# Point Spread Function Reconstruction in Ground-based Astronomy

Raymond H. Chan

Department of Mathematics

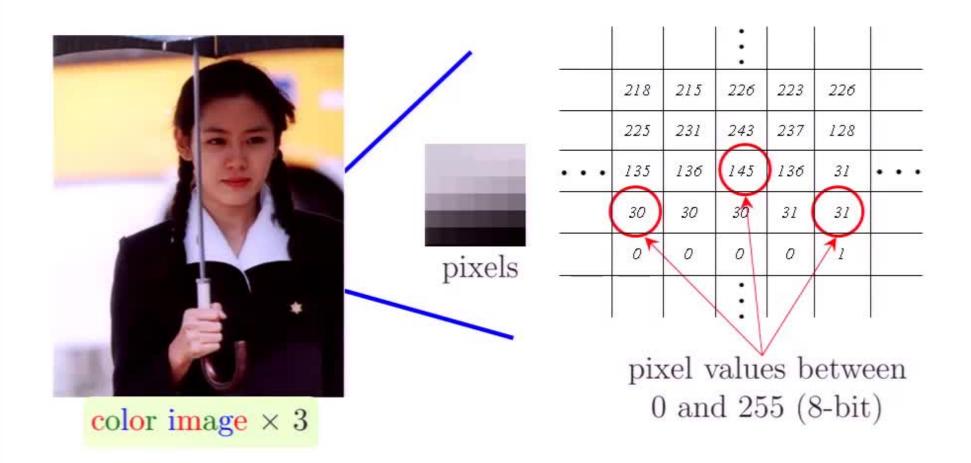
The Chinese University of Hong Kong



#### Outline

- 1. Ground-based Astronomy
- 2. Models and Solution Methods
- 3. High-resolution Image Reconstruction

## What is (gray-scale) image?

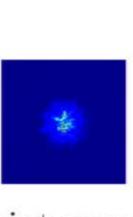


1000-by-1000 image = 1000-by-1000 matrix concatenate into 1M-vector

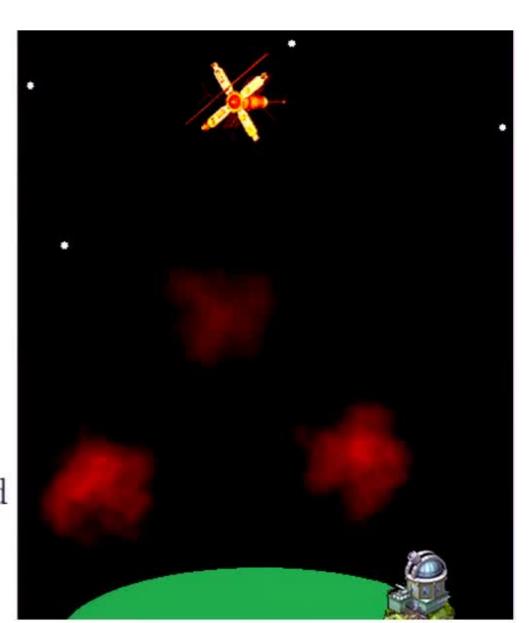
3

## Ground-Based Astronomy

true image f(x,y)



point spread function k(x,y)





observed image g(x, y)

## Unknown Point Spread Functions

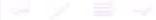
Some well-known approaches for getting k(x, y):

□ Blind-decovolution to simultaneously obtain k(x, y) and f(x, y):

$$g(x,y) = k^{(i+\frac{1}{2})}(x,y)*f^{(i)}(x,y)+n(x,y), i = 1,2...$$

[T. Chan & Wong IEEE TIP 98]



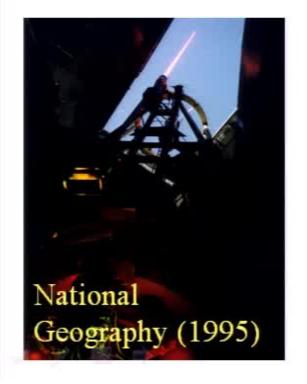


## Unknown Point Spread Functions

Some well-known approaches for getting k(x, y):

