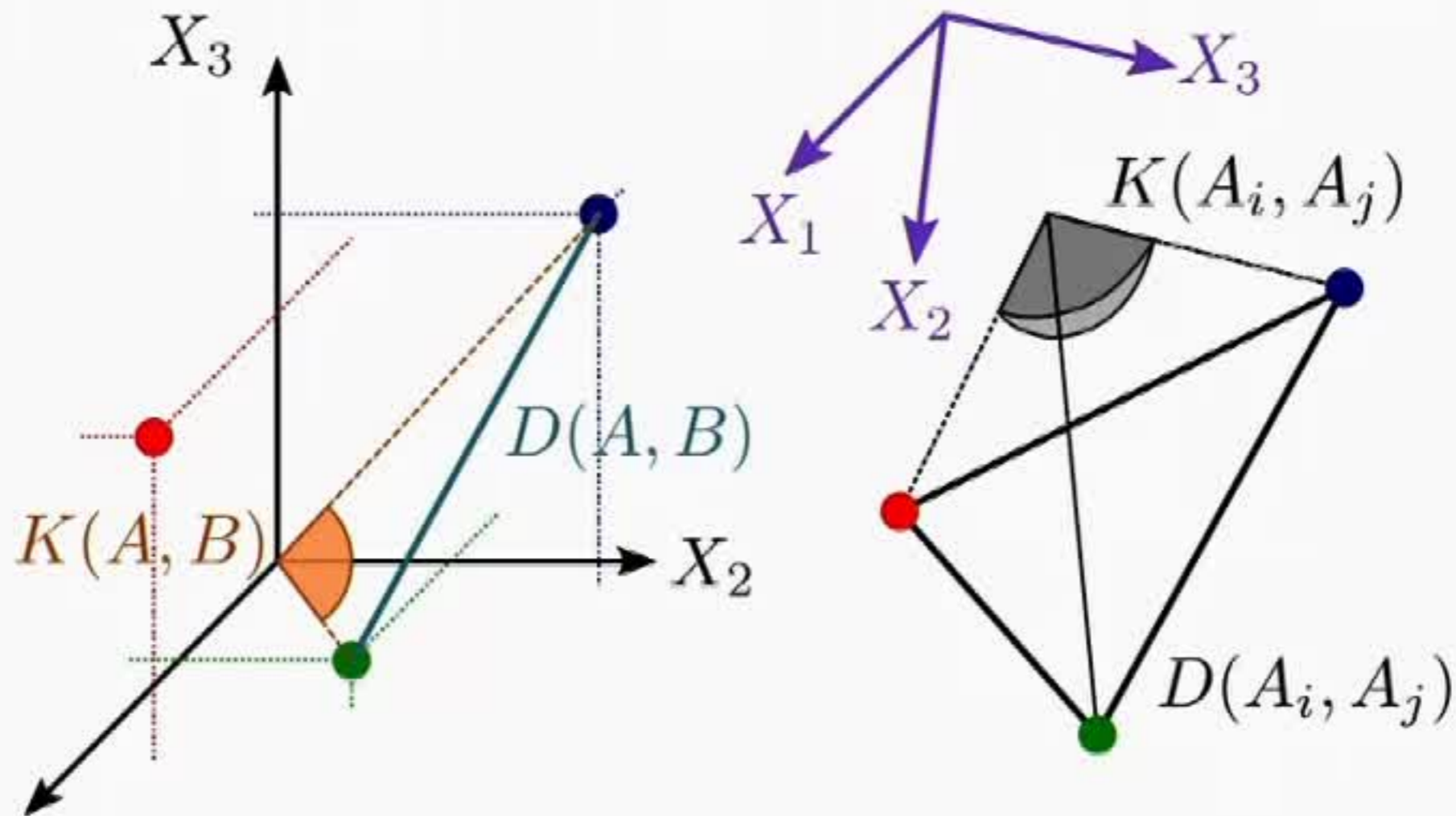
- Machine-learning can be regarded as a sophisticated interpolation between a few known values of the properties
- Can it be made as accurate and general as the Schrödinger equation?
- Kernels are the main ingredient. Think of them as scalar products between vectors that represent structures,  $K(A, B) \sim \langle A|B \rangle$ .



$$E(A) = \sum_i w_i K(A, A_i)$$

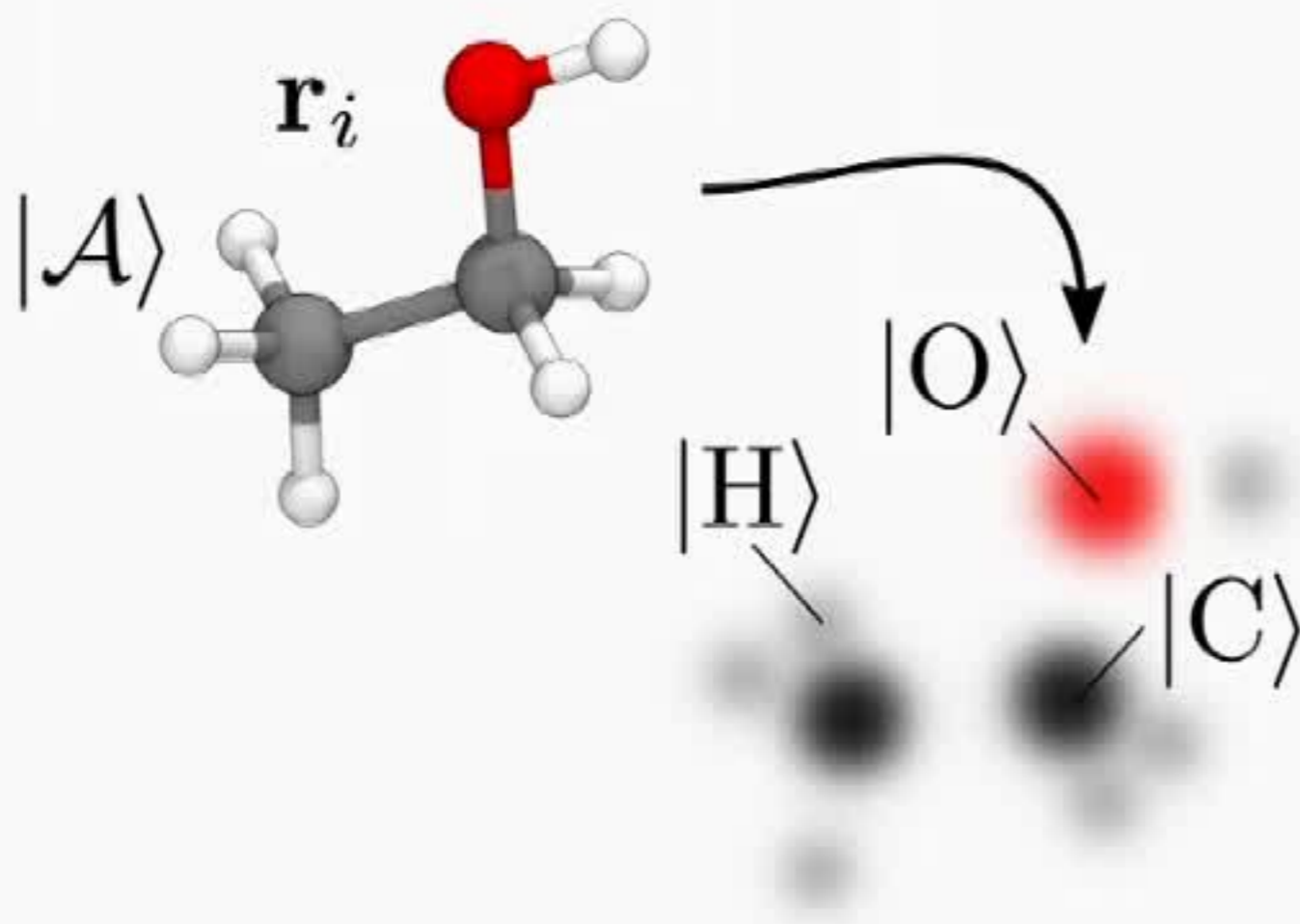
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# A Symmetry-Adapted Atom-Density Representation

- Structural representation based on a decorated atom-density vector  $|\mathcal{A}\rangle$
- Physical symmetries are recovered by integration over group
- Use tensor products to reduce information loss
- $|\mathcal{A}^{(\nu)}\rangle_{\tilde{\Gamma}}$  leads naturally to atom-centered decomposition
- Rotational average yields  $(\nu + 1)$ -body correlation functions  $|\mathcal{X}^{(\nu)}\rangle_{\bar{R}}$

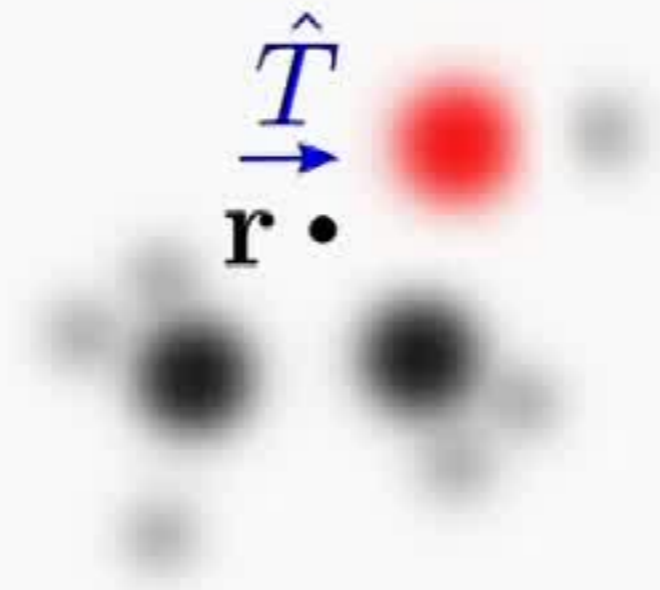


$$\langle \mathbf{r} | \mathcal{A} \rangle = \sum_i g(\mathbf{r} - \mathbf{r}_i) |\alpha_i\rangle$$

Willatt, Musil, MC, <https://arxiv.org/pdf/1807.00408>

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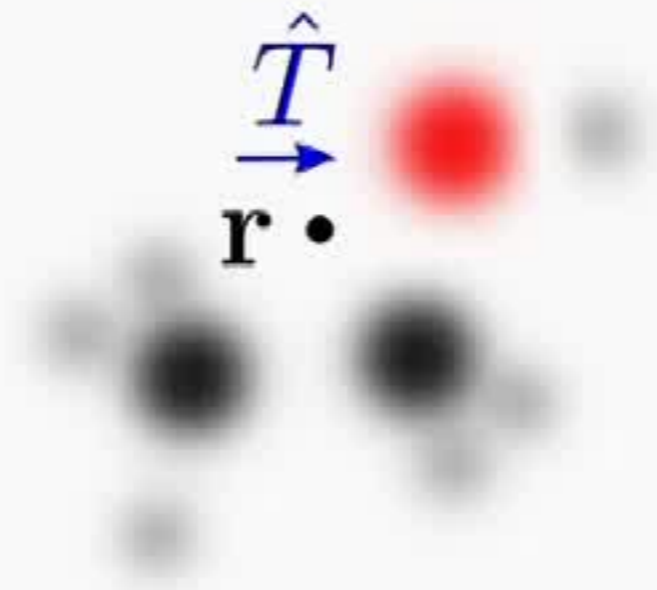


$$\int d\hat{T} \langle \mathbf{r} | \hat{T} | \mathcal{A} \rangle = \sum_{\alpha} N_{\alpha} |\alpha\rangle$$

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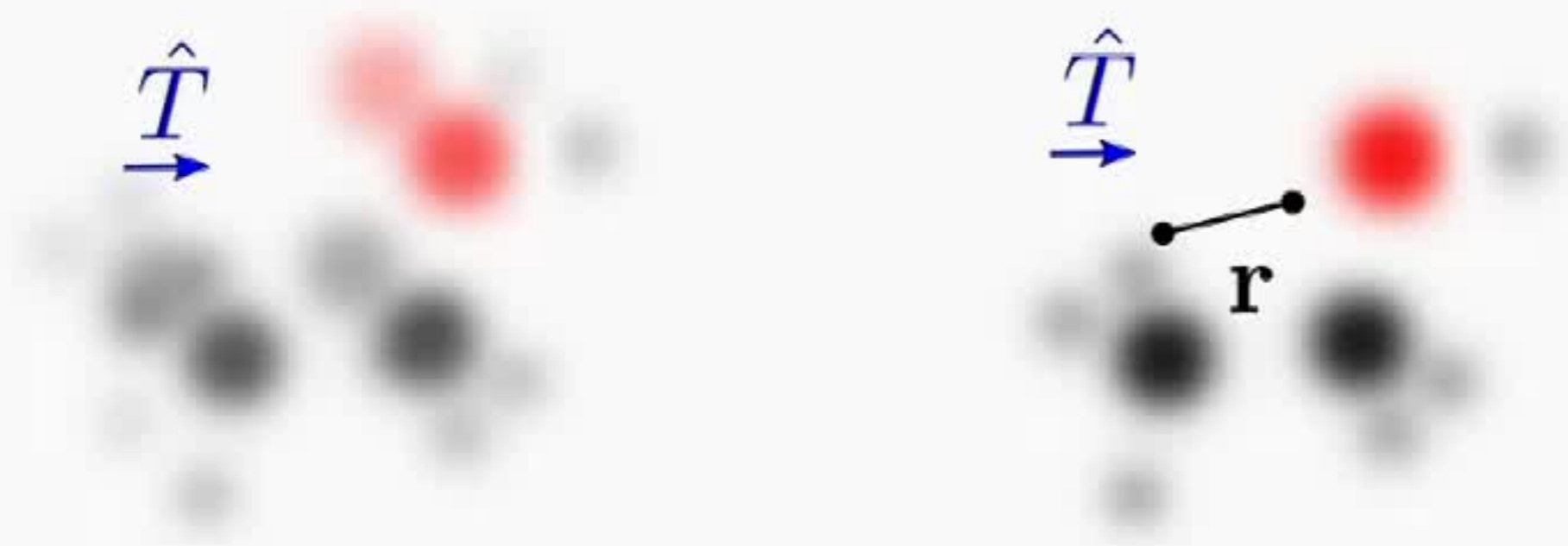


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$$\int d\hat{T} \hat{T} |\mathcal{A}\rangle \otimes \hat{T} |\mathcal{A}\rangle = \int d\mathbf{r}' \langle \mathbf{r}' | \mathcal{A} \rangle \langle \mathbf{r}' + \mathbf{r} | \mathcal{A} \rangle$$

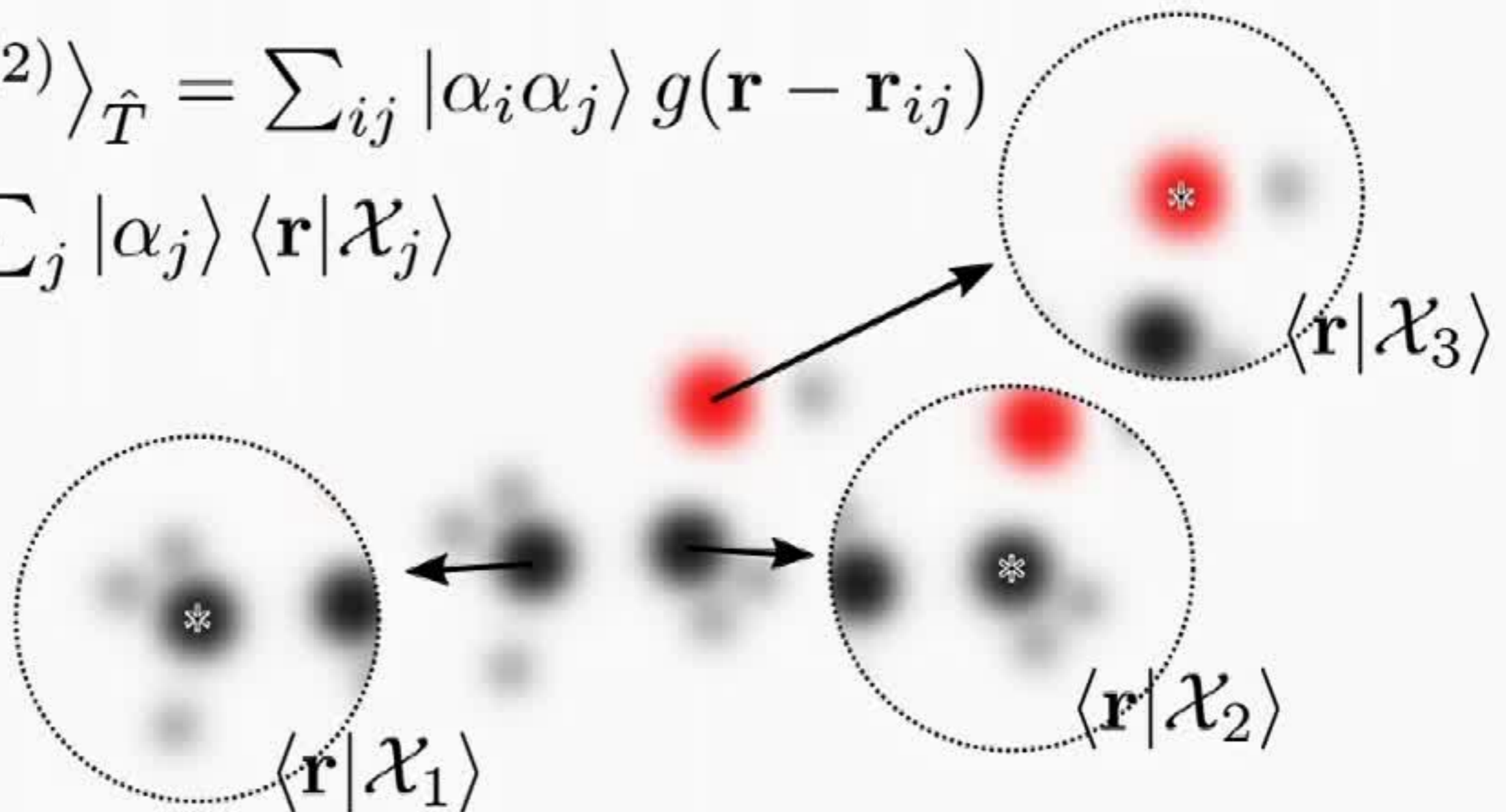
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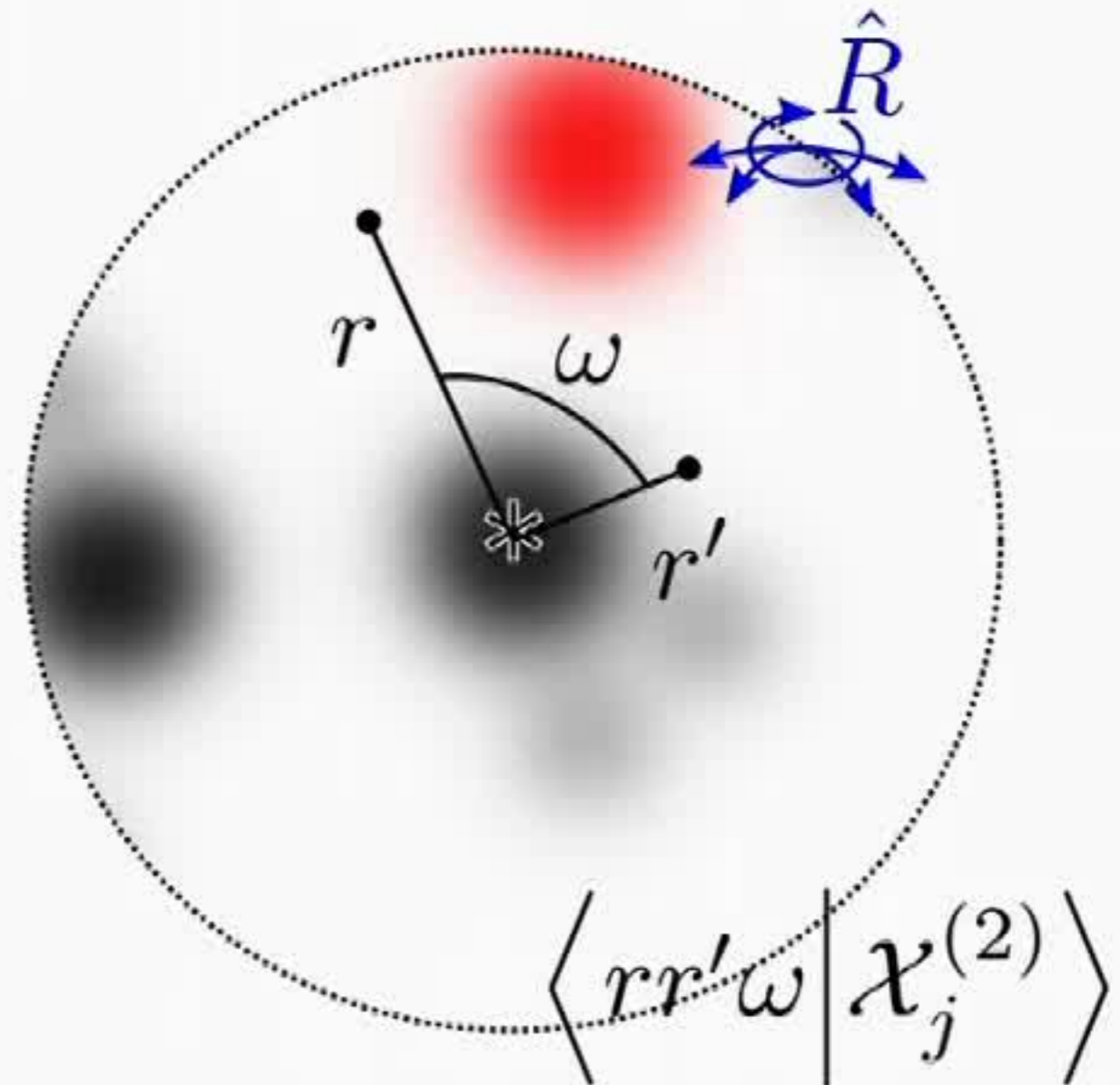
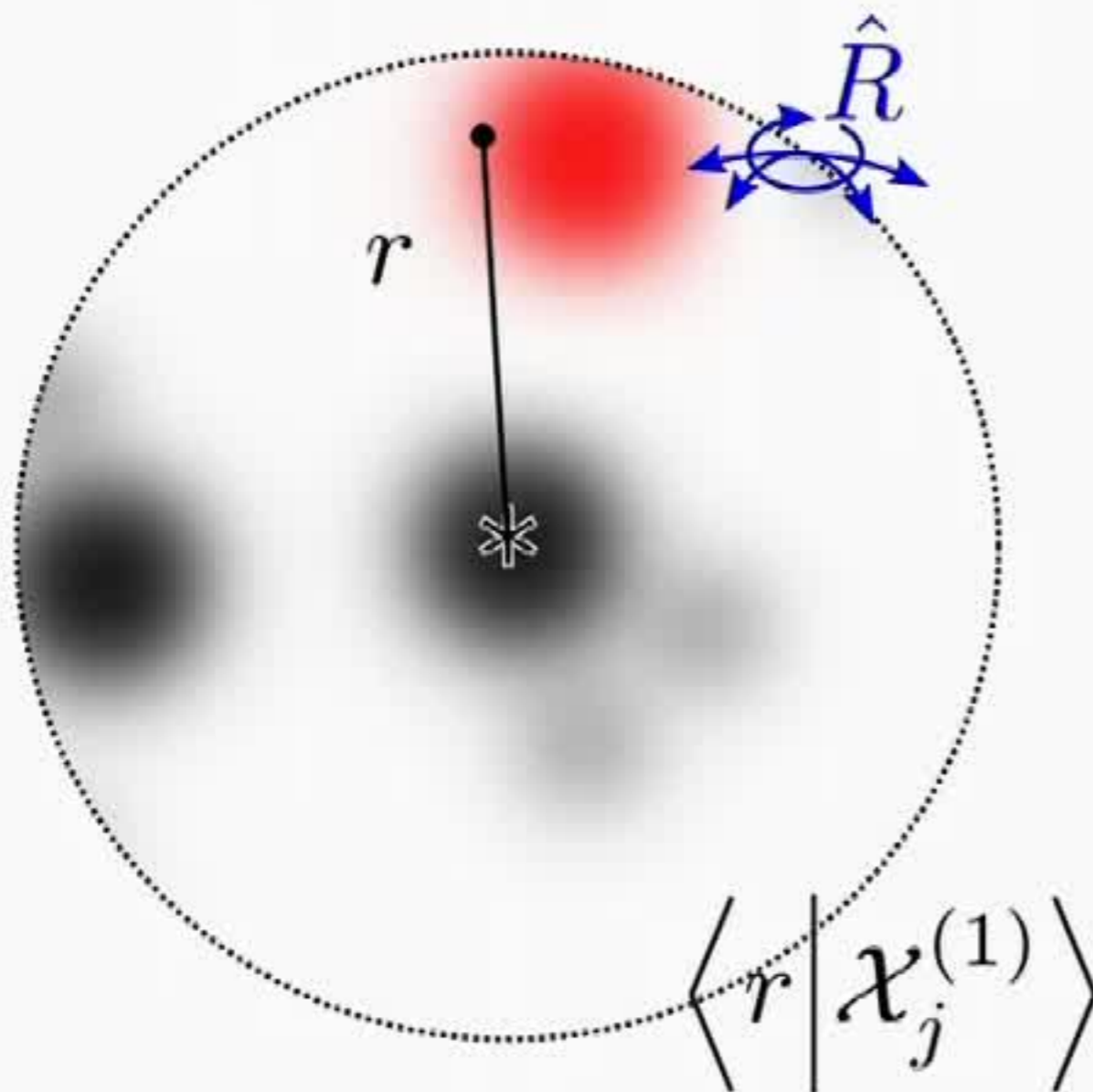
$$\begin{aligned}\langle \mathbf{r} | \mathcal{A}^{(2)} \rangle_{\hat{T}} &= \sum_{ij} |\alpha_i \alpha_j\rangle g(\mathbf{r} - \mathbf{r}_{ij}) \\ &= \sum_j |\alpha_j\rangle \langle \mathbf{r} | \mathcal{X}_j \rangle\end{aligned}$$



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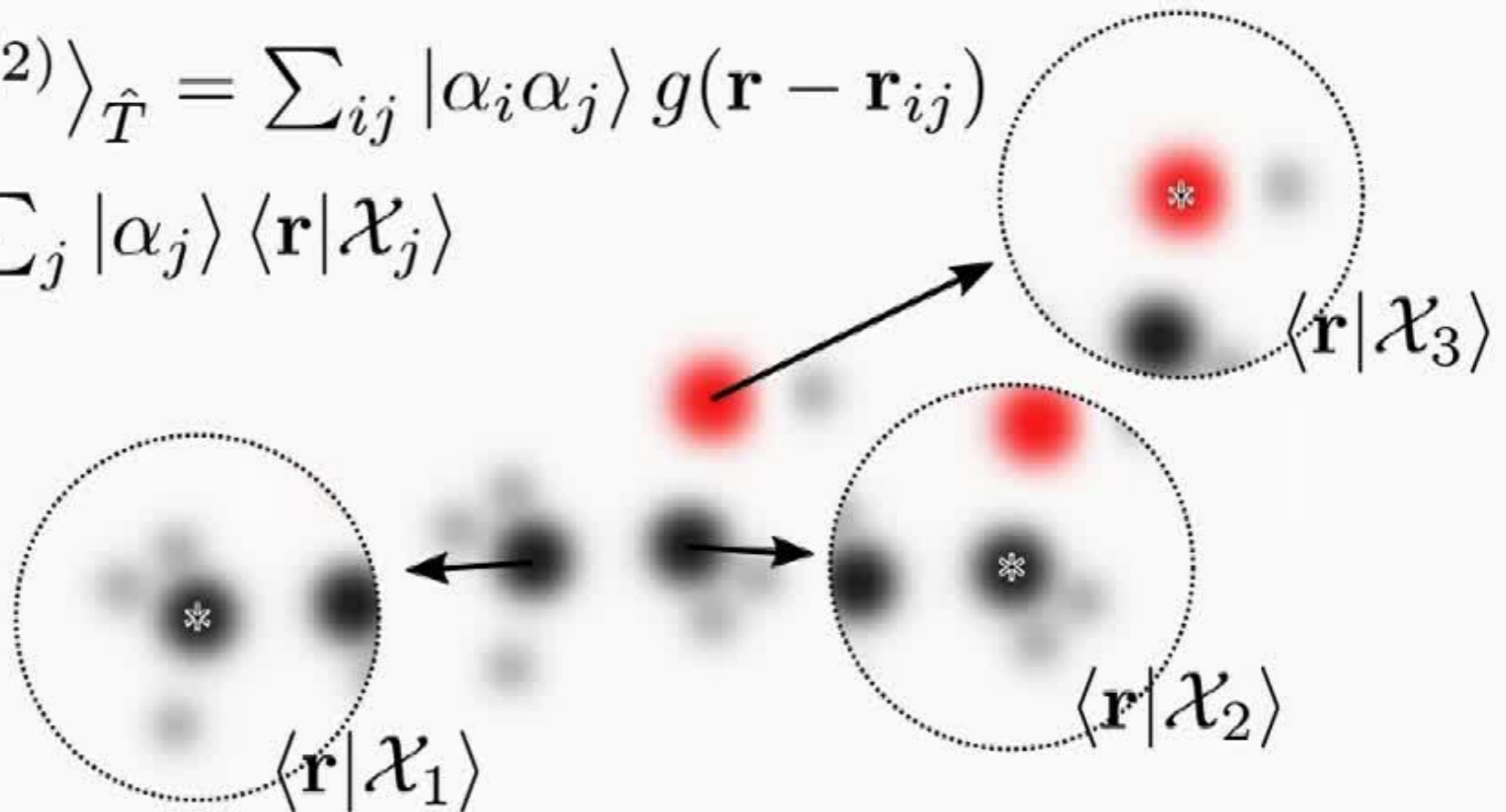
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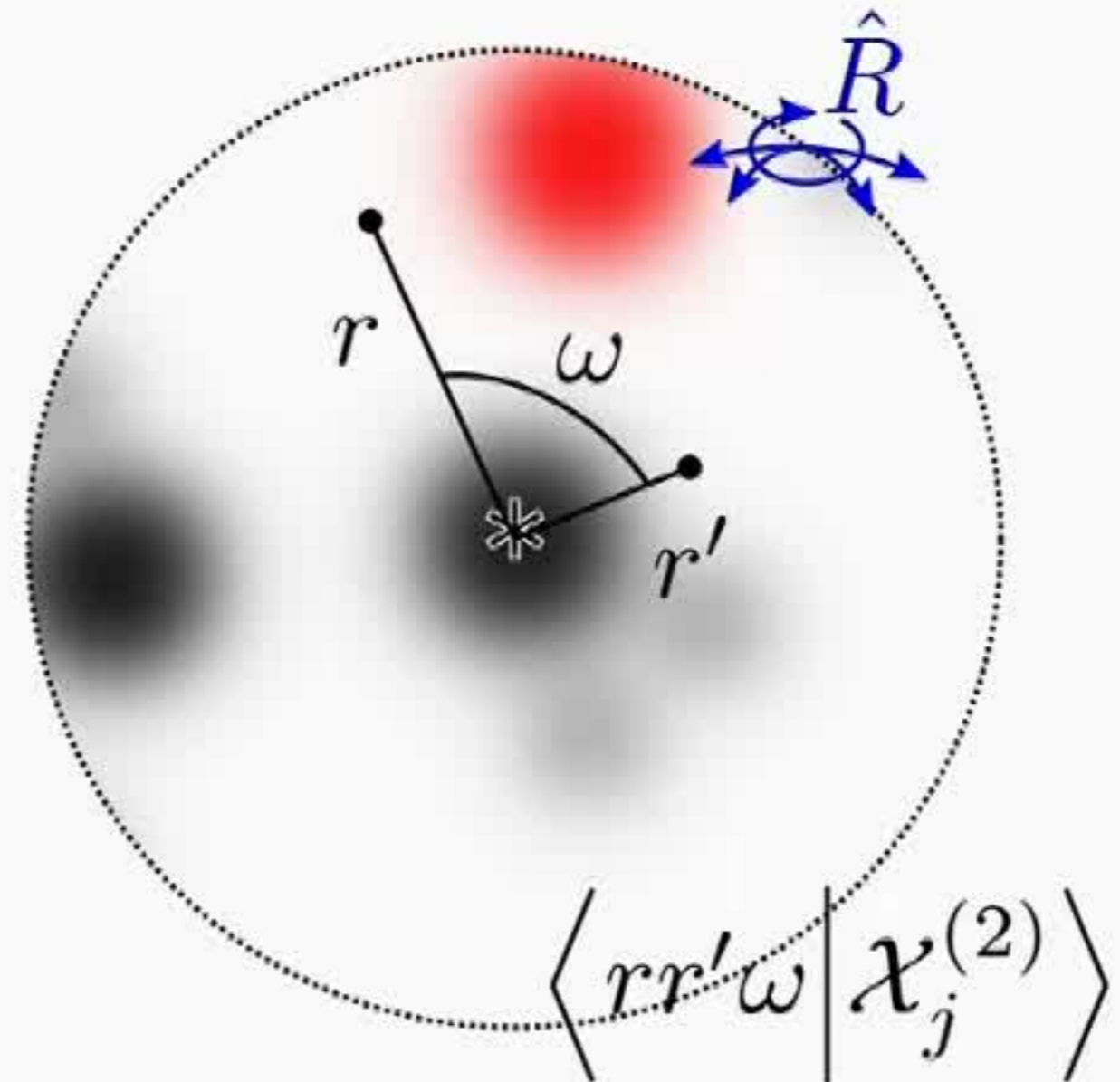
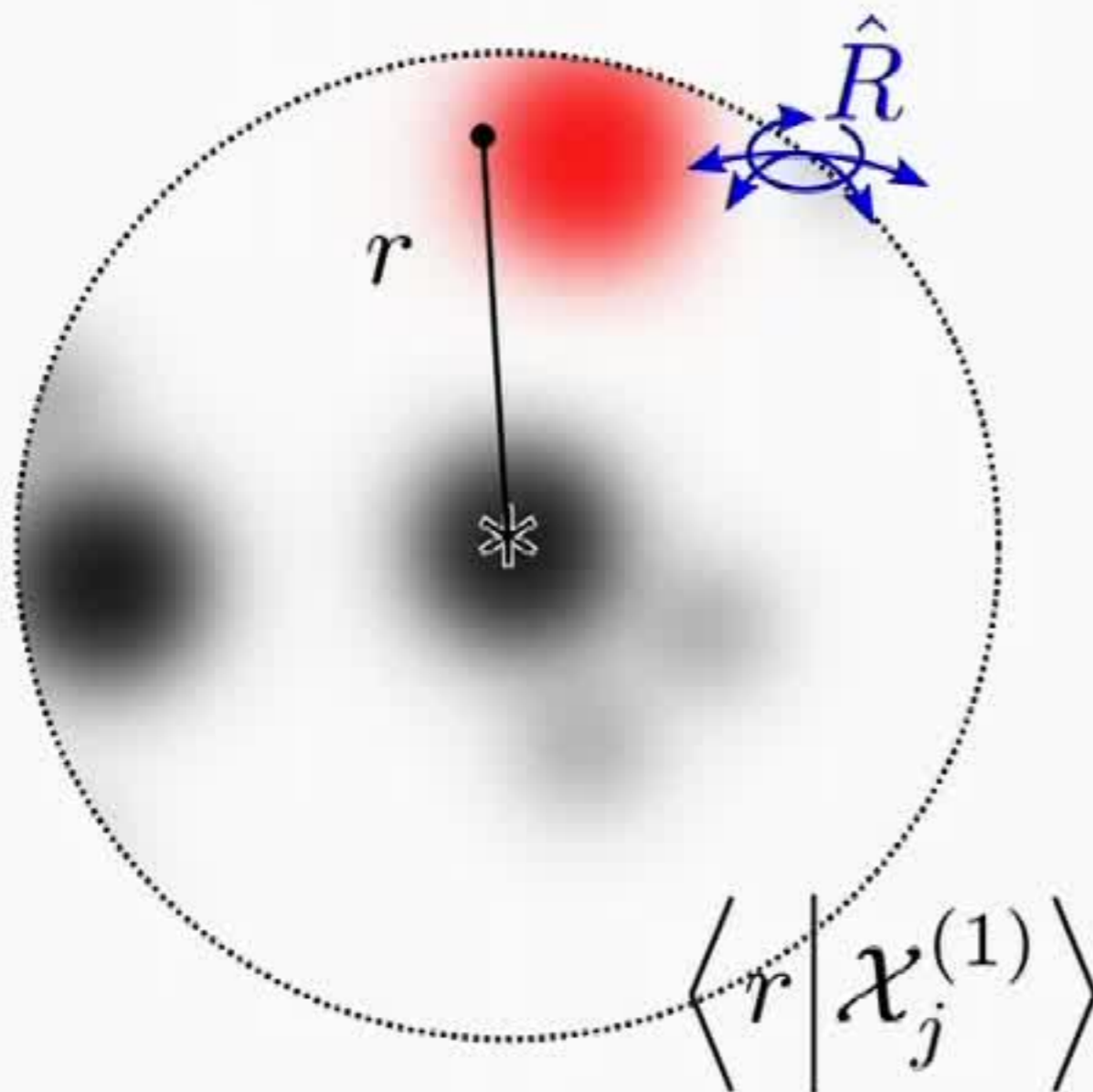
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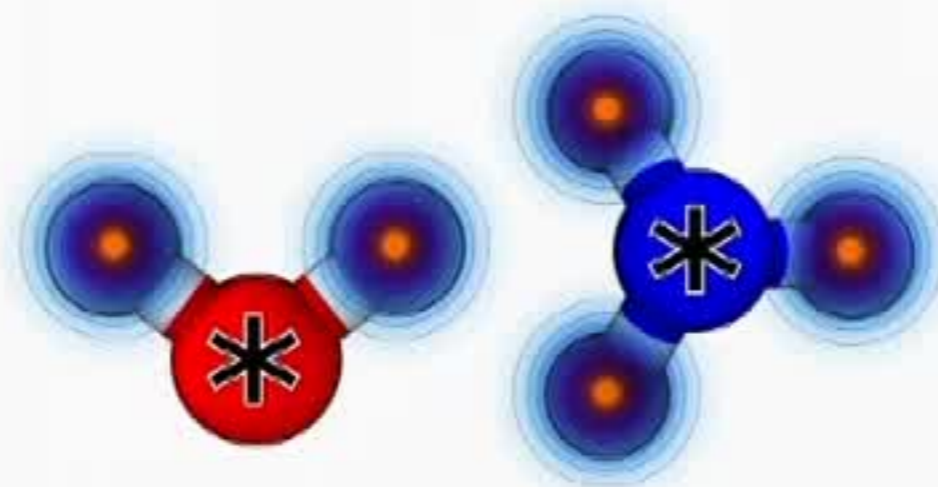
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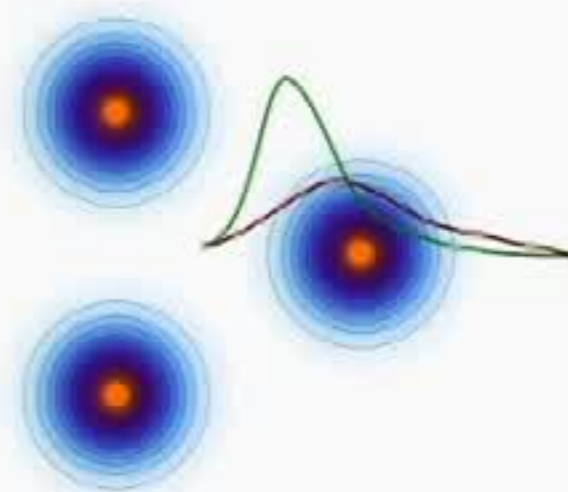


# Symmetry Adapted Representations & SOAP Kernel

- Most of the existing density-based representations and kernels emerge as special cases of this framework
- Not necessary to use position basis. Radial functions and spherical harmonics  $\rightarrow$  SOAP power spectrum and kernel
- Other strategies to combine local kernels (entropy-regularized match)



$$\langle \mathbf{r} | \mathcal{X}_j \rangle = \psi(\mathbf{r}) = \sum_i g(\mathbf{r} - \mathbf{r}_{ij})$$

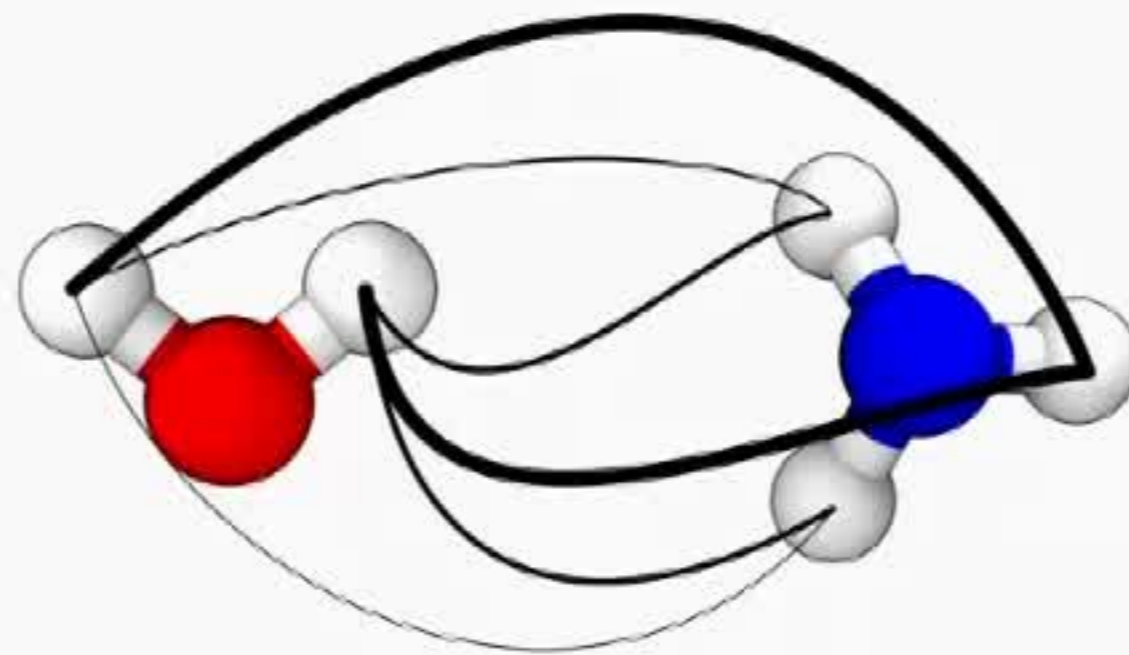


$$\langle nlm | \mathcal{X}_j \rangle = \int d\mathbf{x} \psi(\mathbf{r}) R_n(r) Y_m^l(\hat{\mathbf{r}})$$

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$$K(A, B) = \sum_{i \in A, j \in B} P_{ij} k(\mathcal{X}_i, \mathcal{X}_j)$$

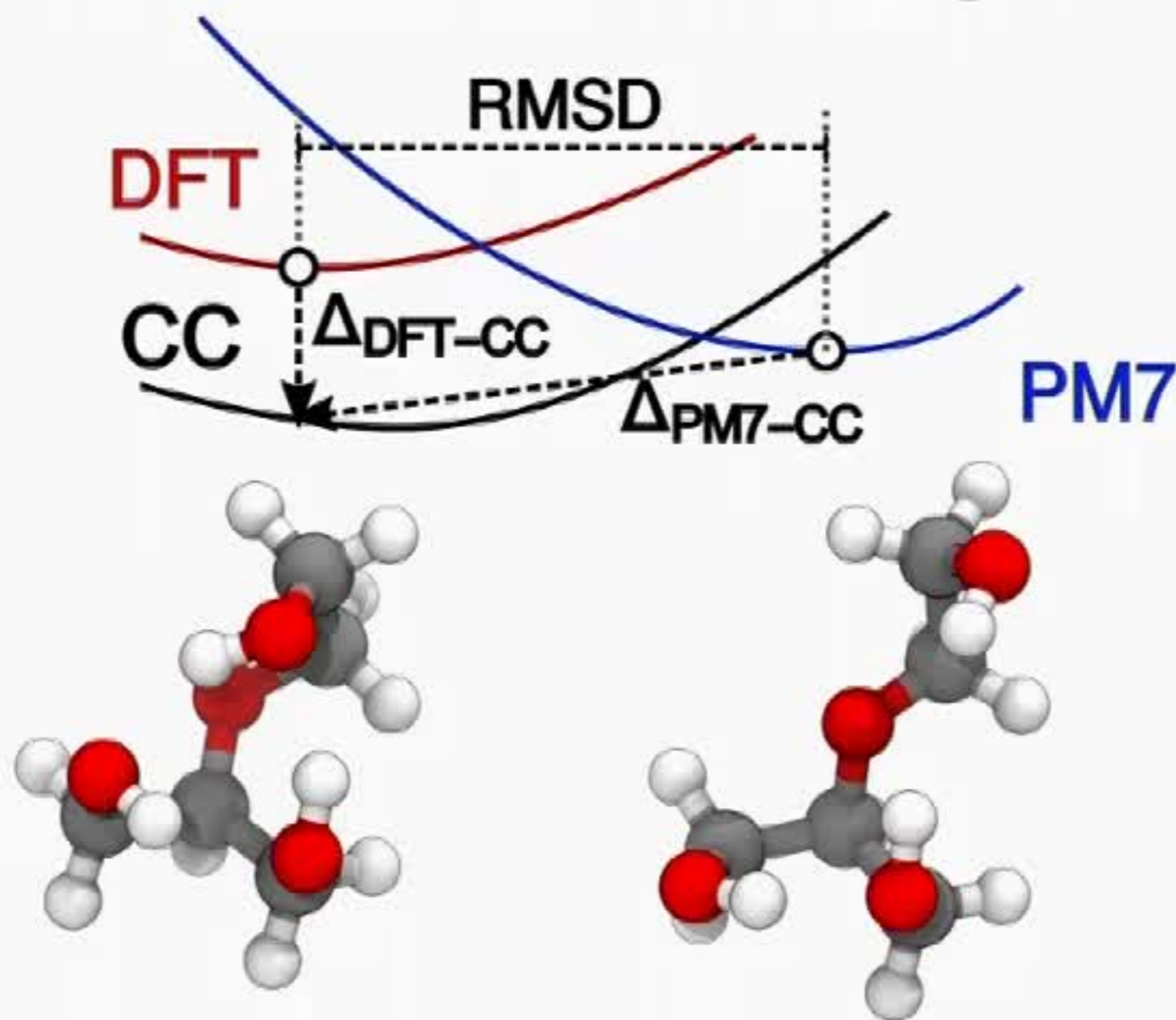


$$\hat{K}^\gamma(A, B) \propto \max_{\mathbf{P} \in \mathcal{U}} \sum_{ij} P_{ji} (C_{ij}^{A,B} - \gamma \ln P_{ji})$$