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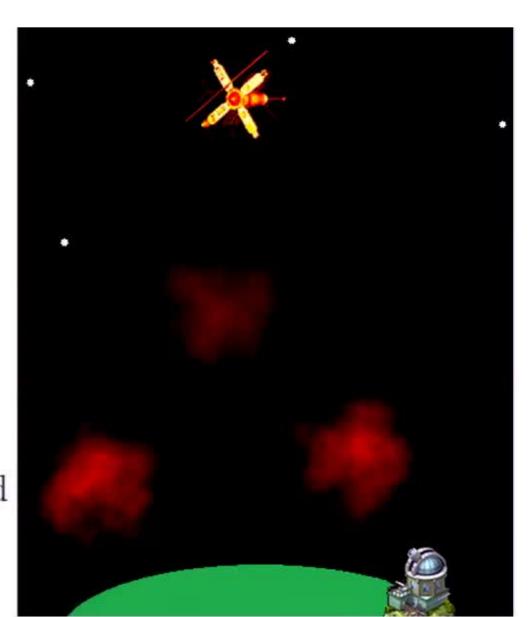
 $\square$  Reconstruct k(x,y) by some means (e.g. natural or artificial guide-star)

## Ground-Based Astronomy

true image f(x,y)



point spread function k(x, y)





observed image g(x, y)



## Point-spread Function Reconstruction

Planar waves change across atmospheric turbulence

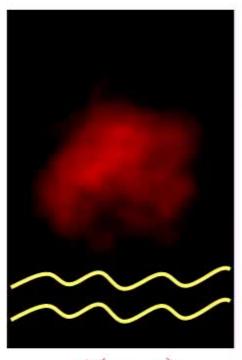
 $\Box$   $\phi(x,y)$ : deviation from planarity is called phase error or phase

#### Fourier optics model:

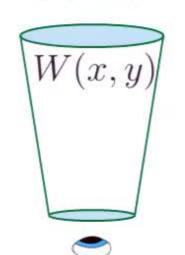
$$k(x,y) = \left| \mathcal{F}^{-1} \left\{ W(x,y) e^{i\phi(x,y)} \right\} \right|^2$$

- $\square$  W(x,y): aperture of the telescope
- $\square$   $\mathcal{F}$ : Fourier transform

[Goodman 96, Bardsley SIMAX, 08]

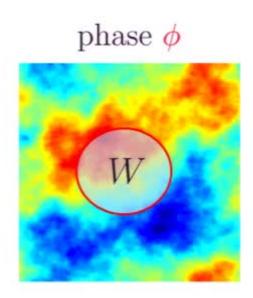


$$\phi(x,y)$$



## Wavefront to Wavefront Gradient

Phase  $\phi(x, y)$  cannot be directly measured, only its gradients by wavefront sensors:

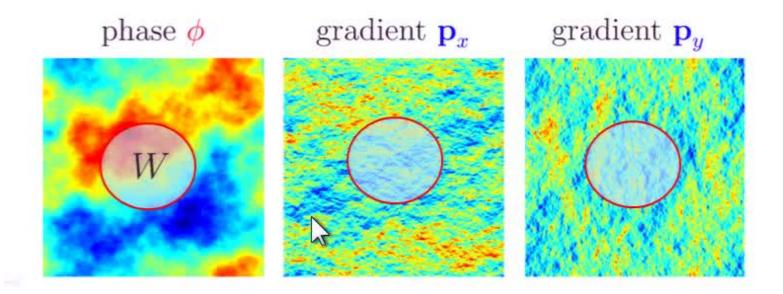


## Wavefront to Wavefront Gradient

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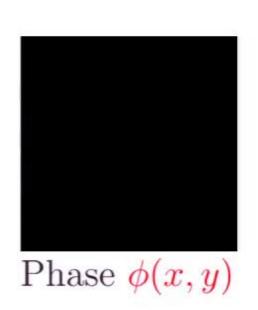
- $\square$   $\mathbf{p}_x = D_x \phi(x, y)$ : horizontal wavefront gradient
- $\square$   $\mathbf{p}_y = D_y \phi(x, y)$ : vertical wavefront gradient