De, Bartók, Csányi, **MC**, PCCP (2016); M. Cuturi, NIPS (2013);

# 100k Molecules with Coupled-Clusters

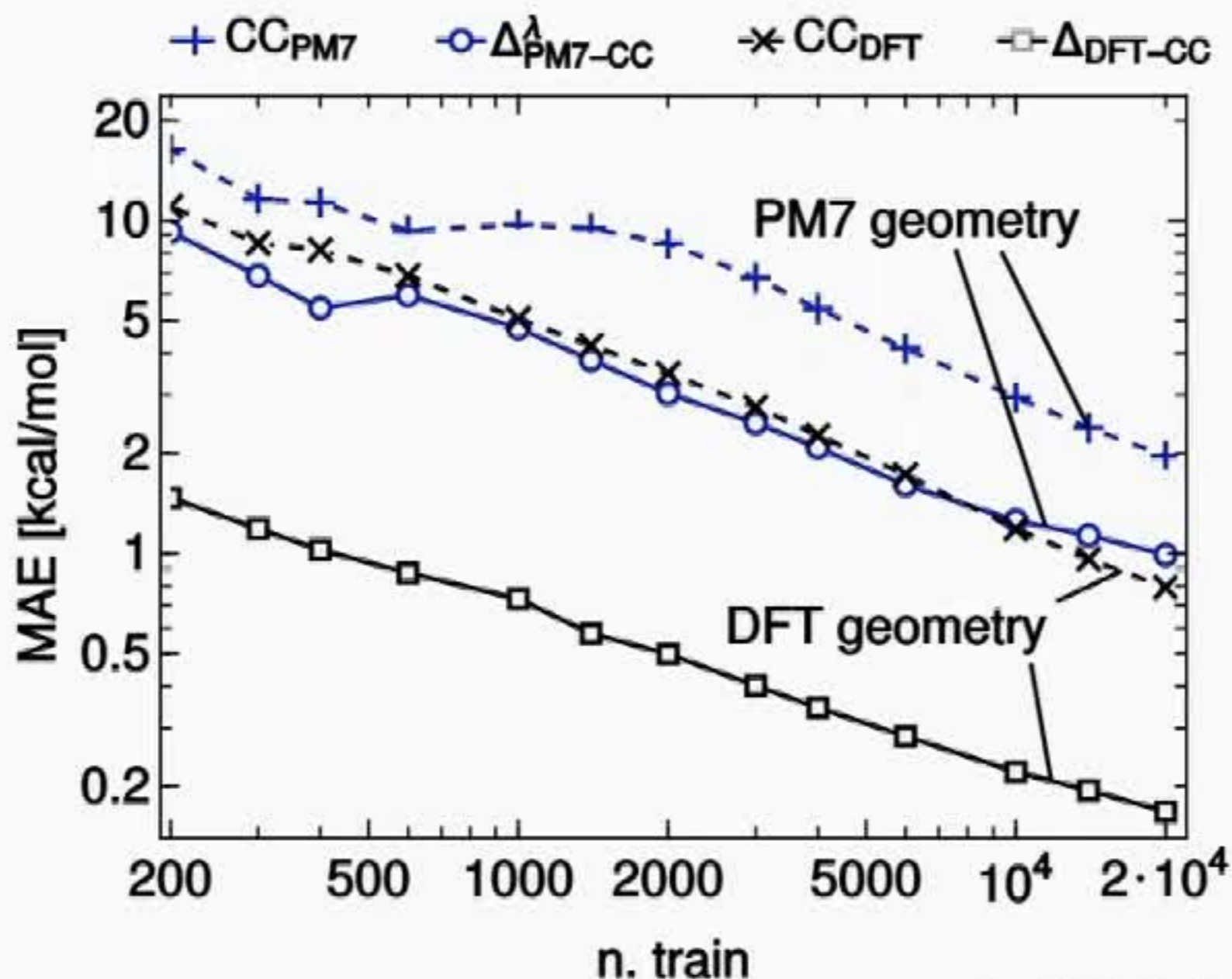
- CCSD(T) Energetics on the QM9 - 114k useful predictions based on 20k training calculations
- 1kcal/mol error for predicting CCSD(T) based on PM7 geometries;  
0.18kcal/mol error for predicting CCSD(T) based on DFT geometries!



Ramakrishnan et al., Scientific Data (2014); Ramakrishnan et al., JCTC (2015)

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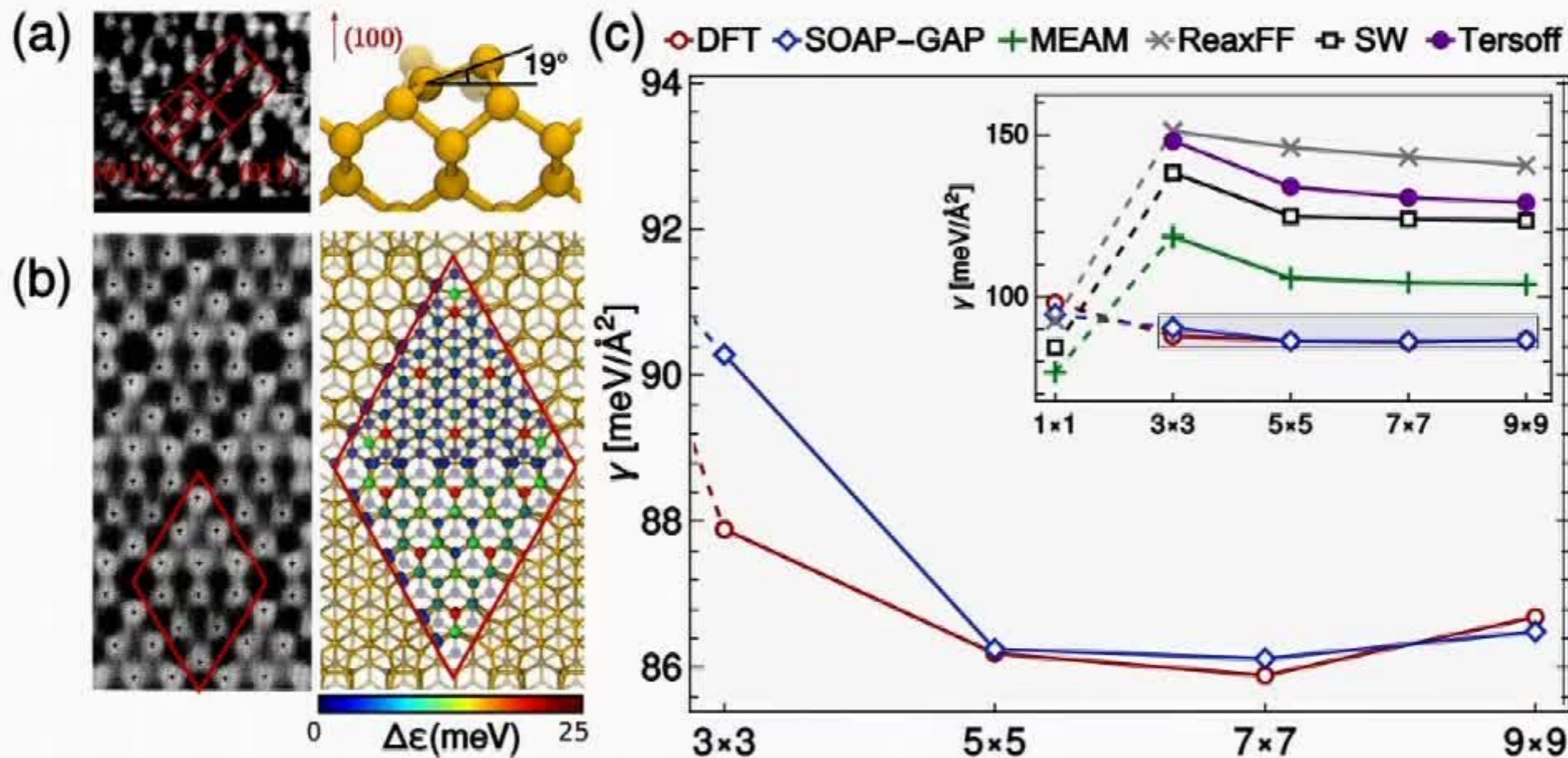
De, Bartók, Csányi, **MC**, PCCP (2016);

Bartók, De, Kermode, Bernstein, Csányi, **MC**, Science Advances (2017)



# Silicon Surfaces - Complexity in a Simple Material

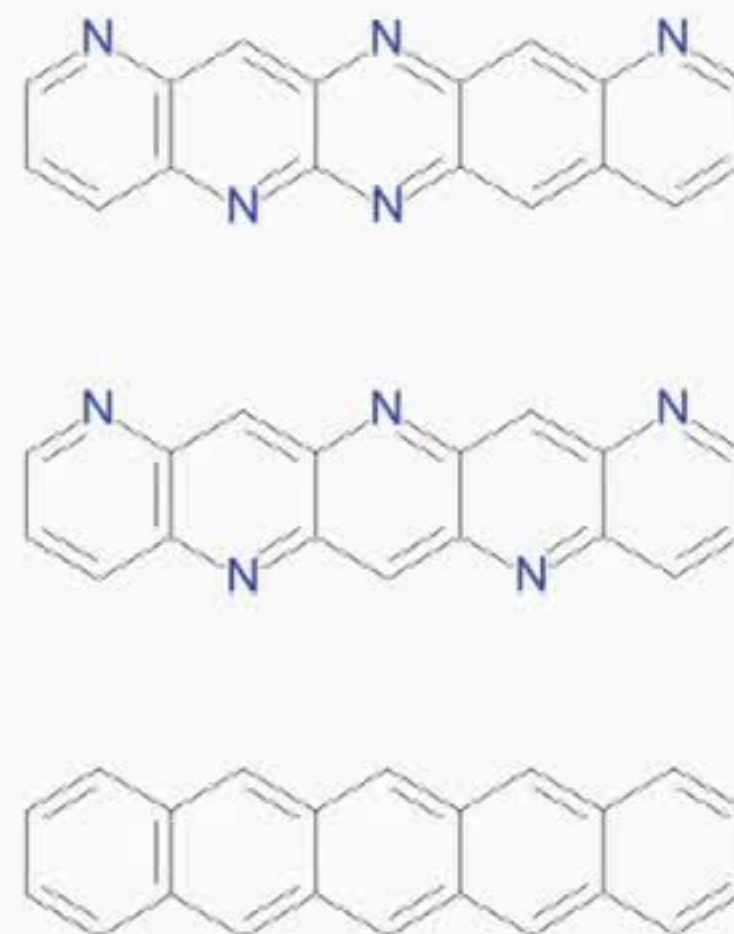
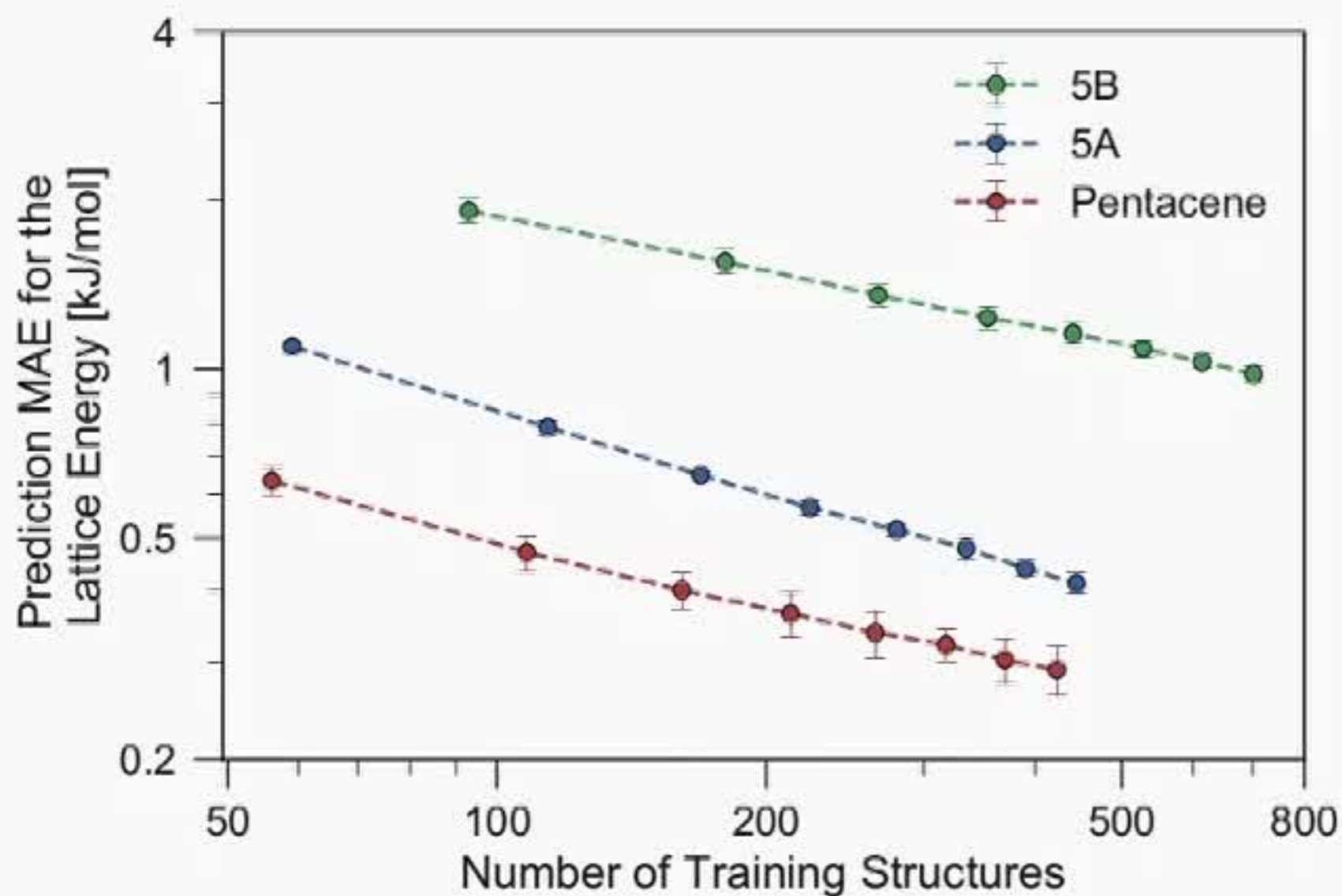
- More than just molecules: a SOAP-GAP model for Si can capture the dimer tilt in Si(100)-2x1, and the delicate energy balance that determines the stability of the Si(111) 7x7 DAS reconstruction



Bartok, De, Kermode, Bernstein, Csanyi, MC, Science Advances (2017)

# Accurate Predictions for Molecular Crystals

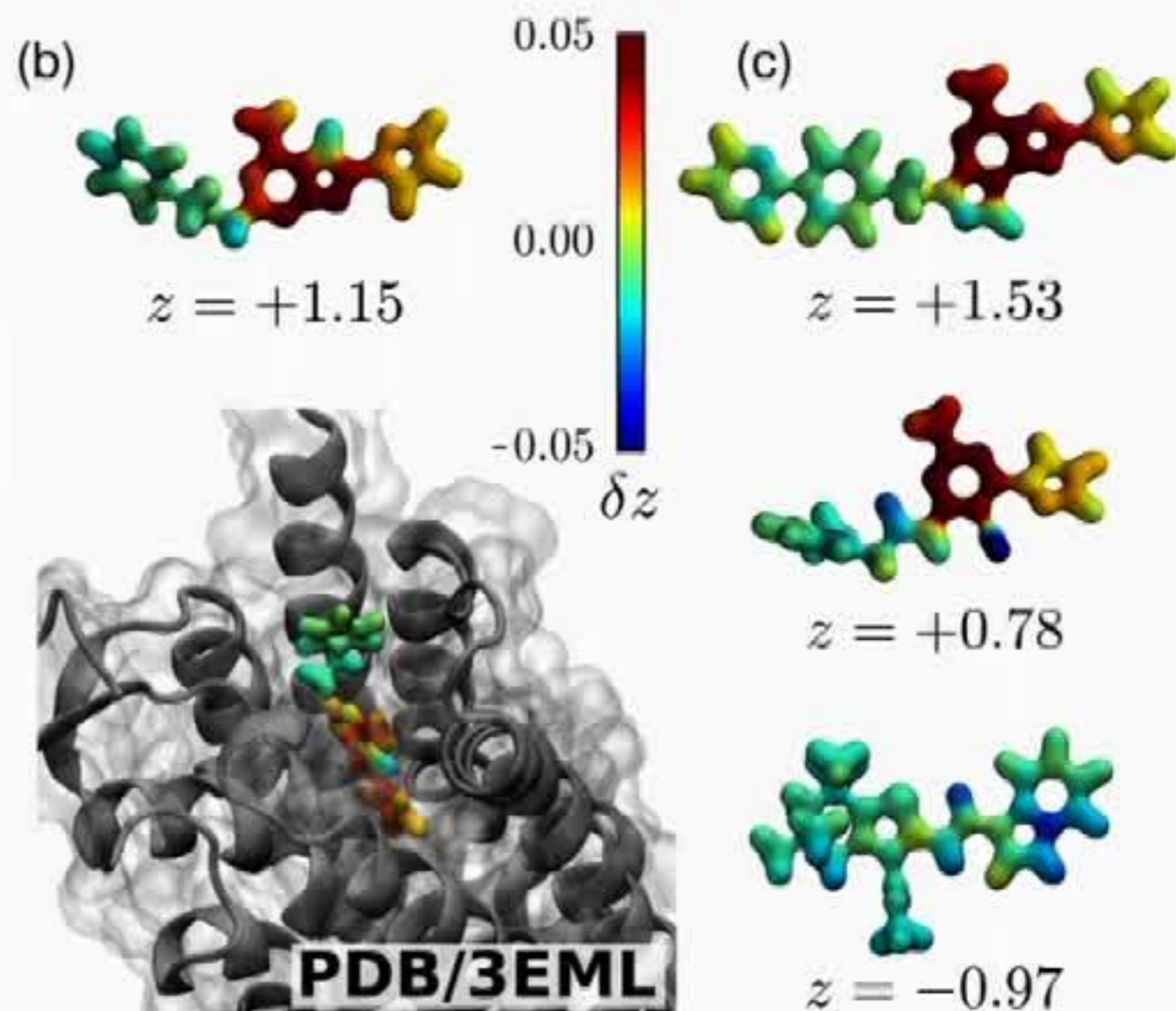
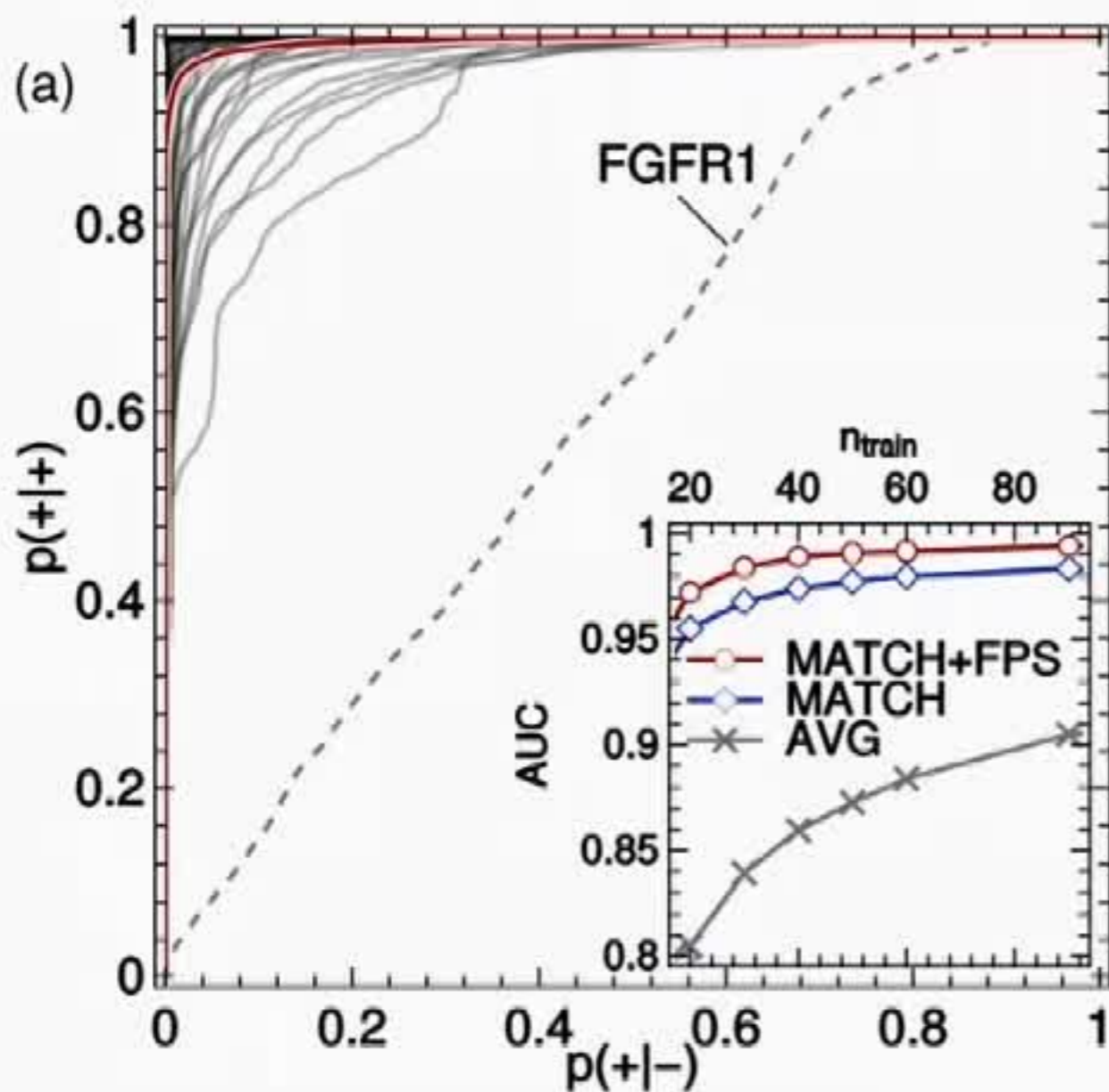
- Substituted pentacenes - model systems for molecular electronics
- Easily achieve sub-kcal/mol accuracy, with REMatch-SOAP kernels



Musil, De, Yang, Campbell, Day, **MC**, Chemical Science (2018)