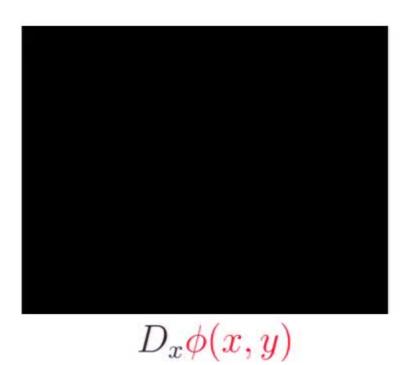
 $D_i$ : 1st-order derivative operator modeling the sensor



#### The Problem

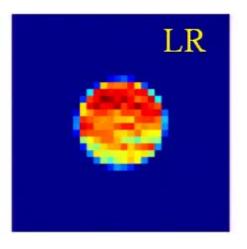
- $\square$  Wavefront sensors collect wavefront gradients  $D_i\phi(x,y)$ , not the phase  $\phi(x,y)$
- $\square D_i \phi(x,y)$  are collected on coarse grids





 $\square$  Not accurate to compute  $\phi$  from  $D_i\phi(x,y)$ 

## The Aim

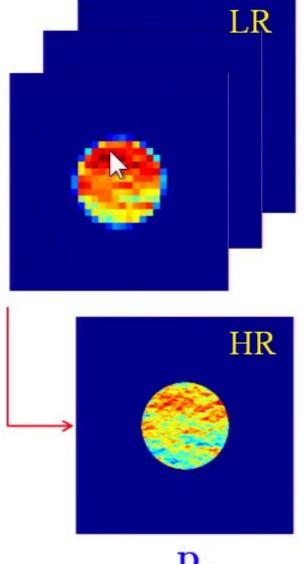






#### The Aim

- Use a sequences of low-resolution (LR) frames of wavefront gradients to obtain the high-resolution (HR) wavefront gradients  $\mathbf{p}_i = D_i \phi(x, y)$
- □ From HR wavefront gradient  $D_i\phi(x,y)$  reconstruct more accurate  $\phi(x,y)$
- $\square$  From  $\phi(x,y)$  reconstruct k(x,y)
- Using k(x, y), deblur g(x, y) to get f(x, y)



## Frozen Flow Hypothesis

Within a short time interval, phase does not change.

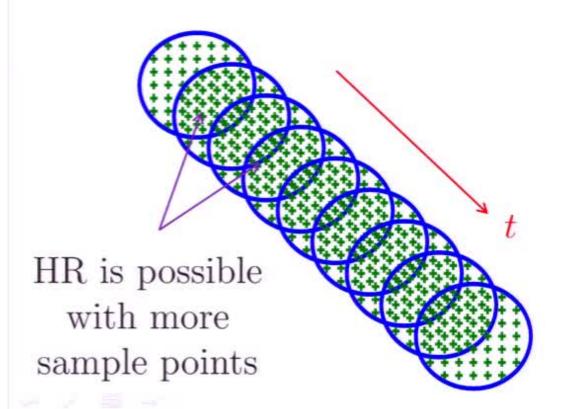
Move telescope to get a sequence of LR frames of wavefront gradients to reconstruct the HR wavefront gradients.

A LR wavefront gradients sensor

## Frozen Flow Hypothesis

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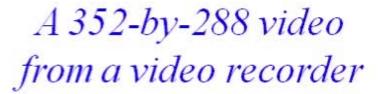
Move telescope to get a sequence of LR frames of wavefront gradients to reconstruct the HR wavefront gradients.



A LR wavefront gradients sensor

[Jefferies & Hart, 10]





20 to 30 frames/second



Bilinear interpolation from 1 frame

### Reference frame



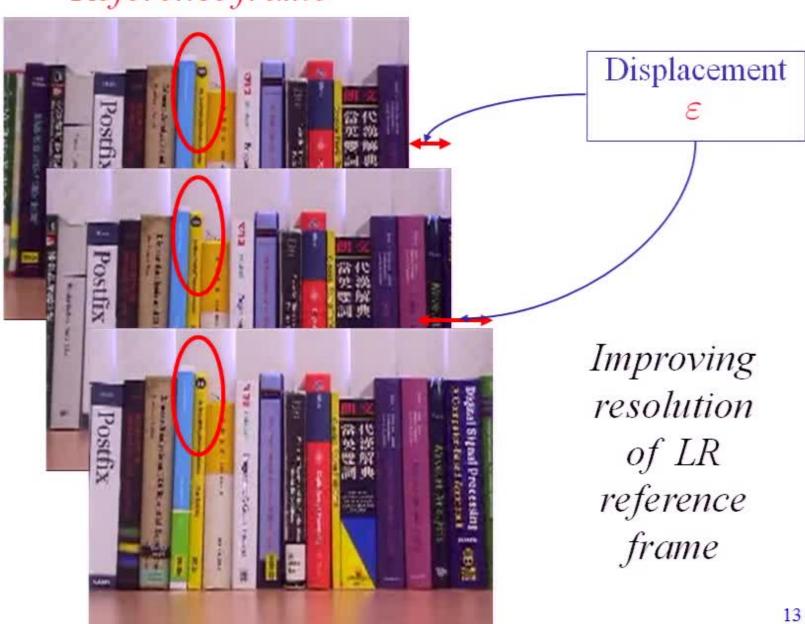
Improving resolution of LR reference frame

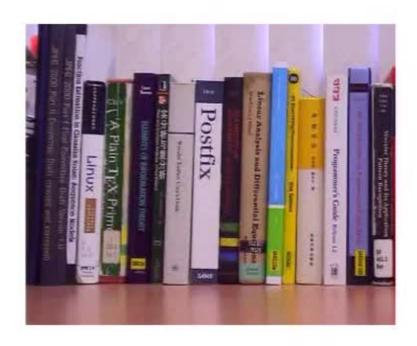
### Reference frame



Improving resolution of LR reference frame

#### Reference frame





A 352-by-288 video from a video recorder



A 352-by-288 video from a video recorder



Tight-frame method using 21 frames

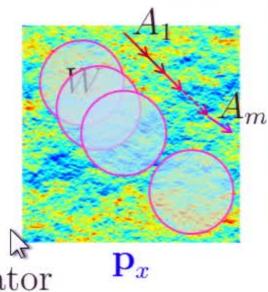
[C., Shen, & Xia, ACHA 08]

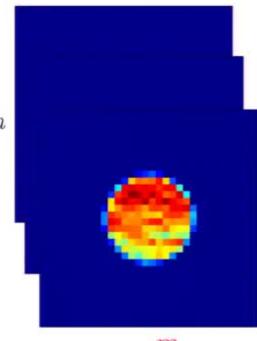
More on this later in Part 3

#### Relation between HR and LR Gradients

$$\mathbf{q}_{x}^{i} = RWA_{i}\mathbf{p}_{x} + \mathbf{n}_{x}^{i}, \quad i = 1, 2, \cdots, m$$
  
$$\mathbf{q}_{y}^{i} = RWA_{i}\mathbf{p}_{y} + \mathbf{n}_{y}^{i}, \quad i = 1, 2, \cdots, m$$

- $\square$   $\mathbf{p}_x$ ,  $\mathbf{p}_y$ : HR wavefront gradients
- $\square \mathbf{q}_x^i, \mathbf{q}_y^i$ : sequences of LR wavefront gradients
- $\square$   $\mathbf{n}_x^i$ ,  $\mathbf{n}_y^i$ : noise
- $\square$   $A_i$ : motion operator
- $\square$  W: aperture operator
- $\square$  R: down-sampling operator





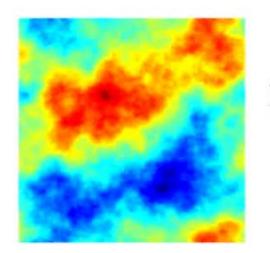
#### Tikhonov P-P Model

#### [Nagy, Jefferies, & Chu, Maui Conf. 10, SISC 13]:

$$\min_{\mathbf{p}_{x}} \|\mathbf{p}_{x}\|_{2}^{2} + \frac{\alpha}{2} \sum_{i=1}^{m} \|RWA_{i}\mathbf{p}_{x} - \mathbf{q}_{x}^{i}\|_{2}^{2}$$

$$\min_{\mathbf{p}_{y}} \|\mathbf{p}_{y}\|_{2}^{2} + \frac{\alpha}{2} \sum_{i=1}^{m} \|RWA_{i}\mathbf{p}_{y} - \mathbf{q}_{y}^{i}\|_{2}^{2}$$