# Recognizing Active Ligands for Receptor Proteins

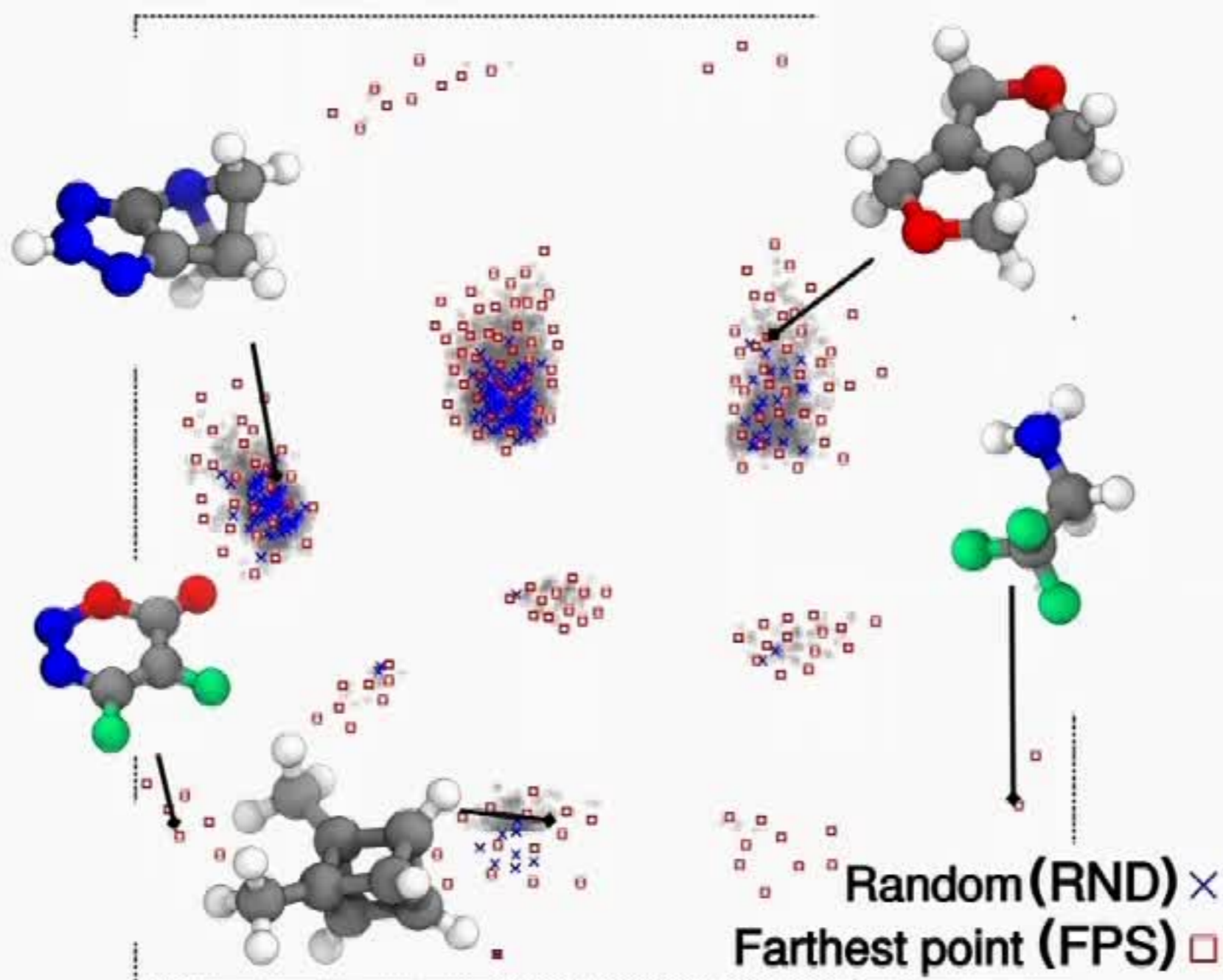
- A SOAP-REMatch-based KSVM classifies active and inactive ligands with 99% accuracy; non-additive model is crucial!
- Sensitivity analysis help identify the active “warhead” and could guide drug design and optimization



Bartok, De, Kermodé, Bernstein, Csanyi, MC, Science Advances (2017)

# Thorough Sampling of Compound Space

- The train set should cover uniformly the relevant space
  - FPS is a simple, constructive strategy to optimize the training set, opening doors to active learning

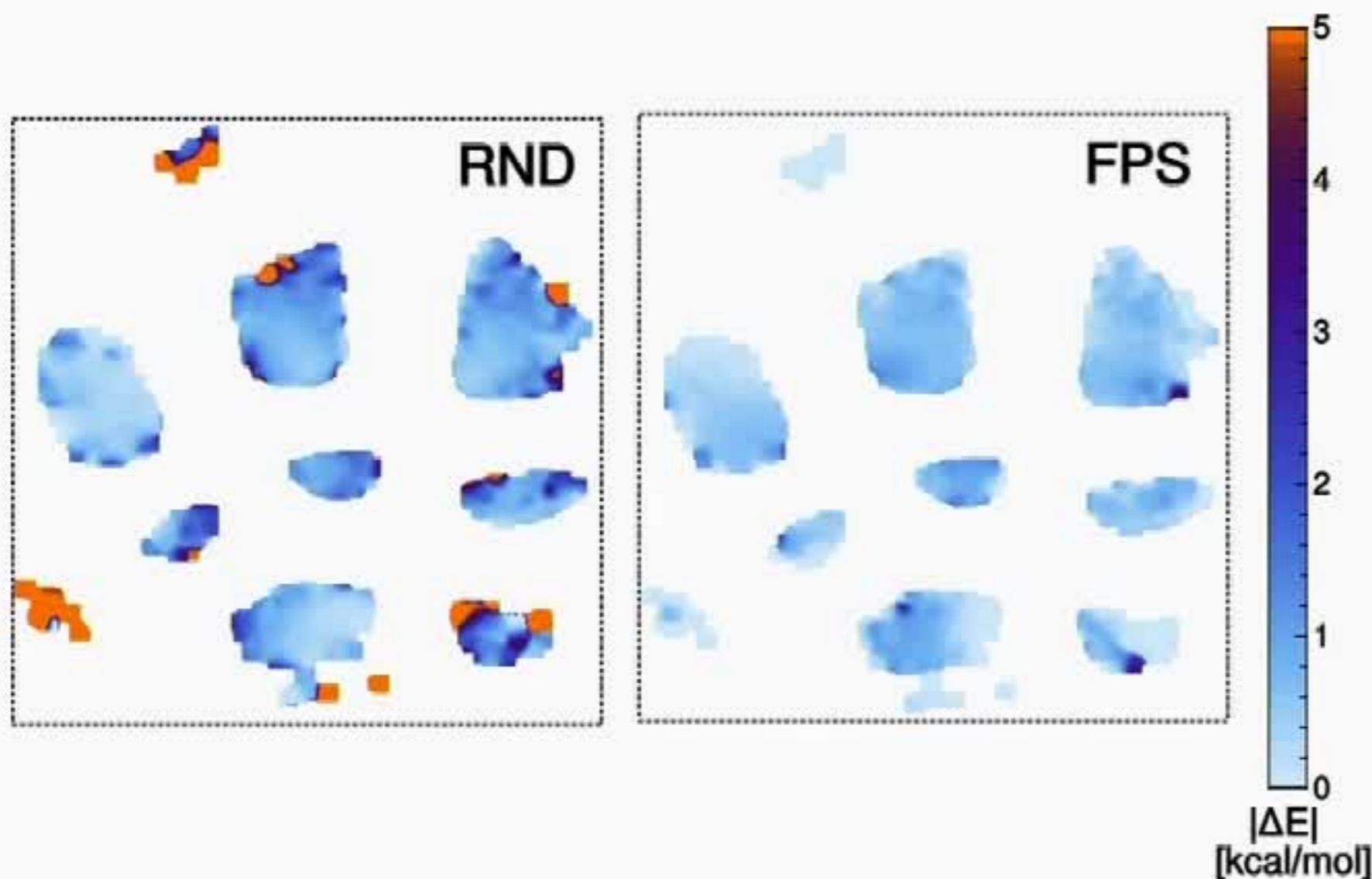


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**MC**, Tribello, Parrinello, PNAS (2011); <http://sketchmap.org>

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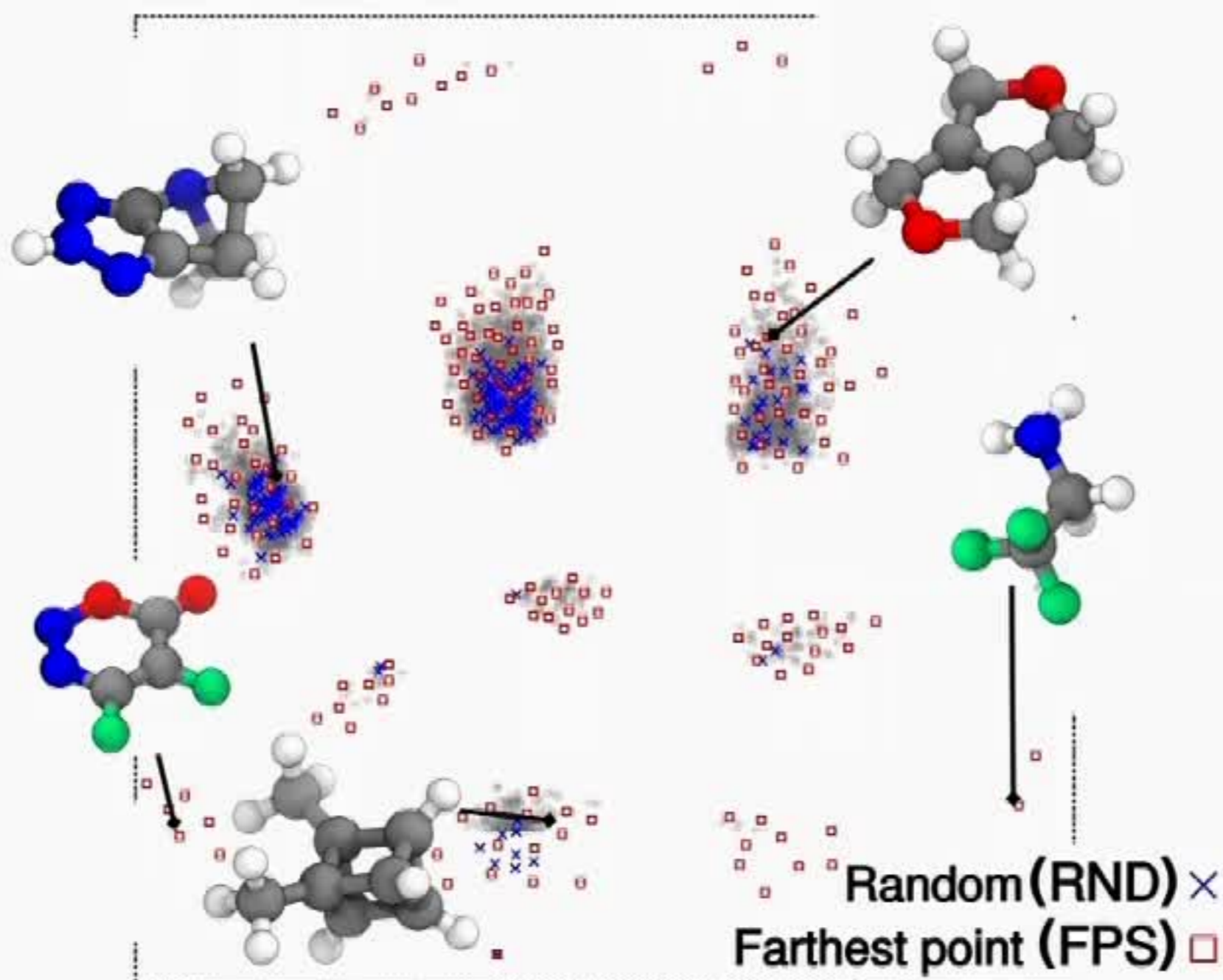


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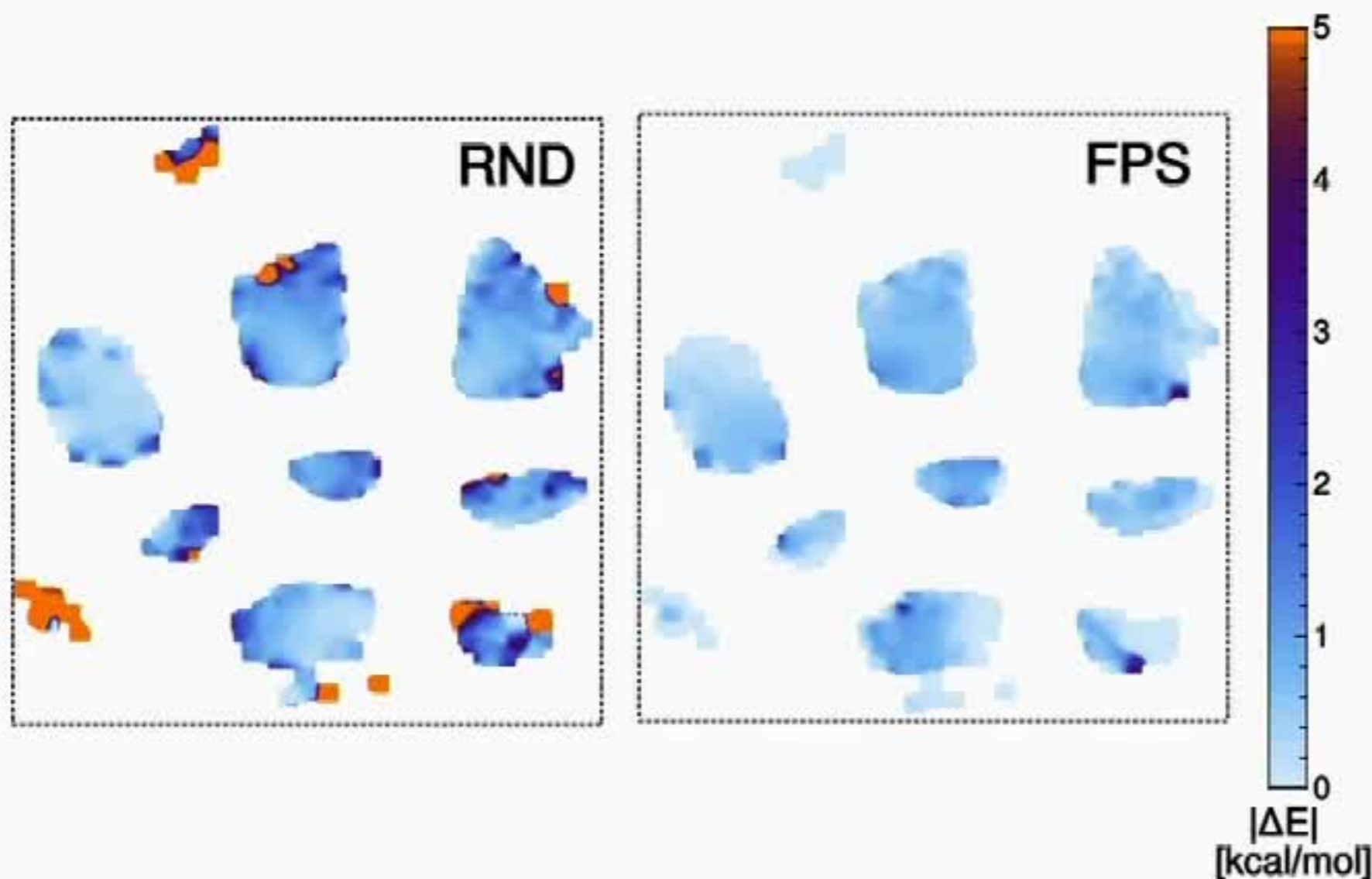


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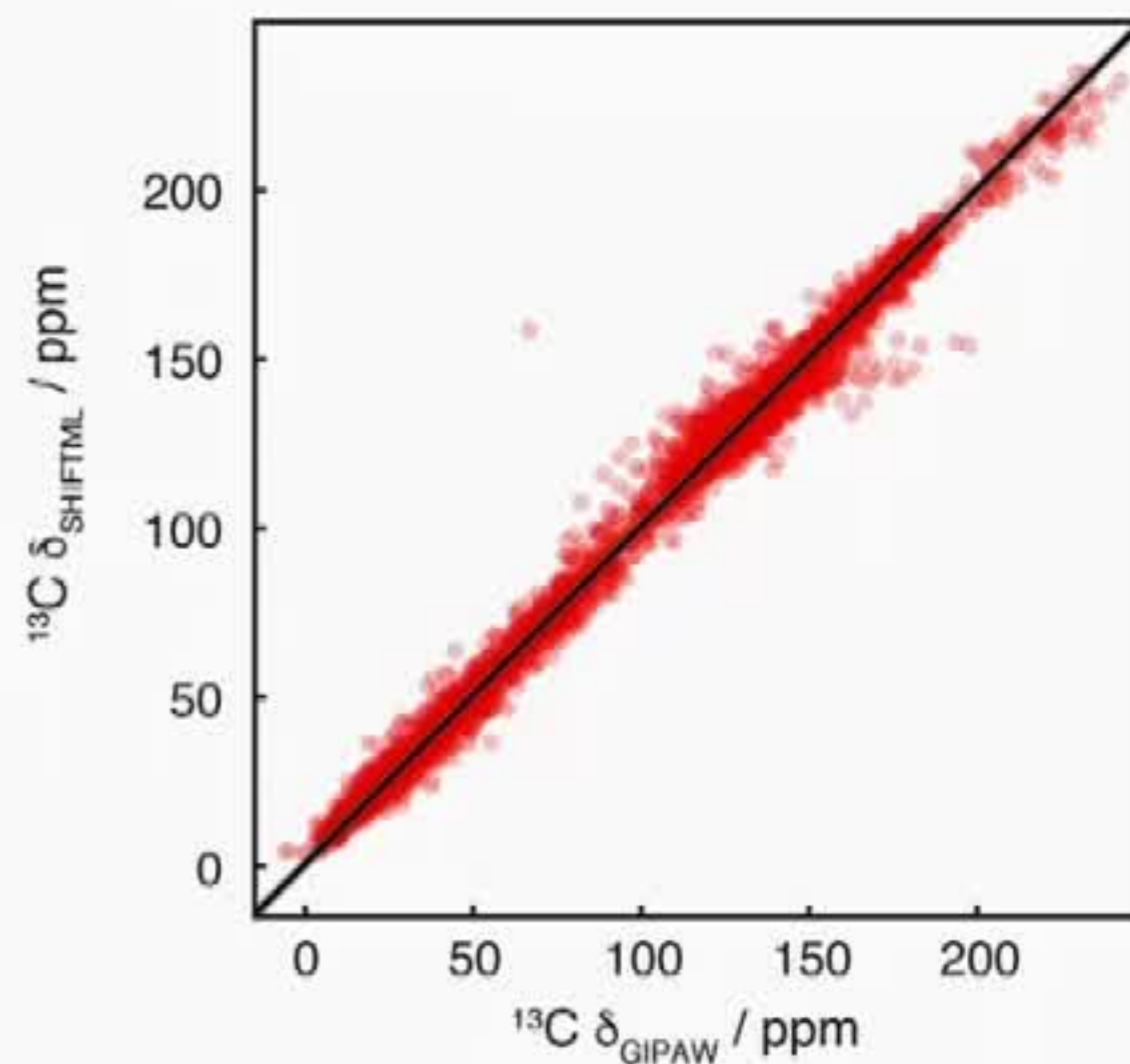
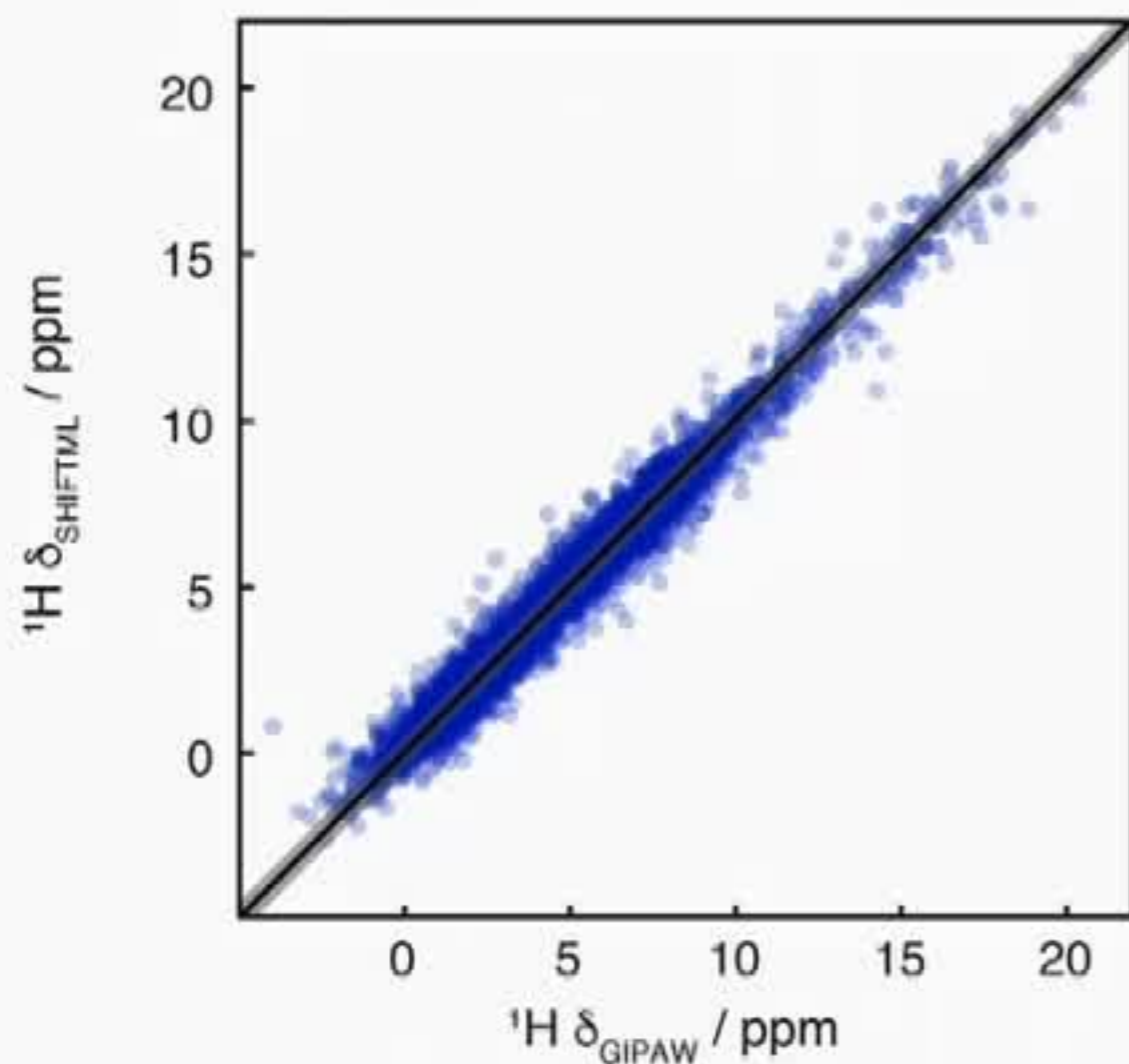


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# More than Interatomic Potentials

- Solid-state NMR relies on GIPAW-DFT to determine crystal structure of molecular materials
- Train a ML model on 2000 CSD structures, predict chemical shieldings with DFT accuracy (RMSE H: 0.5, C: 5, N: 13, O: 18 ppm)
- Accurate enough to do structure determination!

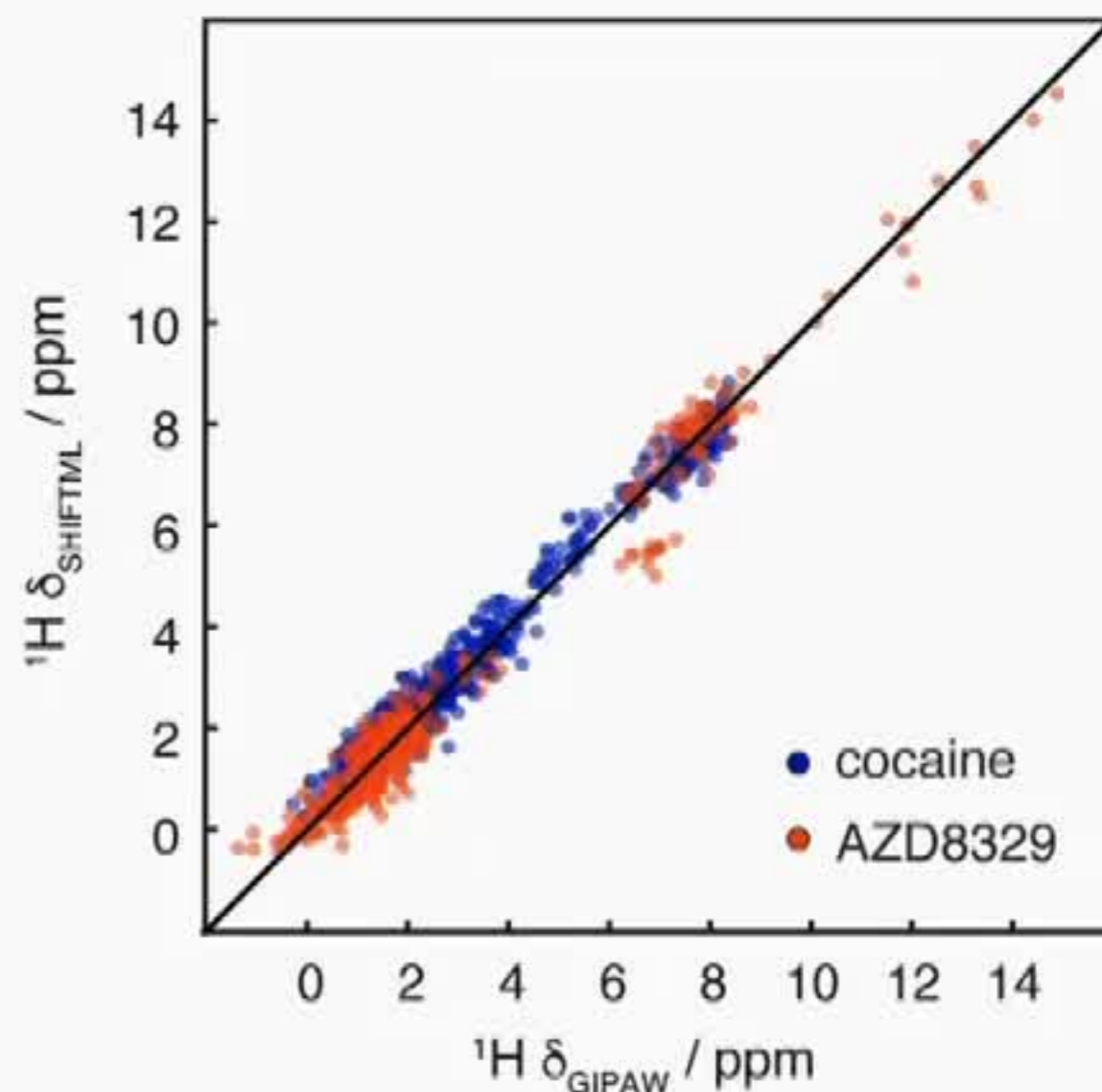
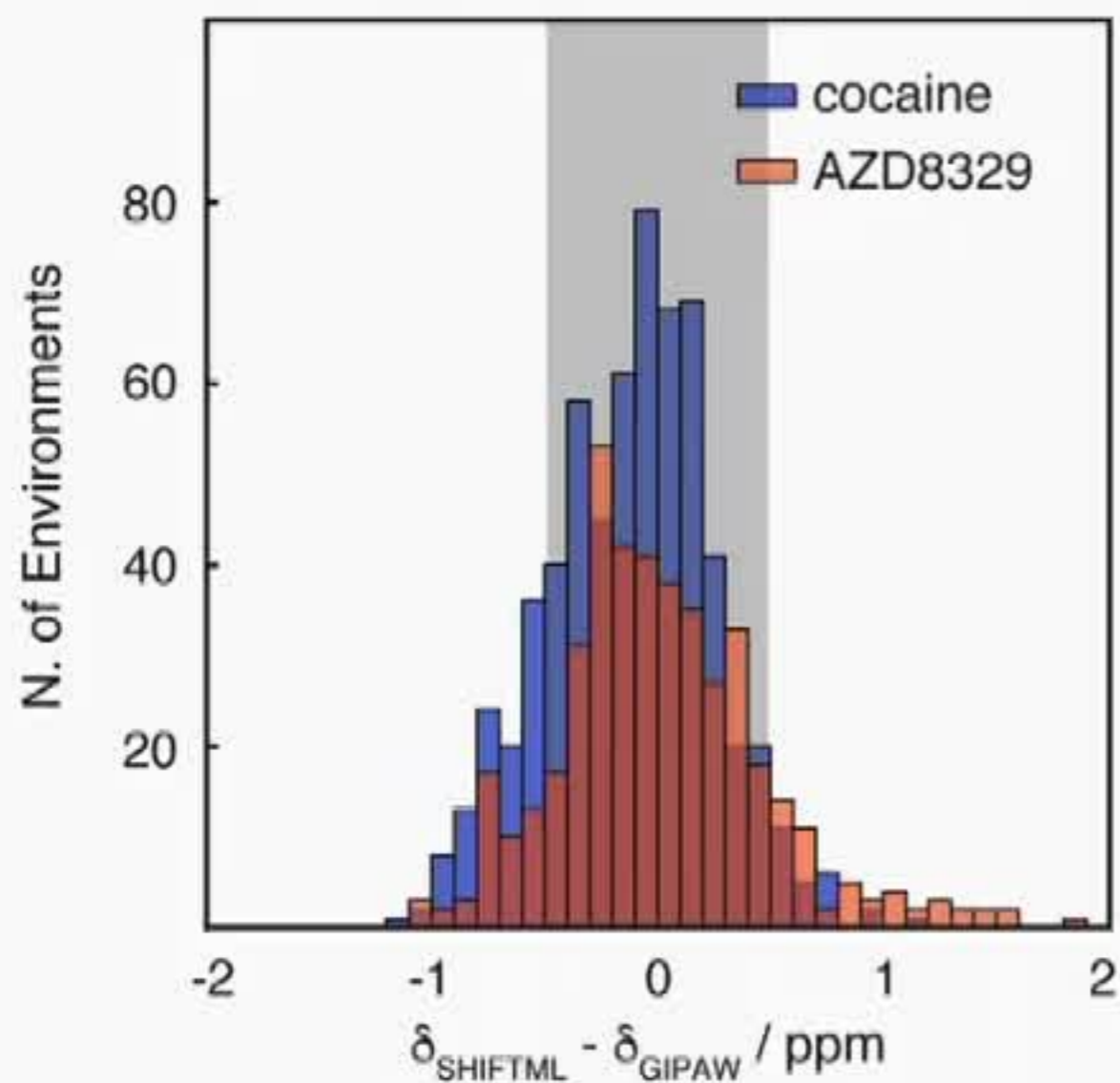


w/Emsley, Paruzzo, Hofstetter, <http://shiftml.org>



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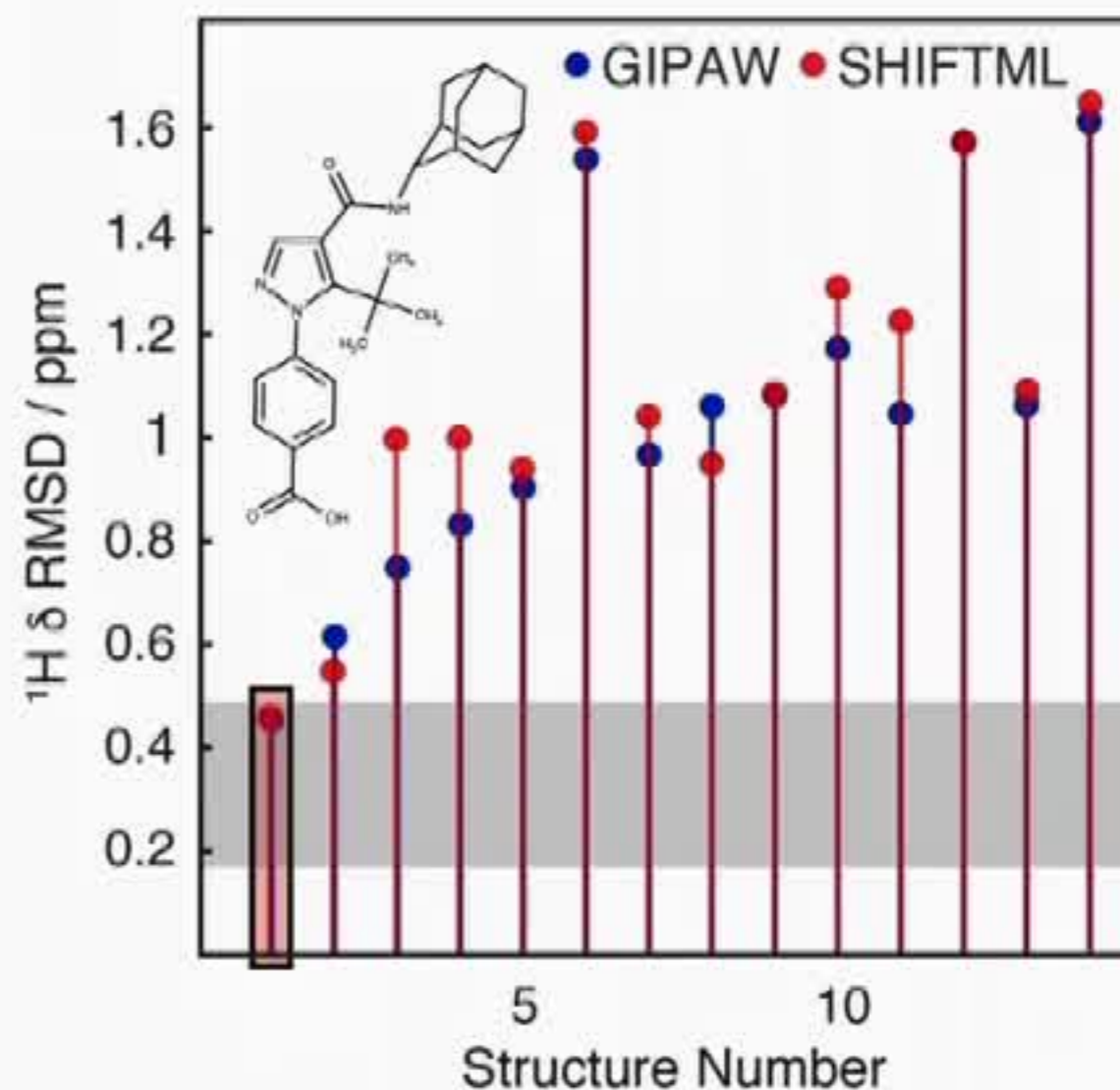
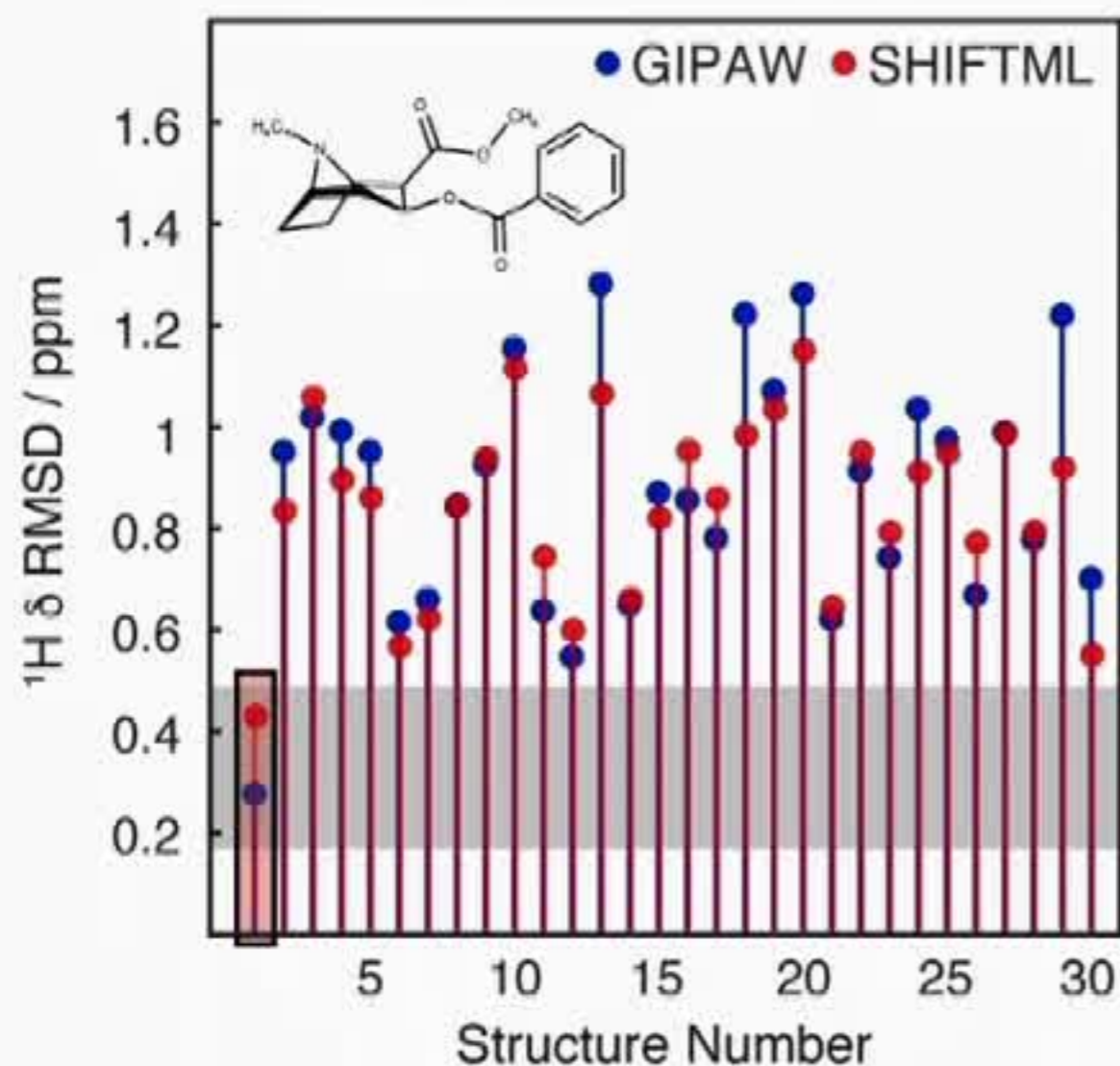
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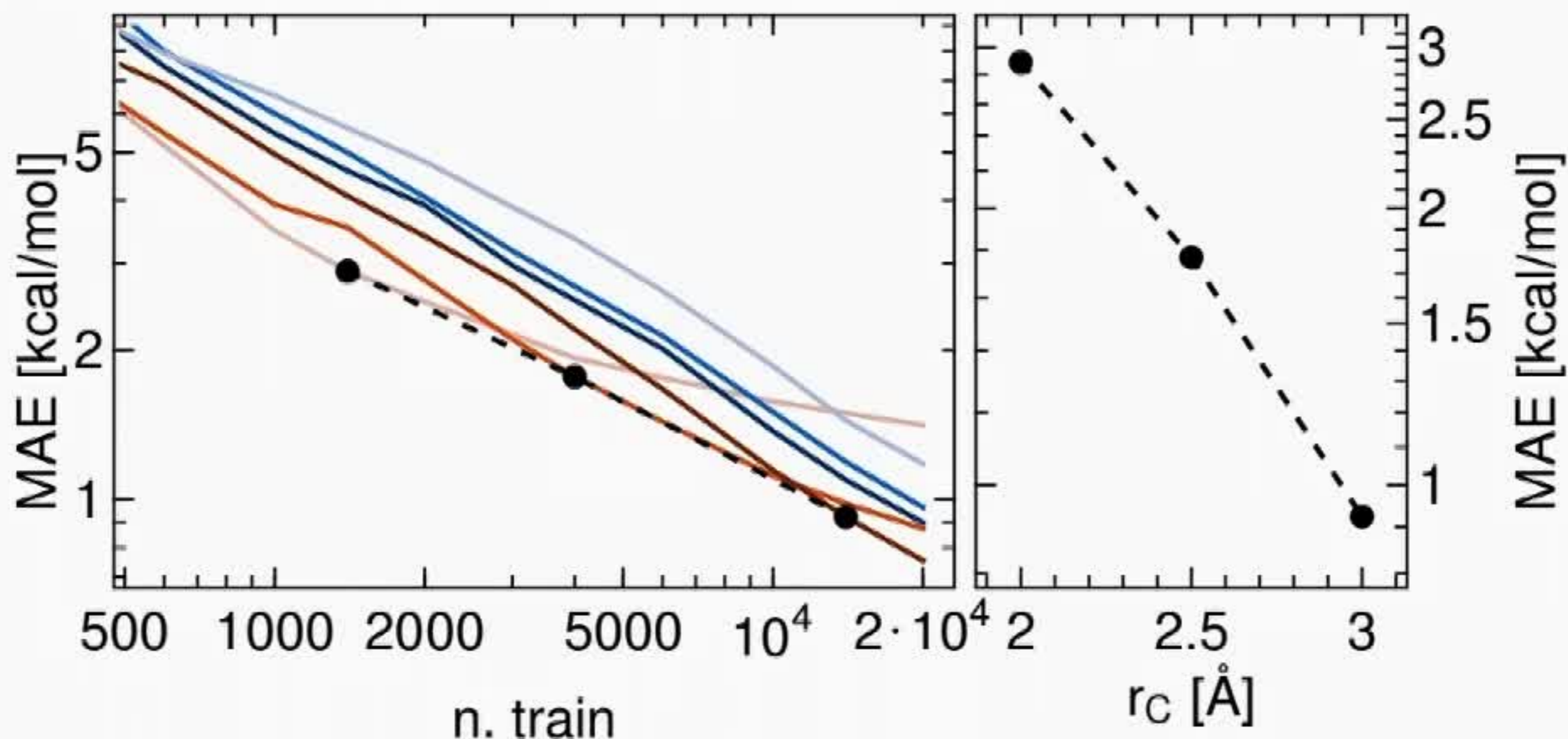


w/Emsley, Paruzzo, Hofstetter, <http://shiftml.org>

# Understanding the Range of Interactions

- Environment kernels can be built for different cutoff radii
- Dimensionality/accuracy tradeoff, a measure of the range of interactions
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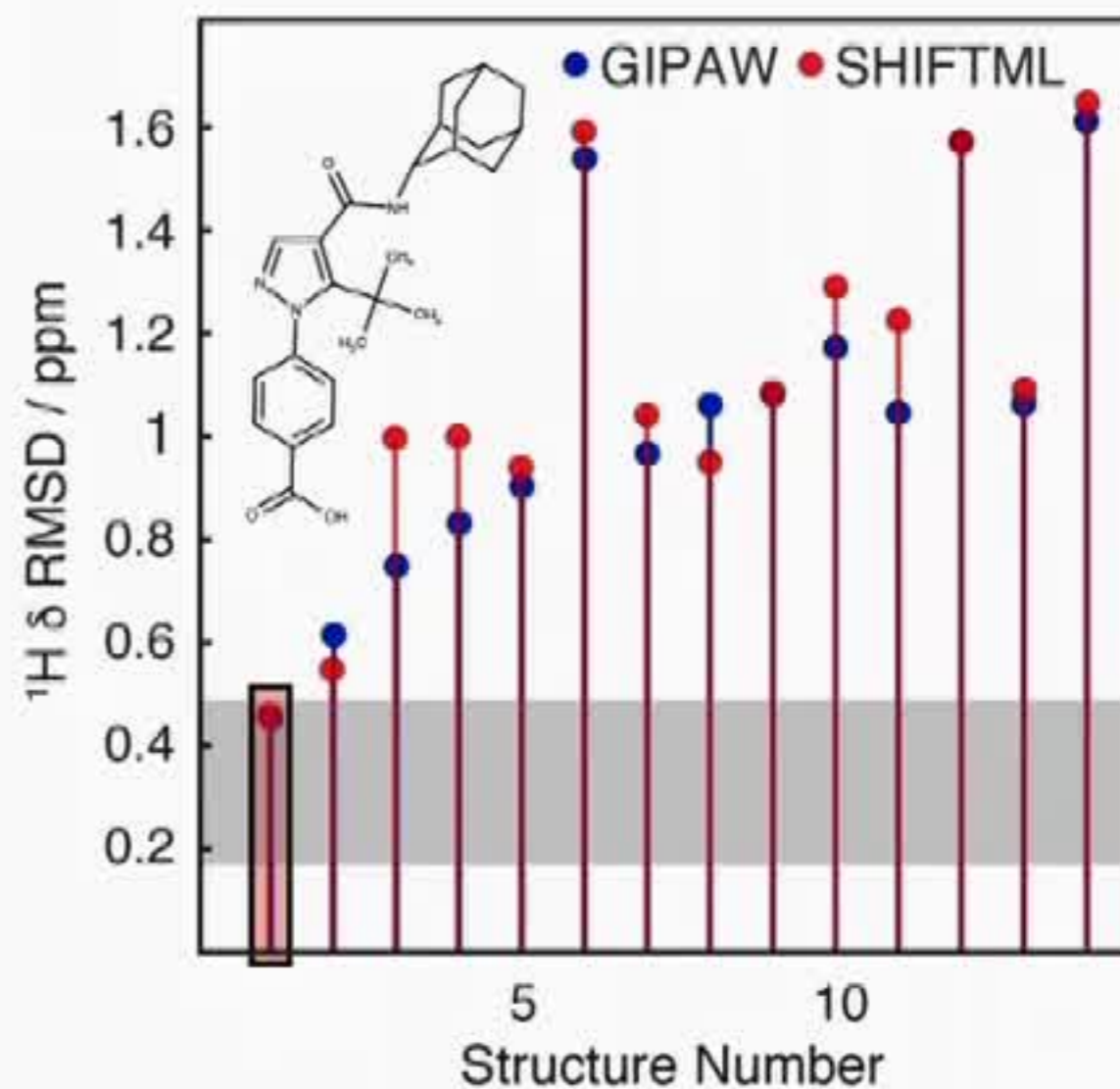
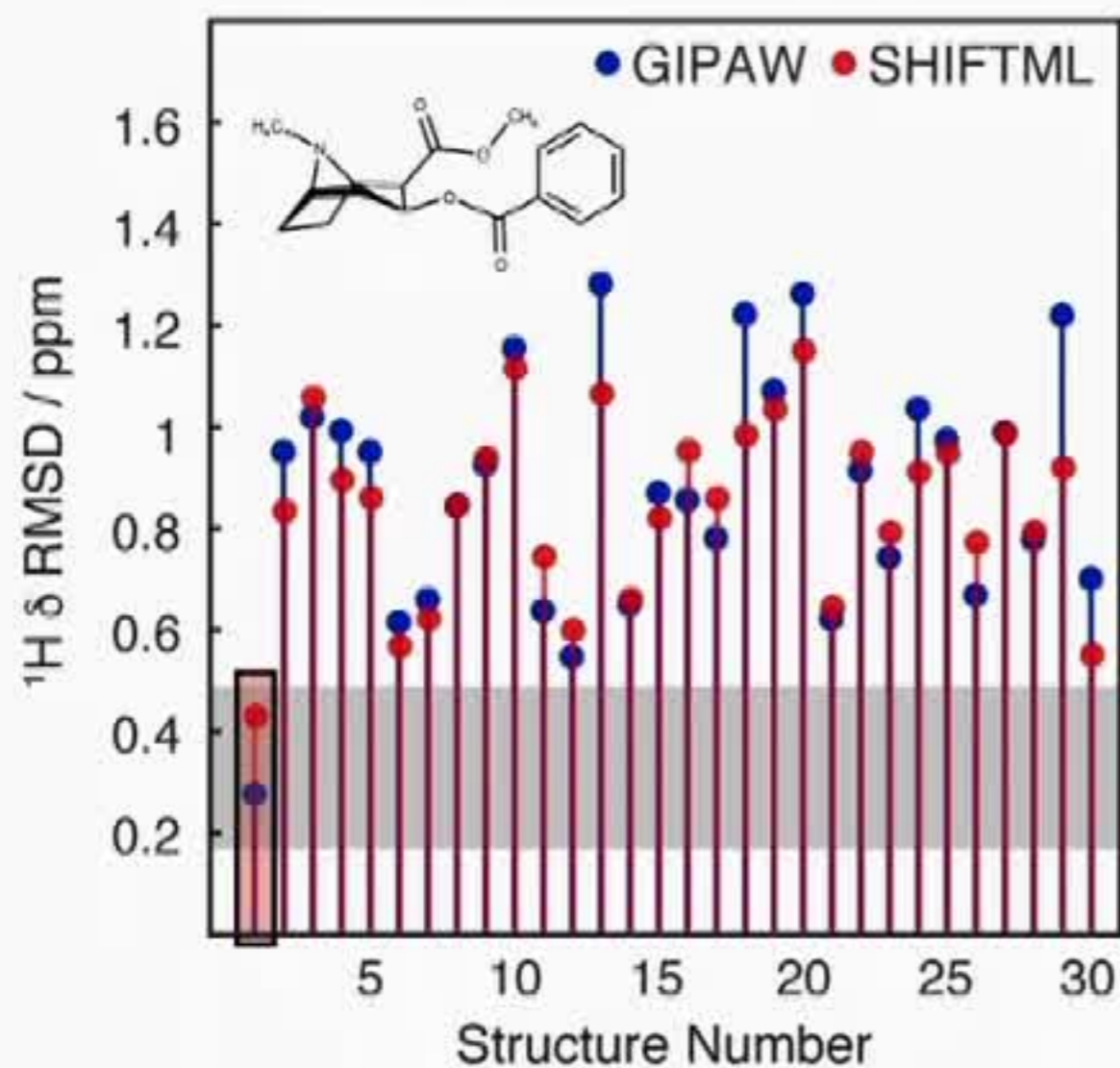
$r_c[\text{\AA}]$  — 2 — 2.5 — 3 — 3.5 — 4 — 5