- $\square$  linear solve with  $\left[I + \alpha \sum_{i} (RWA_i)^T RWA_i\right]$
- $\square \|\mathbf{p}_{x}\|_{2}^{2}, \|\mathbf{p}_{y}\|_{2}^{2} \approx \|\nabla \phi\|_{2}^{2}$
- $\square$  may smooth the edges in  $\phi$



phase  $\phi$ 

## Combined Model for the Phase

 $\square$  Note that:

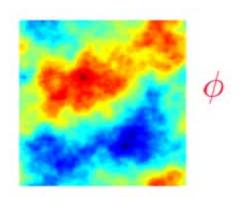
$$\begin{cases} \mathbf{q}_x^i = RWA_iD_x\phi + \mathbf{n}_x^i, \\ \mathbf{q}_y^i = RWA_iD_y\phi + \mathbf{n}_y^i, \end{cases} i = 1, 2, \dots, m.$$

 $\square$  Treat  $\phi$  as an "image" and regularize it:

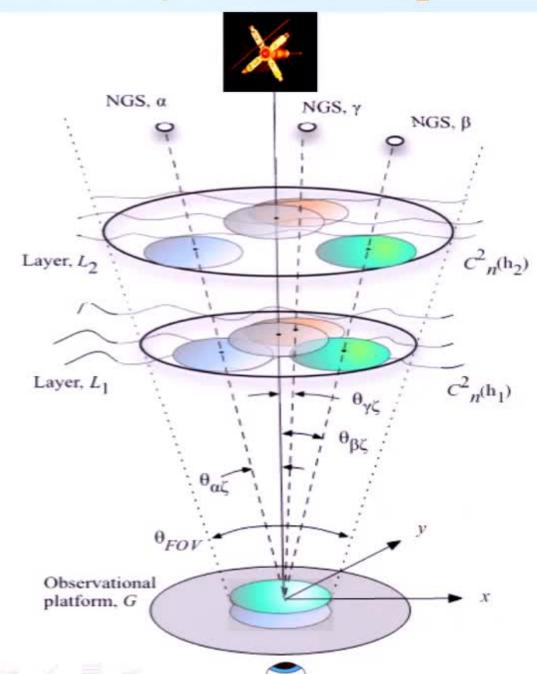
$$\min_{\boldsymbol{\phi}} \| \boldsymbol{C}\boldsymbol{\phi} \|_1 + \frac{\alpha}{2} \sum_{i=1}^m \left\| \begin{bmatrix} RWA_iD_x \\ RWA_iD_y \end{bmatrix} \boldsymbol{\phi} - \begin{bmatrix} \mathbf{q}_x^i \\ \mathbf{q}_y^i \end{bmatrix} \right\|_2^2.$$

 $\square$  Regularizer C can be TV, wavelet, tight-frame, fractional, ...

[C., Yuan, & Zhang, Science China, 13]

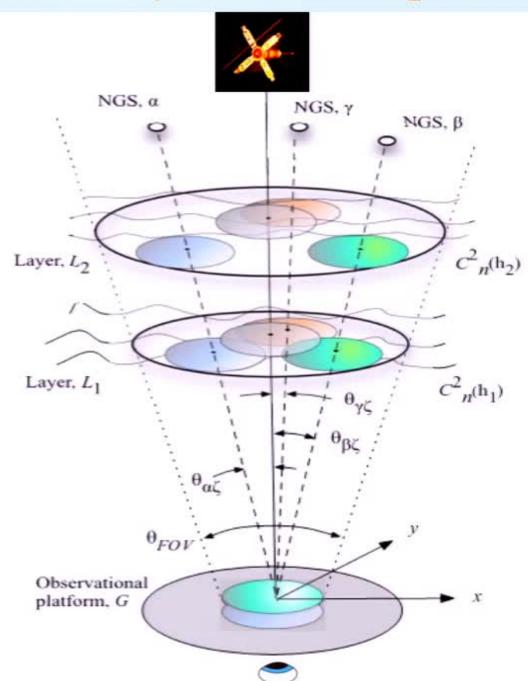


## Multi-layered Atmosphere



S.J. Weddell, "Optical wavefront prediction with reservoir computing".

## Multi-layered Atmosphere



 $\phi_2(x,y)$ 

 $\phi_1(x,y)$ 

S.J. Weddell, "Optical wavefront prediction with reservoir computing".

## Multi-layered Phase



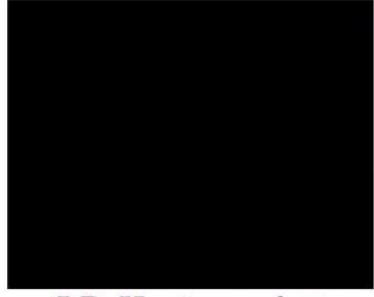
One-layer  $\phi$ 



LR Horizontal  $\mathbf{q}_x$ 



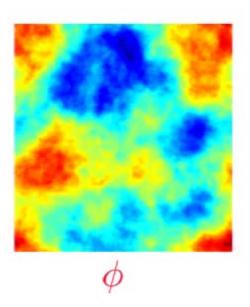
Multi-layer  $\{\phi_l\}$ 



LR Horizontal  $\mathbf{q}_x$ 

## Experiment Setup

 $\Box$ generate true 256-by-256  $\phi$  [Nagy et al., 10, 13]

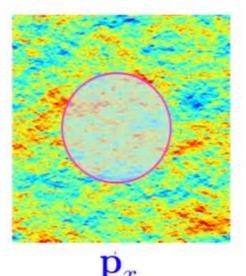


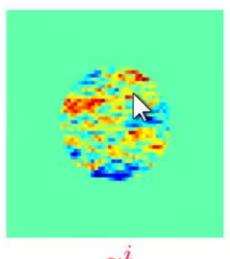
## Experiment Setup

- $\square$  generate true 256-by-256  $\phi$  [Nagy et al., 10, 13]
- $\square$  generate HR  $\mathbf{p}_x = D_x \phi$  and  $\mathbf{p}_y = D_y \phi$
- $\square$  generate LR  $\mathbf{q}_{i}^{i}$  with 1% Gaussian noise by

$$\mathbf{q}_{x}^{i} = RWA_{i}\mathbf{p}_{x} + \mathbf{n}_{x}^{i}, \quad i = 1, 2, \cdots, m$$
  
$$\mathbf{q}_{y}^{i} = RWA_{i}\mathbf{p}_{y} + \mathbf{n}_{y}^{i}, \quad i = 1, 2, \cdots, m$$

- $\square$  downsample by a factor of 4 (64-by-64 LR)
- use m = 16 frames



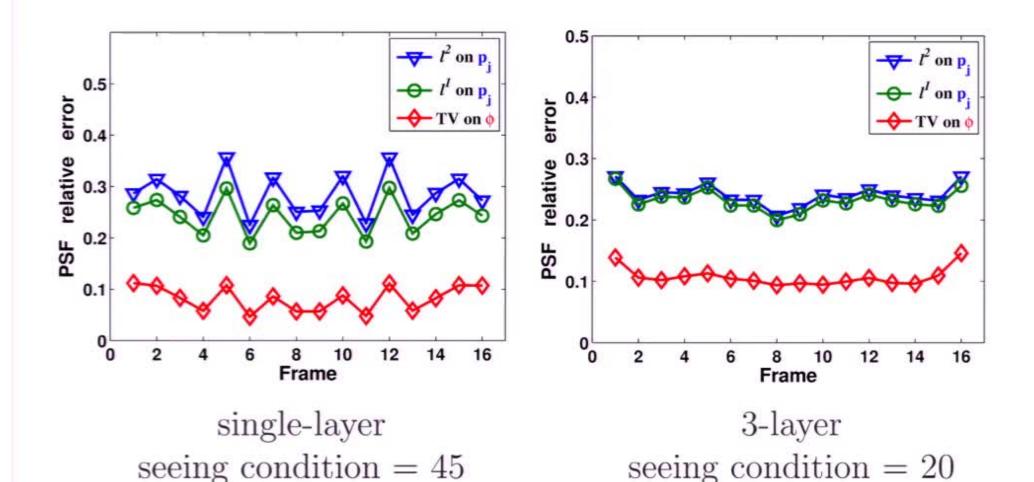


## Reconstructing the PSF

- $\square$  Given  $\{\mathbf{q}_x^i\}_{i=1}^m$  and  $\{\mathbf{q}_y^i\}_{i=1}^m$ , solve