Bartók, De, Kermode, Bernstein, Csányi, **MC**, Science Advances (2017)

# More than Interatomic Potentials

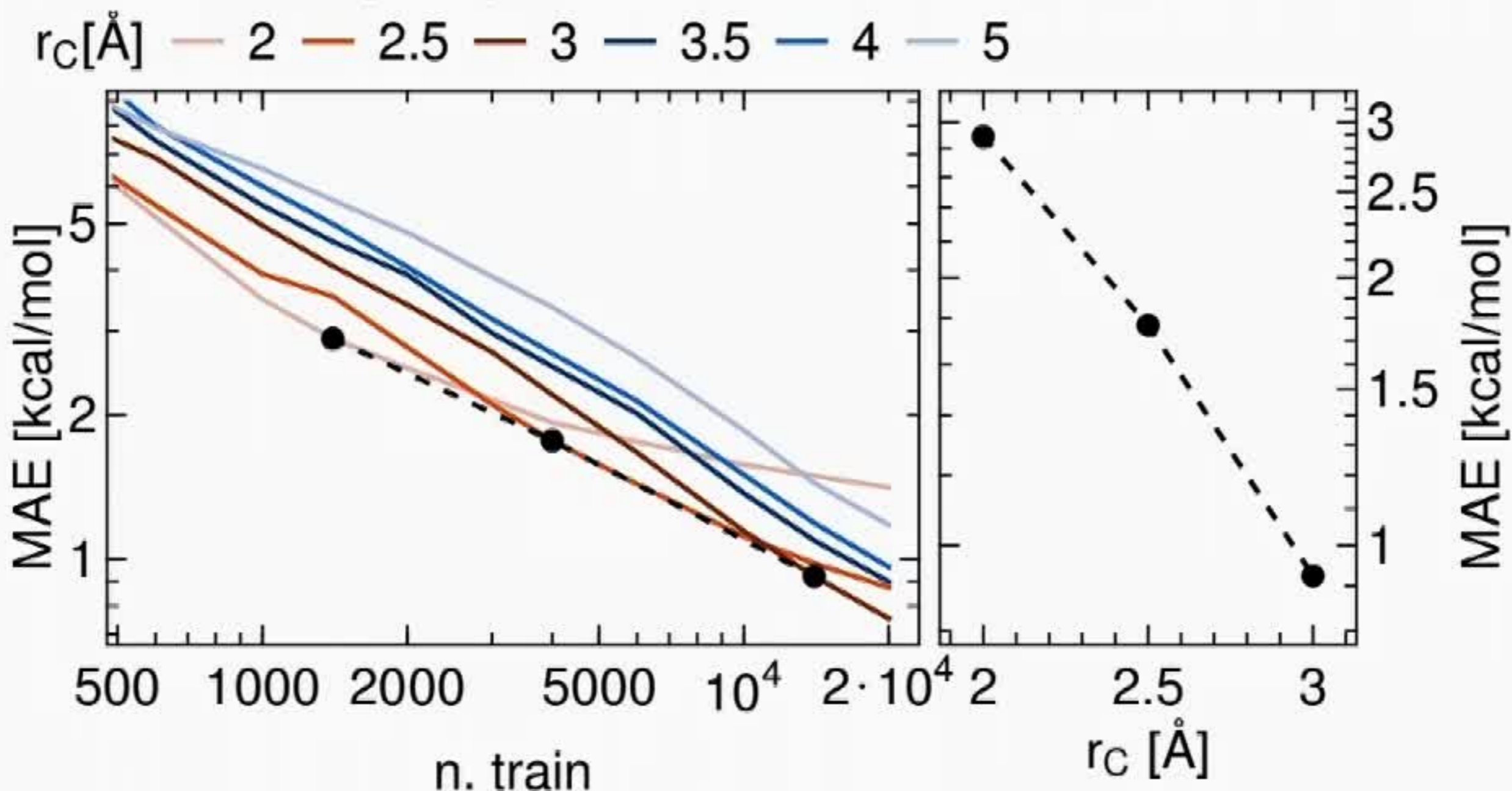
- Solid-state NMR relies on GIPAW-DFT to determine crystal structure of molecular materials
- Train a ML model on 2000 CSD structures, predict chemical shieldings with DFT accuracy (RMSE H: 0.5, C: 5, N: 13, O: 18 ppm)
- Accurate enough to do structure determination!



w/Emsley, Paruzzo, Hofstetter, <http://shiftml.org>

# Understanding the Range of Interactions

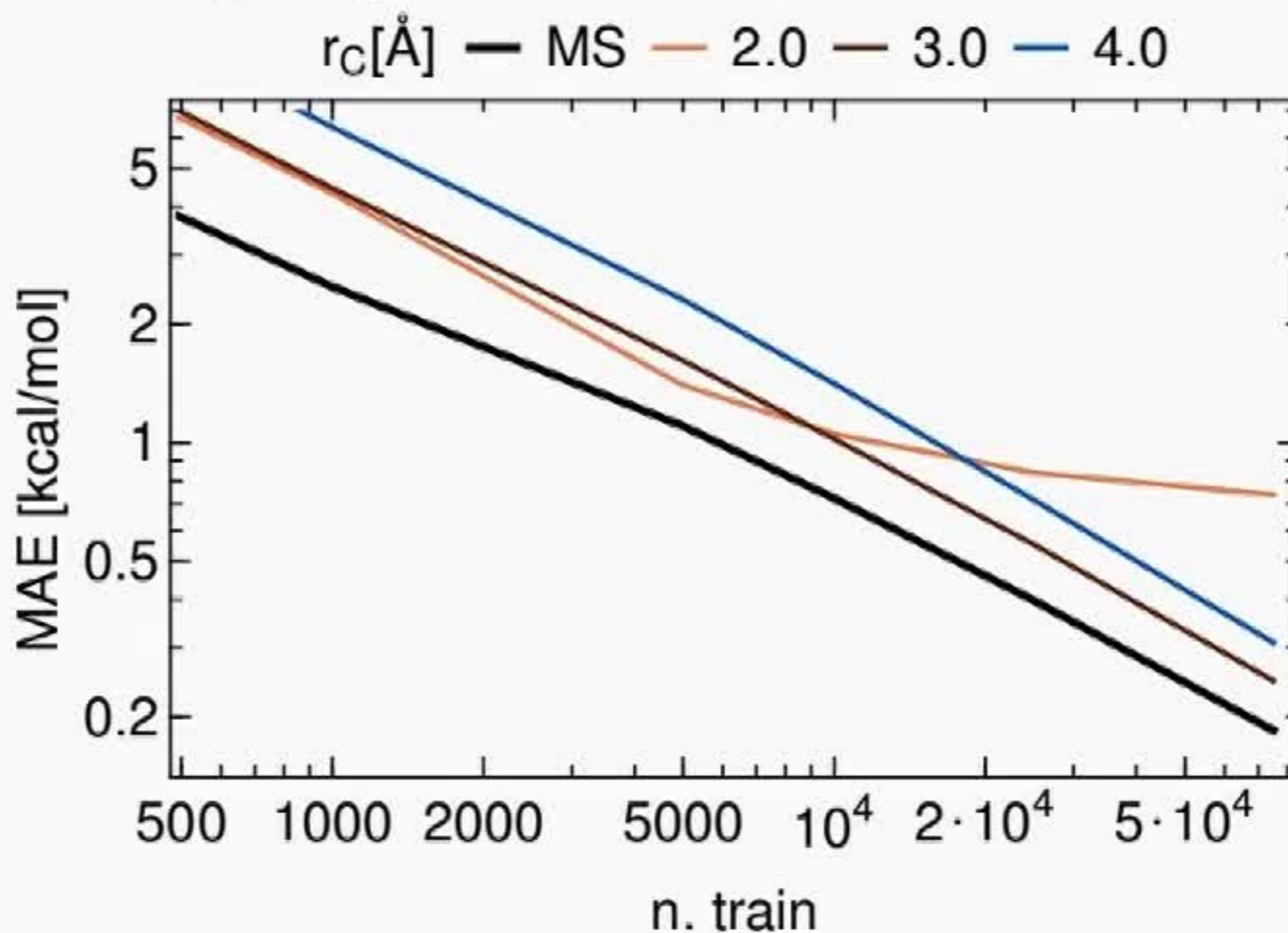
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Bartók, De, Kermode, Bernstein, Csányi, **MC**, Science Advances (2017)

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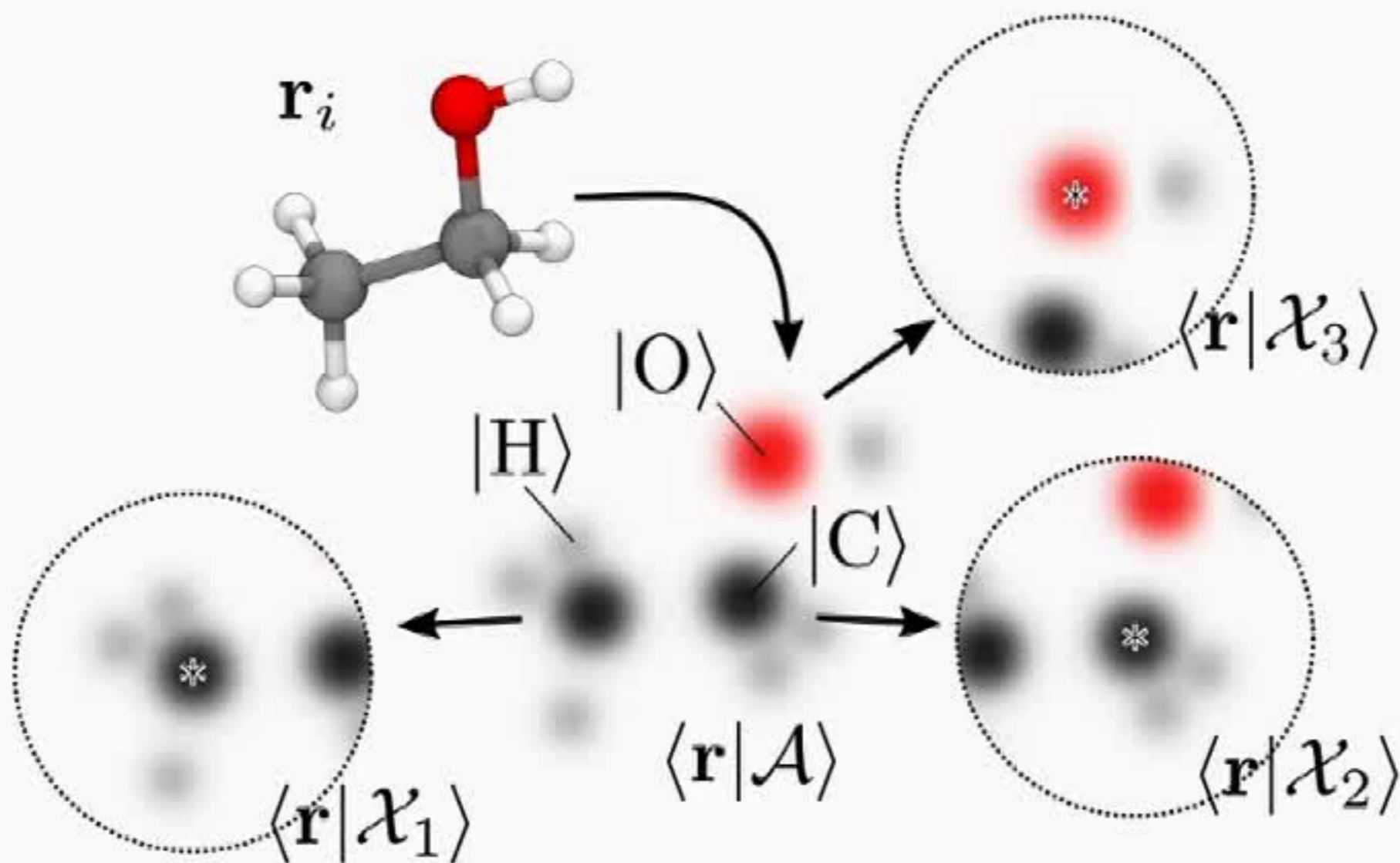
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Bartók, De, Kermode, Bernstein, Csányi, **MC**, Science Advances (2017)

# Machine-learning the periodic table

- SOAP normally considers orthogonal elemental kets  $|H\rangle, |O\rangle, \dots$
- Expand each ket in a finite basis,  $|\alpha\rangle = \sum_J u_{\alpha J} |J\rangle$ . Optimize coefficients
- Dramatic reduction of the feature space, more effective learning . . .
- . . . and as by-product get a data-driven version of the periodic table!



Willatt, Musil, MC, <https://arxiv.org/pdf/1807.00236.pdf>

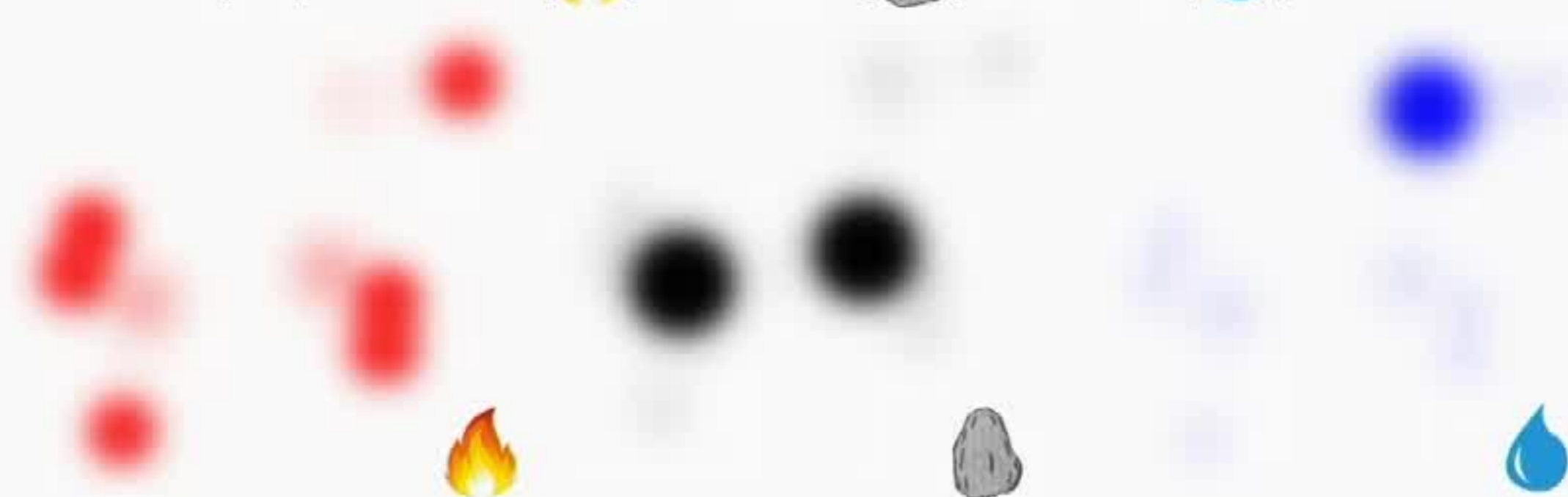
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$$|H\rangle = 0.5 |\text{🔥}\rangle + 0.1 |\text{🪨}\rangle + 0.2 |\text{💧}\rangle$$

$$|C\rangle = 0.2 |\text{🔥}\rangle + 0.8 |\text{🪨}\rangle + 0.3 |\text{💧}\rangle$$

$$|O\rangle = 0.1 |\text{🔥}\rangle + 0.1 |\text{🪨}\rangle + 0.6 |\text{💧}\rangle$$

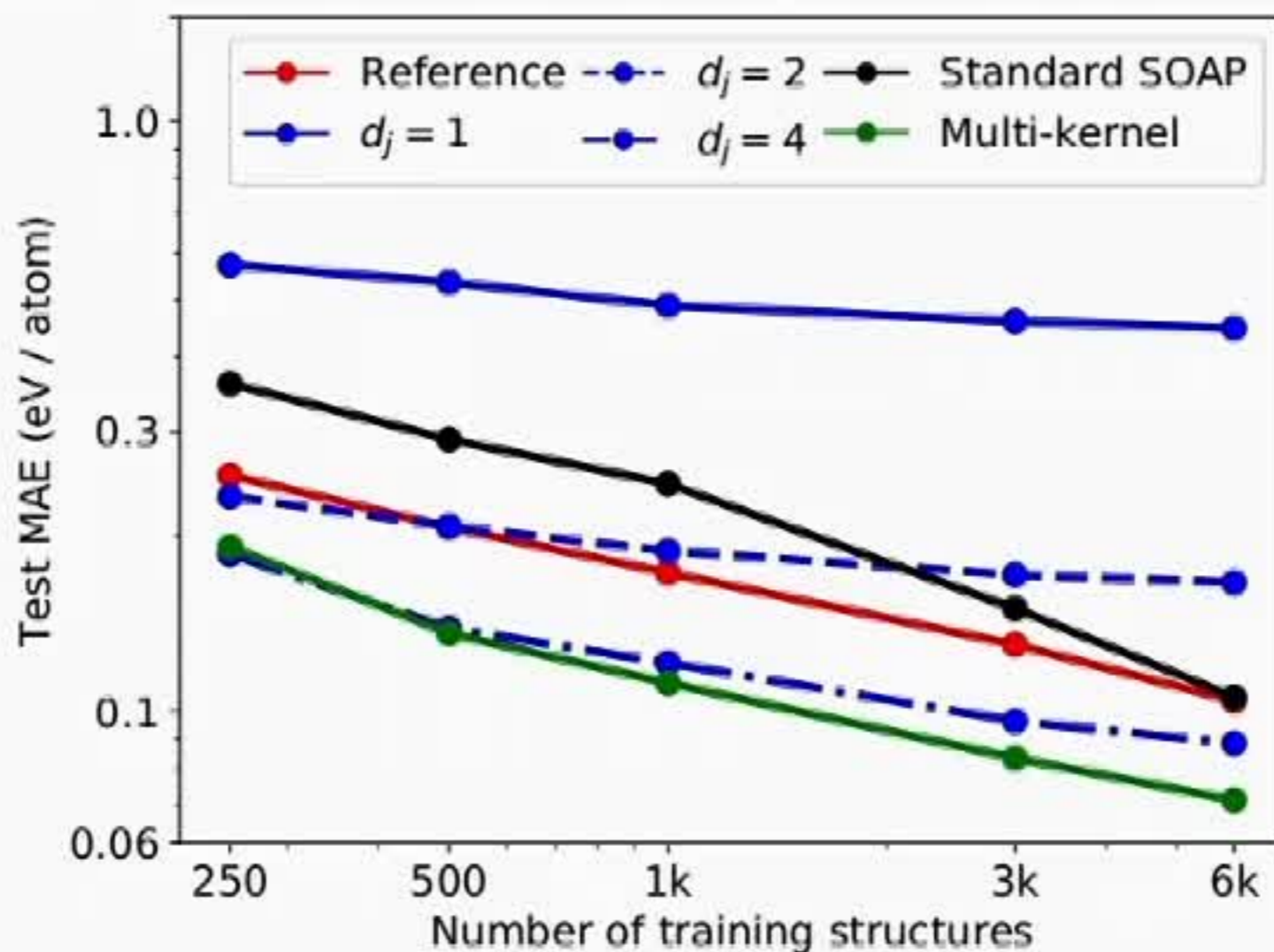


Empedocles et al. (ca 360BC). Metaphor courtesy of Albert Bartók



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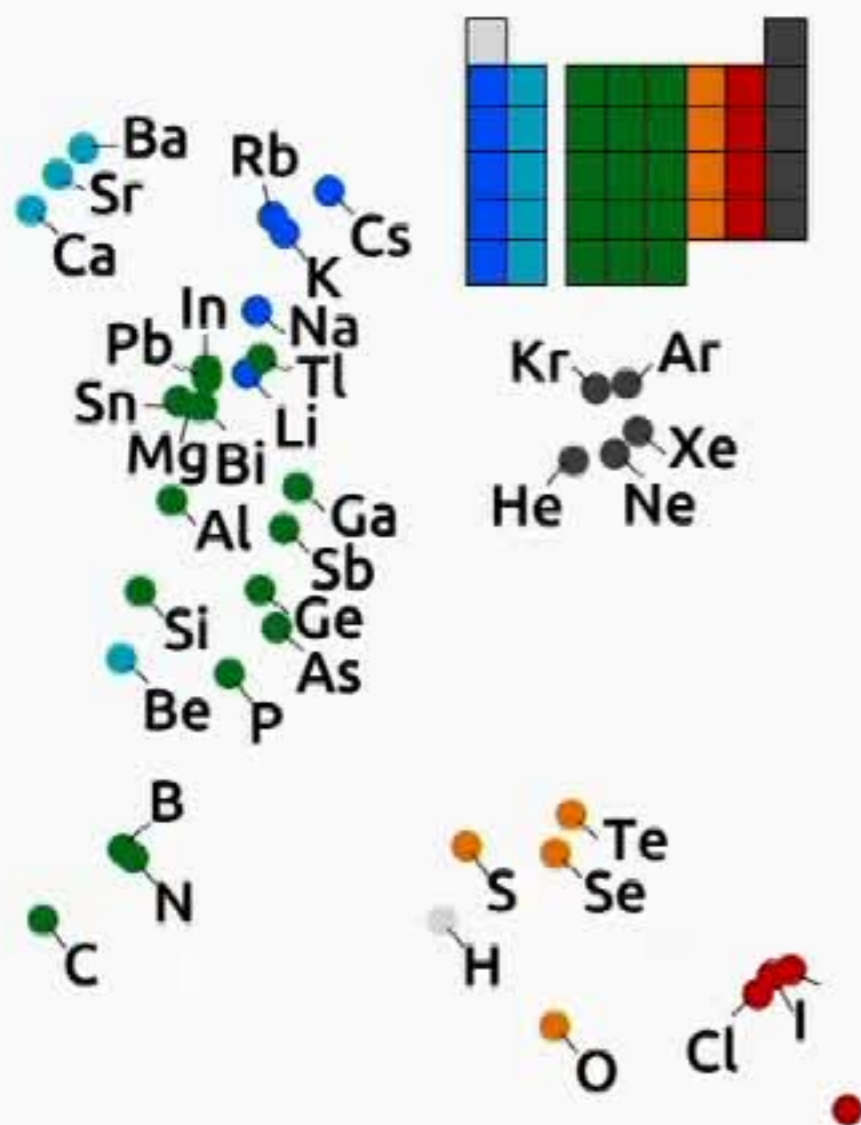
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Elpasolite dataset from Faber et al PRL (2016). Reference curve (red) from Faber et al. JCP (2018)

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H					He		
Li	Be	B	C	N	O	F	Ne
Na	Mg	Al	Si	P	S	Cl	Ar
K	Ca	Ga	Ge	As	Se	Br	Kr
Rb	Sr	In	Sn	Sb	Te	I	Xe
Cs	Ba	Tl	Pb	Bi			

# Symmetries in Machine-Learning

- In a Gaussian Process framework, the kernel represents correlations between properties. This must be reflected in how it transforms under symmetry operations applied to the inputs

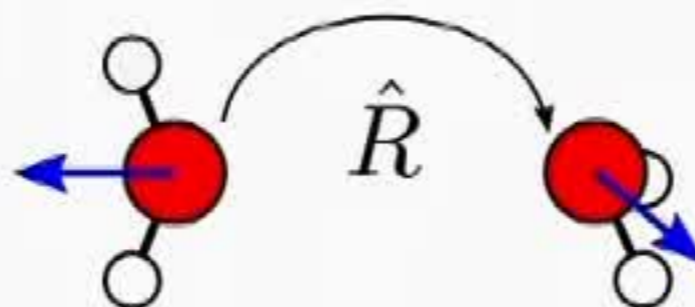
$$k(\mathcal{X}, \mathcal{X}') \leftrightarrow \langle y(\mathcal{X}); y(\mathcal{X}') \rangle, \text{ so } k(\hat{S}\mathcal{X}, \hat{S}'\mathcal{X}') \leftrightarrow \langle y(\hat{S}\mathcal{X}); y(\hat{S}'\mathcal{X}') \rangle$$

- Properties that are *invariant* under  $\hat{S}$  must be learned with a kernel that should be insensitive to the operation

$$k(\hat{S}\mathcal{X}, \hat{S}'\mathcal{X}') = k(\mathcal{X}, \mathcal{X}')$$

- How about machine-learning tensorial properties  $\mathbf{T}$ ? The kernel should be *covariant* to rigid rotations - need a symmetry-adapted framework

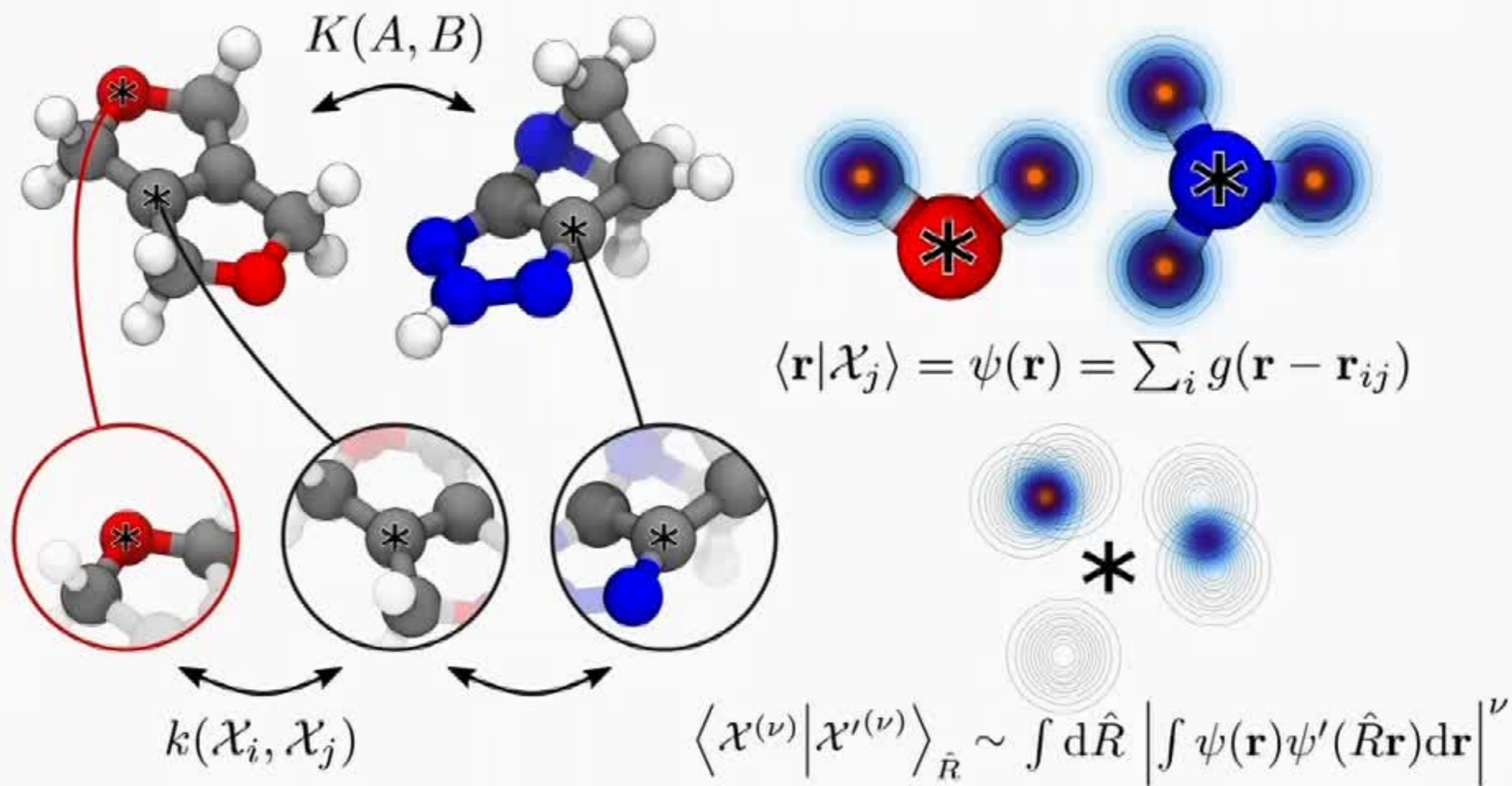
$$k_{\mu\nu}(\mathcal{X}, \mathcal{X}') \leftrightarrow \langle T_{\mu}(\mathcal{X}); T_{\nu}(\mathcal{X}') \rangle \rightarrow k_{\mu\nu}(\hat{R}\mathcal{X}, \hat{R}'\mathcal{X}') = R_{\mu\mu'} k_{\mu'\nu'}(\mathcal{X}, \mathcal{X}') R'_{\nu\nu'}$$



Glielmo, Sollich, & De Vita, PRB (2017); Grisafi, Wilkins, Csányi, & MC, PRL (2018)

# $\lambda$ -SOAP: A $SO(3)$ Compliant Kernel for Tensors

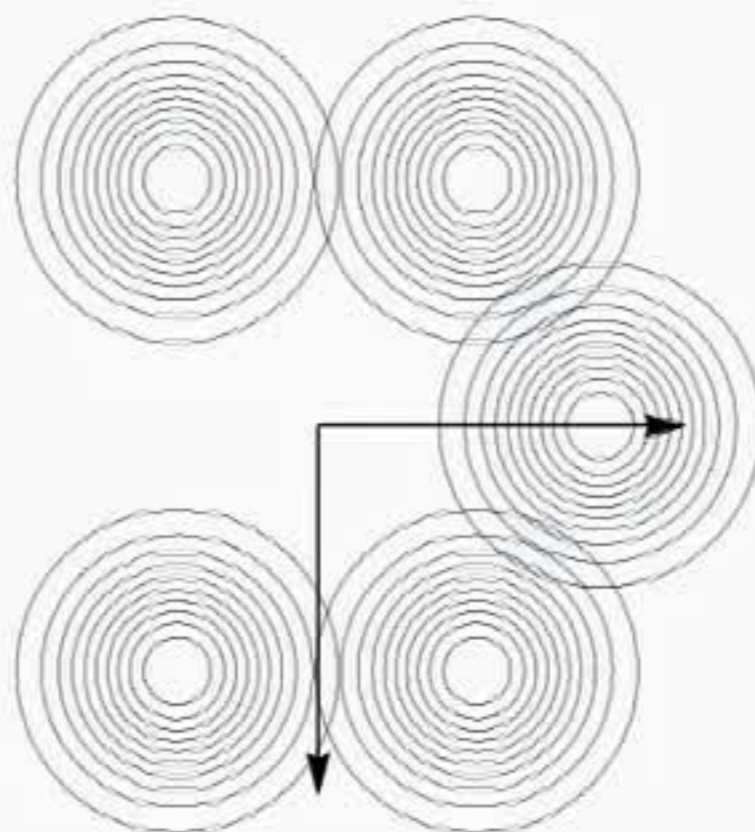
- Recall the definition of SOAP, based on the atom-density overlap
- Each tensor can be decomposed into irreducible spherical components  $T^\lambda$ , corresponding to the representations of  $SO(3)$
- A hierarchy of  $\lambda$ -SOAP kernels can be defined to learn tensorial quantities



Grisafi, Wilkins, Csányi, & MC, PRL (2018)

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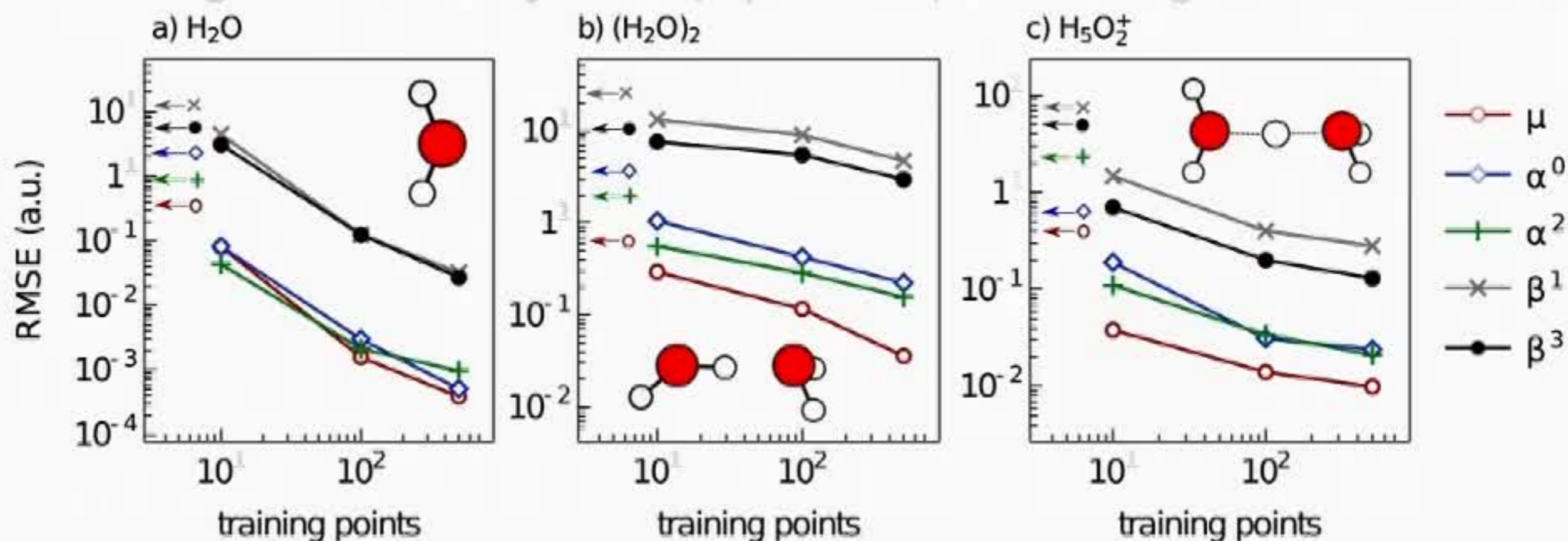


$$k_{\mu\nu}^\lambda(\mathcal{X}, \mathcal{X}') = \int d\hat{R} D_{\mu\nu}^\lambda(\hat{R}) \left| \psi(\mathbf{r}) \psi'(\hat{R}\mathbf{r}) \right|^\nu \quad \langle \mathbf{r} \lambda \mu | \mathcal{X}_j \rangle = |\lambda \mu\rangle \psi(\mathbf{r})$$

Grisafi, Wilkins, Csányi, & MC, PRL (2018)

# Machine-Learning the Dielectric Response of Water

- A demonstration of the SA-GPR framework, and the  $\lambda$ -SOAP kernel - learning the dielectric response of water oligomers
- The kernels for multi-atomic systems can be built with an additive ansatz - and that gives meaningful partitioning in molecular contributions
- Works great for bulk systems (liquid & ice) after fixing non-additive terms

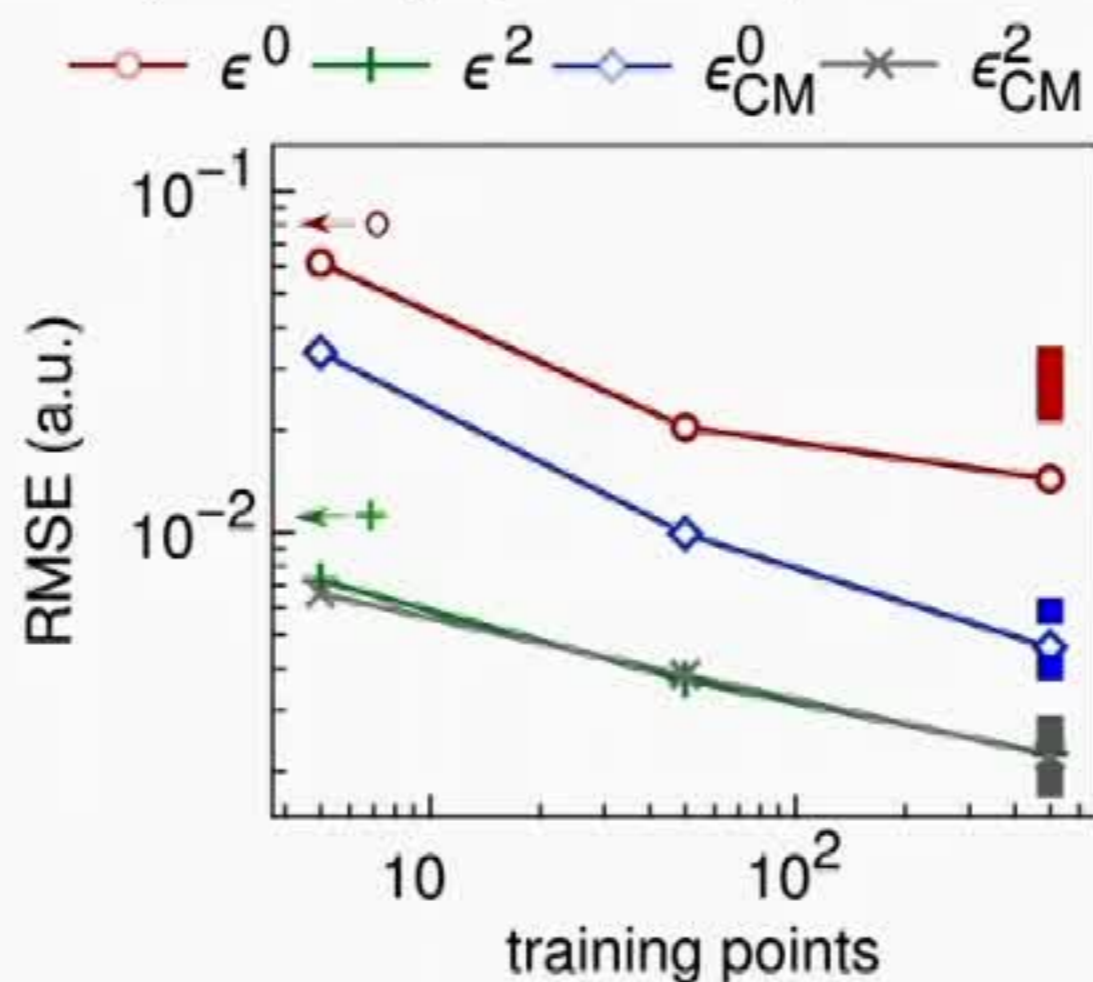


$$K_{\mu\nu}(A, B) = \frac{1}{N_A N_B} \sum_{ij} k_{\mu\nu}(\chi_i^A, \chi_j^B)$$

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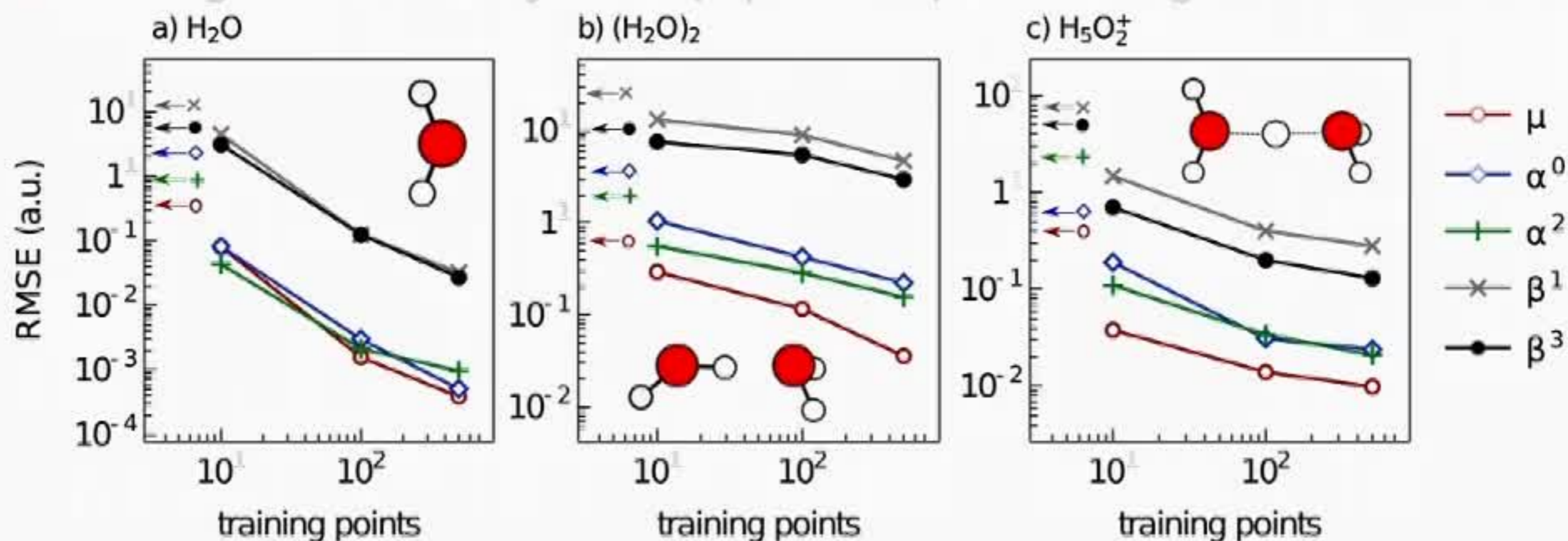


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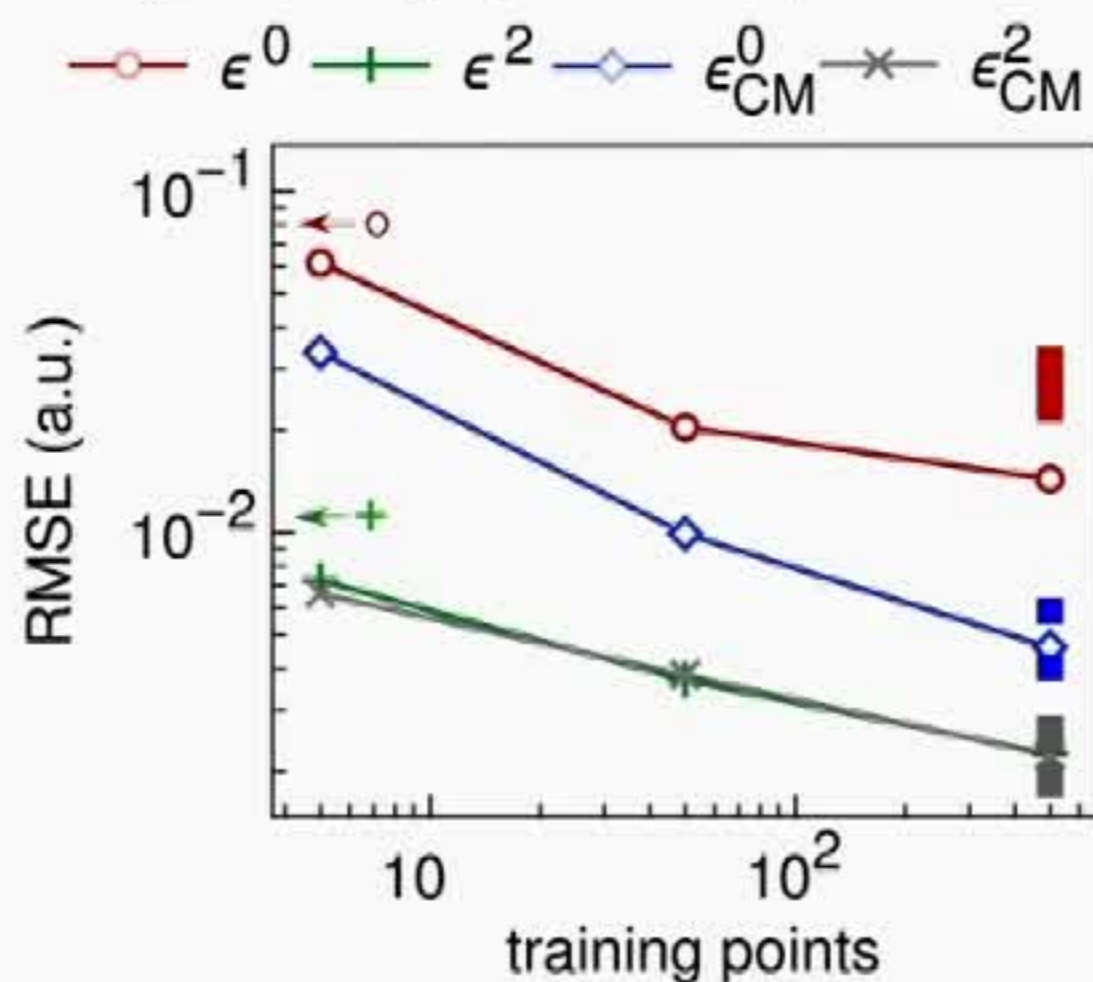
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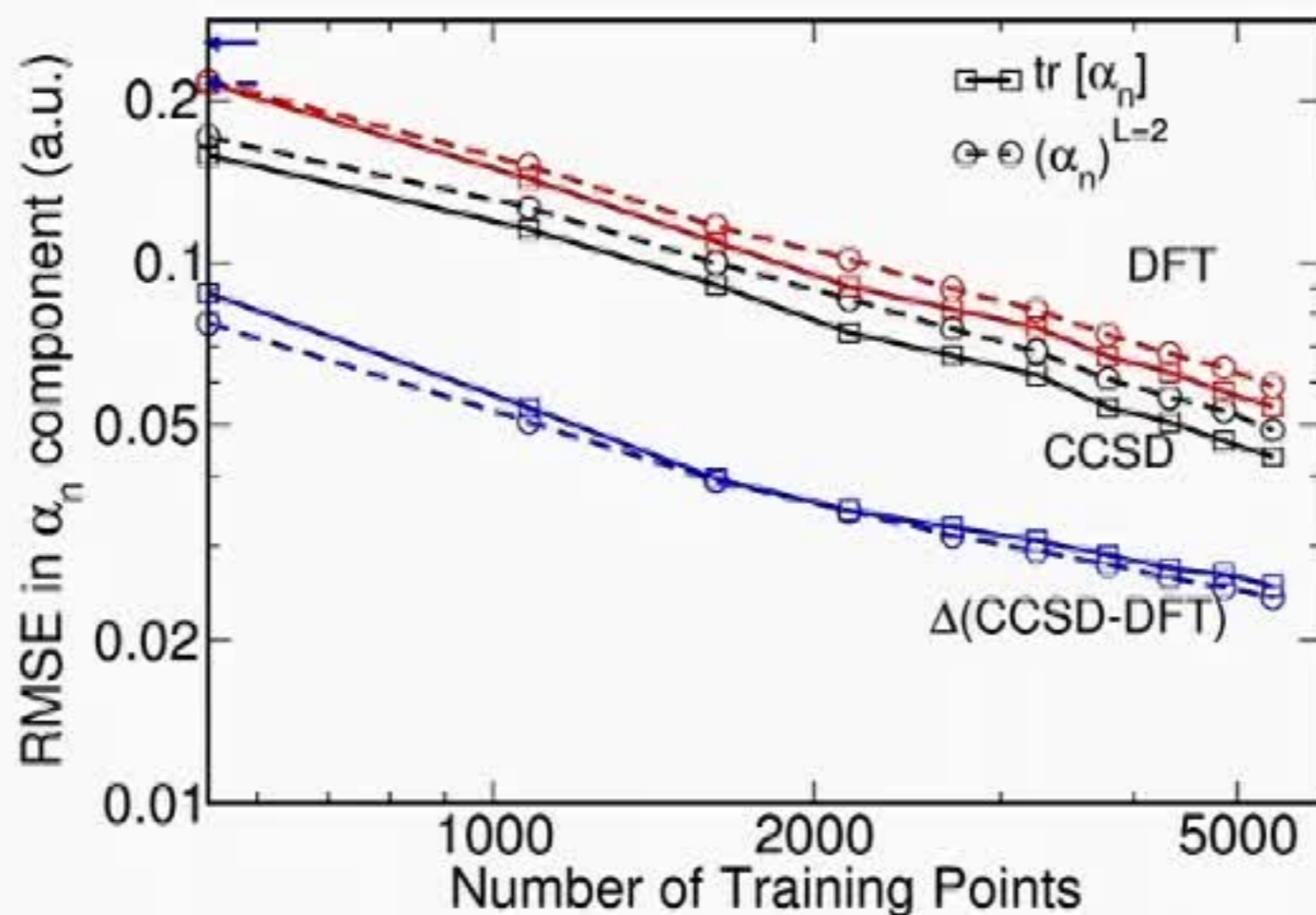


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# Predicting the Full Polarizability of Molecules

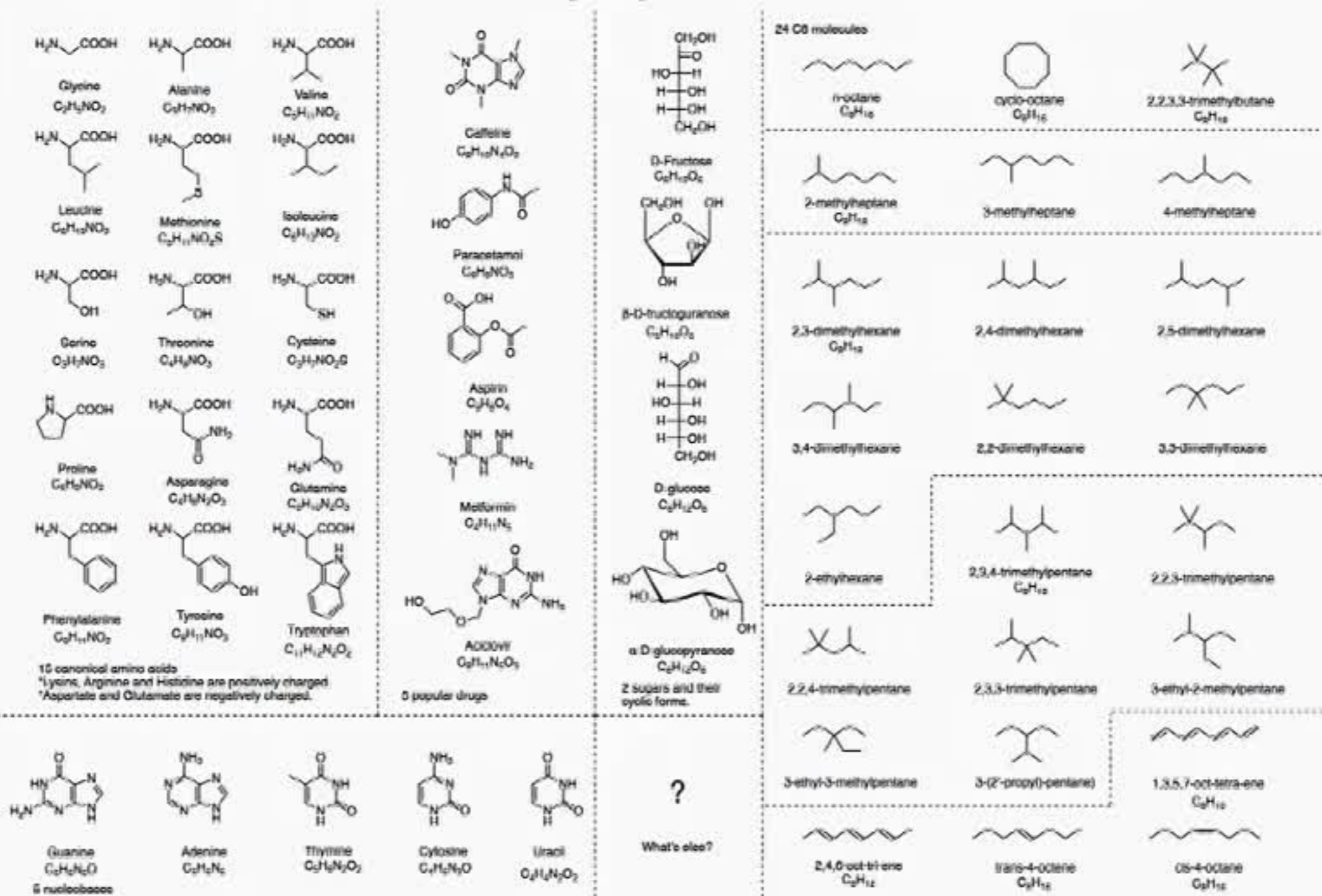
- Benchmarking polarizability at the CCSD level. Learning on QM7b is perfect for  $\lambda = 0, 2$ .
- Better than DFT on 52 challenging showcase molecules.



QM7b dataset (organic molecules, <7 CNOFS, Montavon et al. NJP (2013)); w/ R. DiStasio, Y. Yang

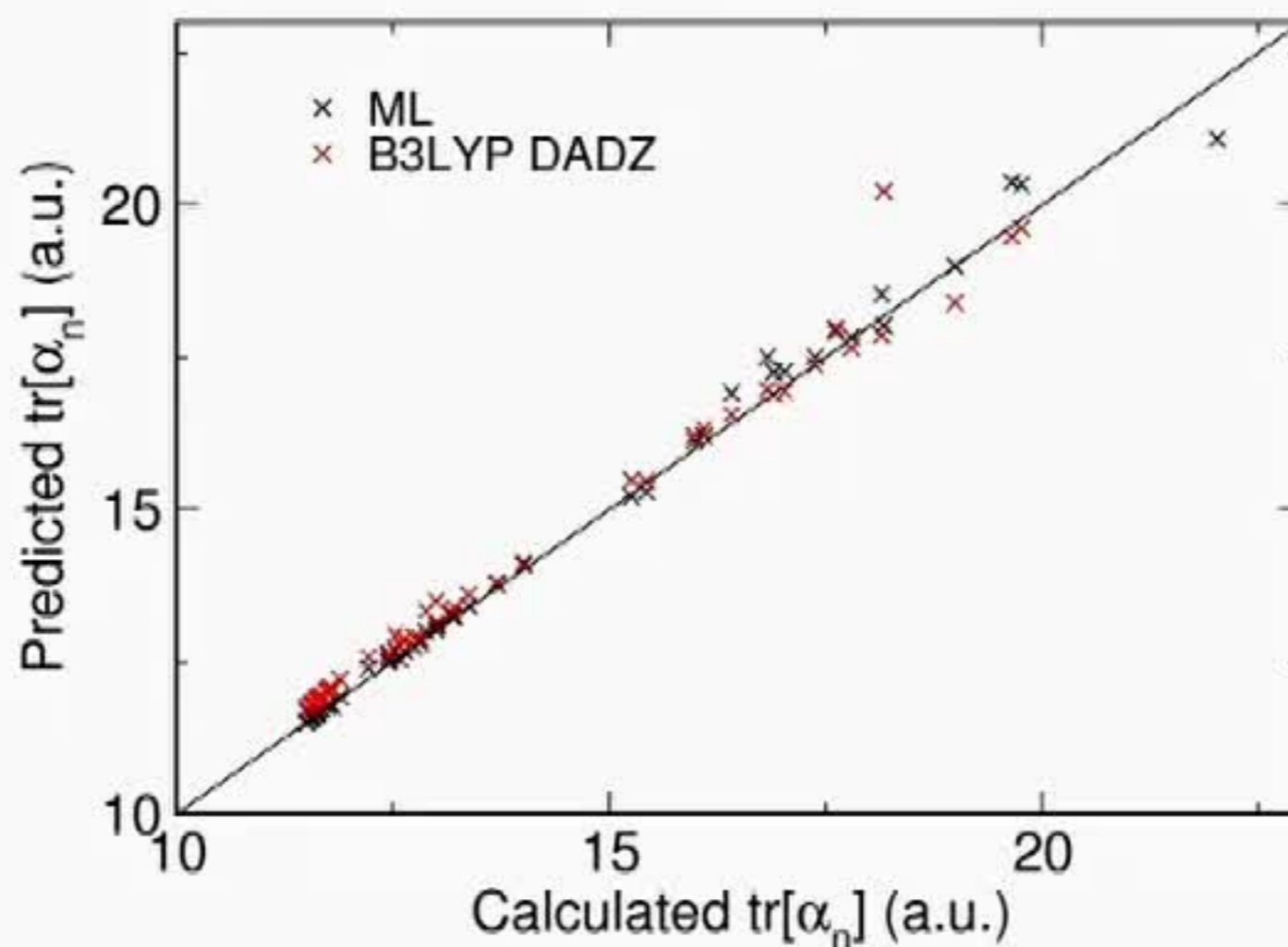
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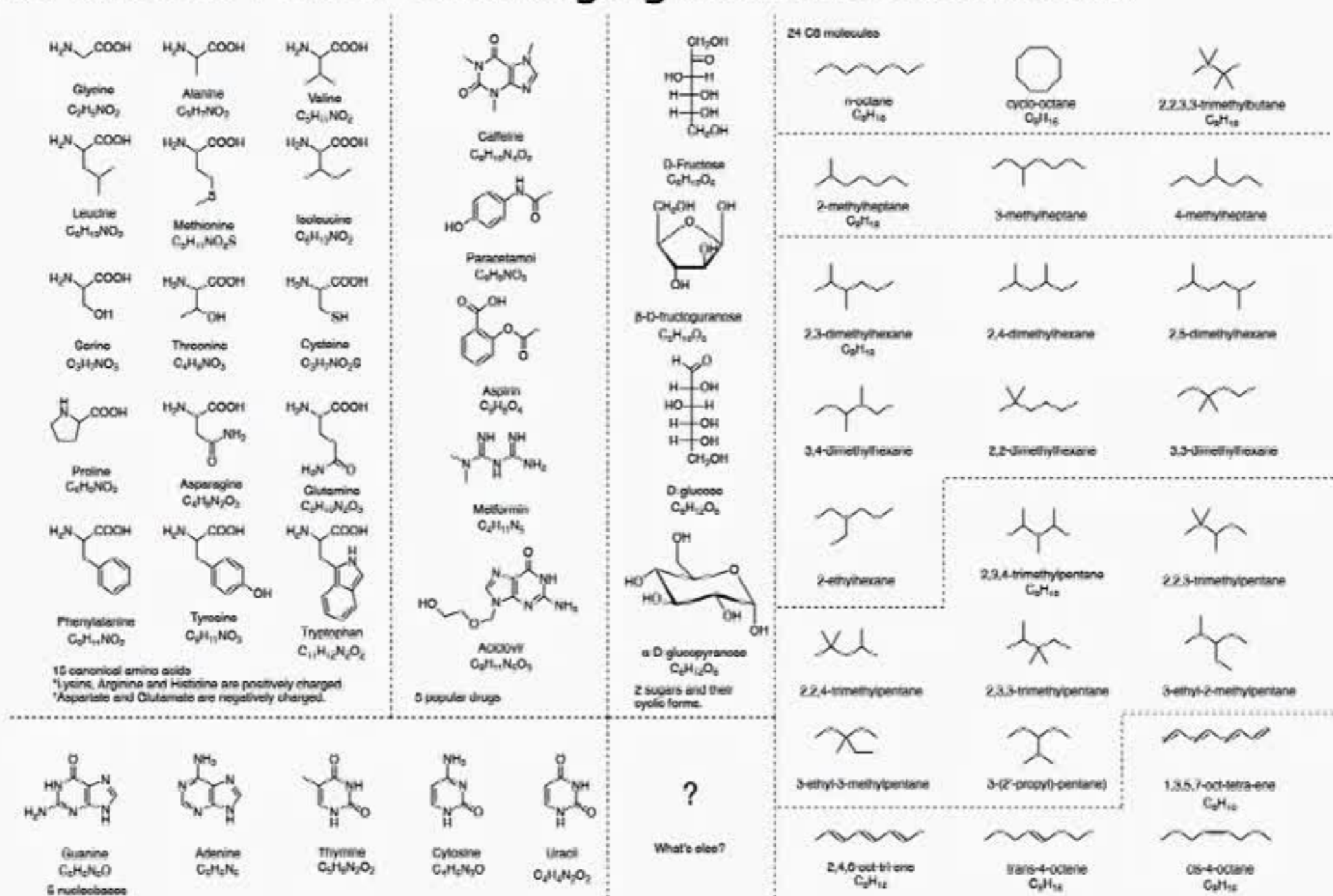
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$\sigma(\cdot)$ [a.u.]	$\lambda = 0$	$\lambda = 2$
CCSD vs B3LYP	0.350	0.456
SA-GPR vs CCSD	0.244	0.205
$\Delta$ SA-GPR	0.168	0.127

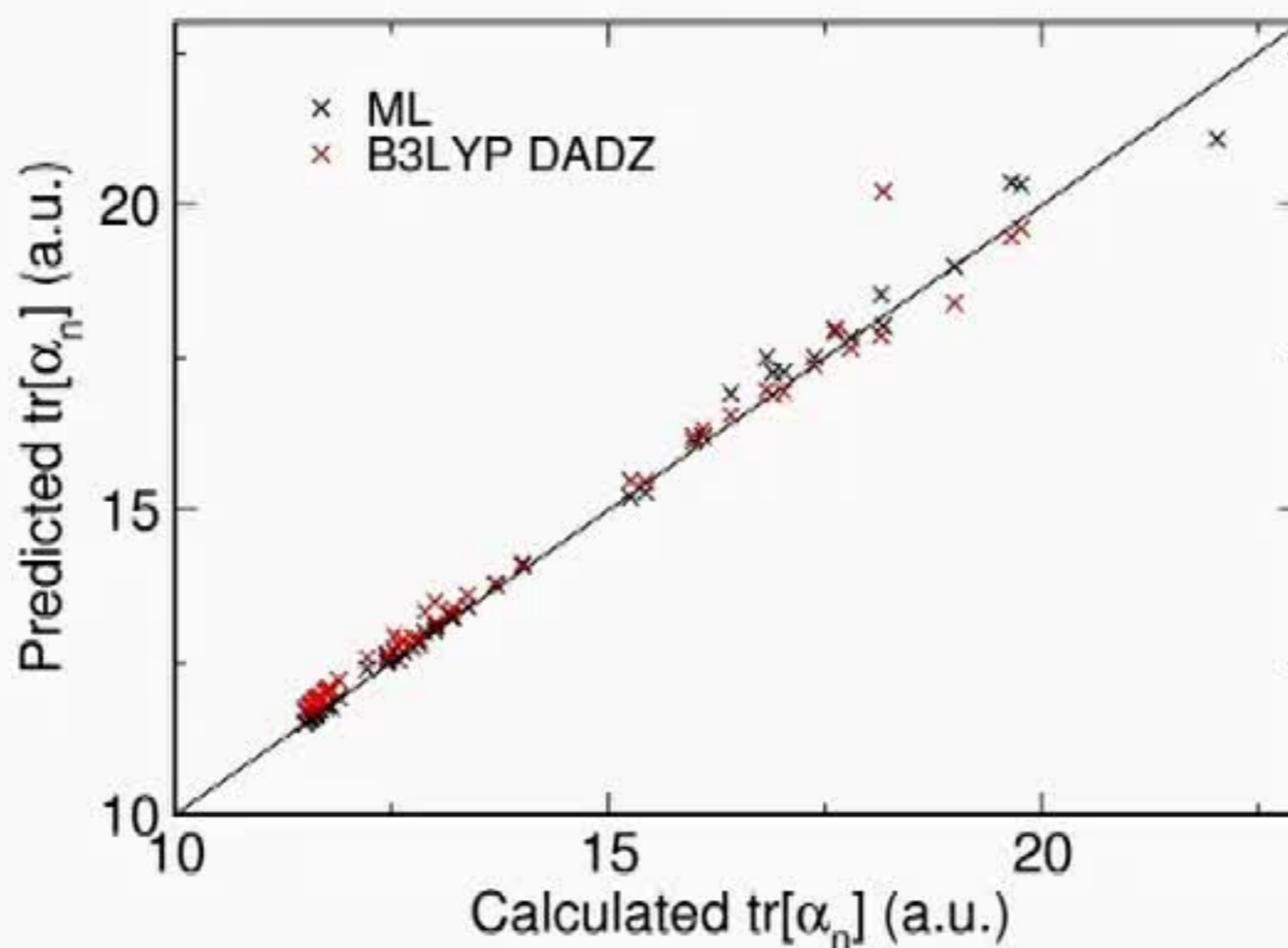
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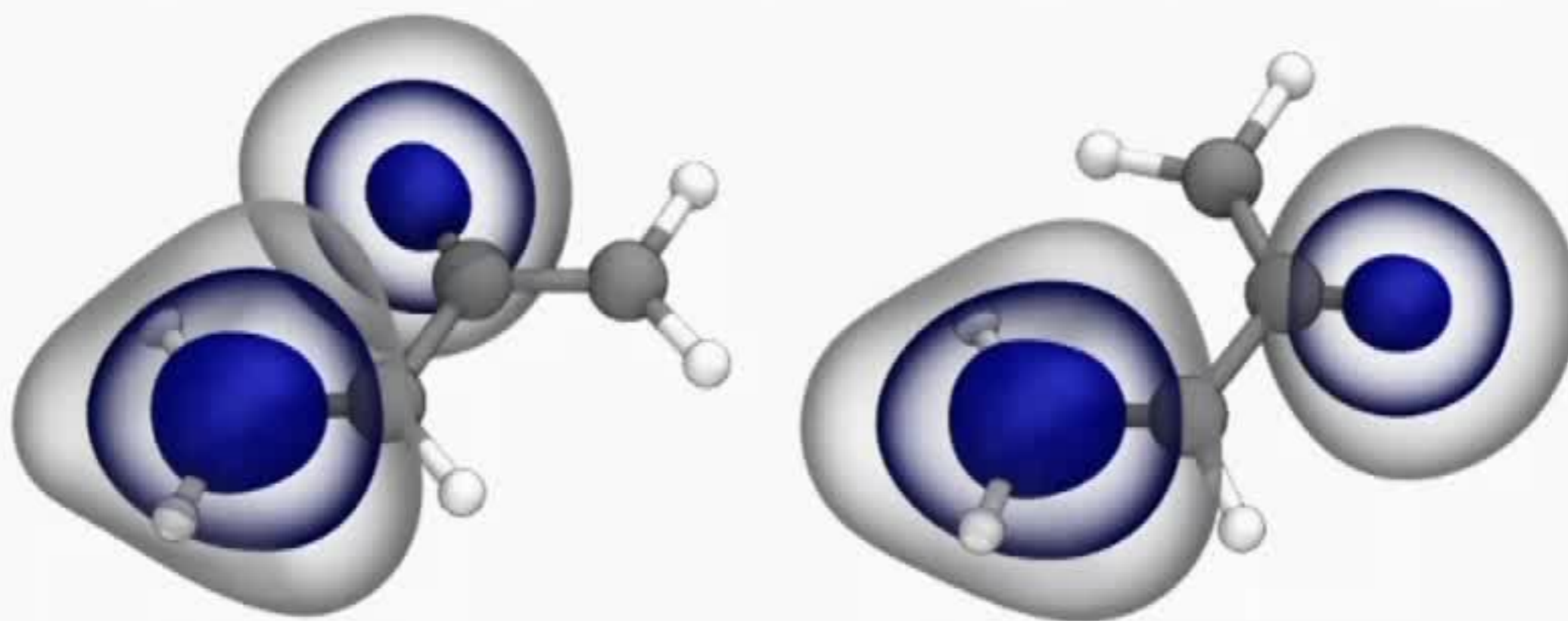
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# Machine Learning the Electron Density

- Decompose the density in localized (“à la Boys/Wannier”) atom-centered contributions using a  $\phi_k \equiv R_n Y_m^l$  expansion

$$\mathcal{F}(\rho) = \int d\mathbf{r} \left| \rho(\mathbf{r}) - \sum_{ik} c_{ik} \phi_k(\mathbf{r} - \mathbf{r}_i) \right|^2$$

- Machine-learn the expansion coefficients
- Highly transferable: learn on butadiene, predict on octatetraene



w/ C. Corminboeuf, B. Meyer, A. Fabrizio

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