  - $\Box \quad \min_{\boldsymbol{\phi}} \ \|\nabla \boldsymbol{\phi}\|_1 + \frac{\alpha}{2} \sum_{i=1}^m \left\| \begin{bmatrix} RWA_iD_x \\ RWA_iD_y \end{bmatrix} \boldsymbol{\phi} \begin{bmatrix} \mathbf{q}_x^i \\ \mathbf{q}_y^i \end{bmatrix} \right\|_2^2$
- $\square$  Recover  $\phi$  from  $\mathbf{p}_x = D_x \phi$  and  $\mathbf{p}_y = D_y \phi$
- $\square$  Recover PSF from  $k(x,y) = \left| \mathcal{F}^{-1} \left\{ W(x,y) e^{i\phi(x,y)} \right\} \right|^2$
- $\square$  Compare computed  $k_c$  with true k from true  $\phi$

## PSF Error Comparison



- v: [Chu, Jefferies, & Nagy, SIAM J. Sci. Comput., 13]
- o: [C., Yuan, & Zhang, J. Opt. Soc. Amer. A, 12]
- ♦: [C., Yuan, & Zhang, Science China A., 13]

## How good is the Deblurring?

 $\square$  Use true PSF k(x,y) to generate blurred image:

$$g(x,y) = k(x,y) * f(x,y) + n(x,y)$$

with 1% Gaussian noise added.

 $\square$  In matrix terminology:

$$\mathbf{g} = K\mathbf{f} + \mathbf{n}$$

 $\square$  Deblur **g** with computed PSF  $k_c(x,y)$ :

$$\min_{\mathbf{f}} \|\nabla \mathbf{f}\|_1 + \frac{\mu}{2} \|K_c \mathbf{f} - \mathbf{g}\|_2^2$$



## Results for 1-Layer Case $1dB \uparrow \approx 10\% \downarrow$ in relative error



true image f



blurred image g

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true image f

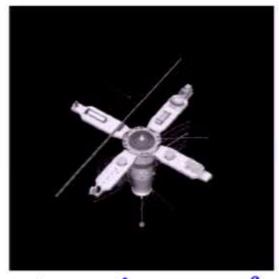


true psf



blurred image g

### Results for 1-Layer Case $1dB \uparrow \approx 10\% \downarrow$ in relative error



true image f



true psf



on  $\mathbf{p}_x, \mathbf{p}_y$ 



blurred image g

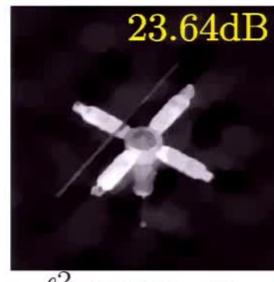
#### Results for 1-Layer Case | $1dB \uparrow \approx 10\% \downarrow$ in relative error



true image f



true psf



 $\ell^2$  on  $\mathbf{p}_x, \mathbf{p}_y$ 



blurred image g



on  $\mathbf{p}_x, \mathbf{p}_y$ 

#### Results for 1-Layer Case $1 dB \uparrow \approx 10\% \downarrow$ in relative error



true image f



29.66dB

true psf



on  $\mathbf{p}_x, \mathbf{p}_y$ 



 $\ell^2$  on  $\mathbf{p}_x, \mathbf{p}_y$ 



TV on  $\phi$ 



true image f



blurred image g



true image f



blurred image g



true psf





true image f



blurred image g



true psf



 $\ell^2$  on  $\mathbf{p}_x, \mathbf{p}_y$ 



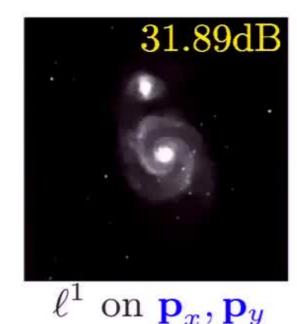
true image f



blurred image g



true psf

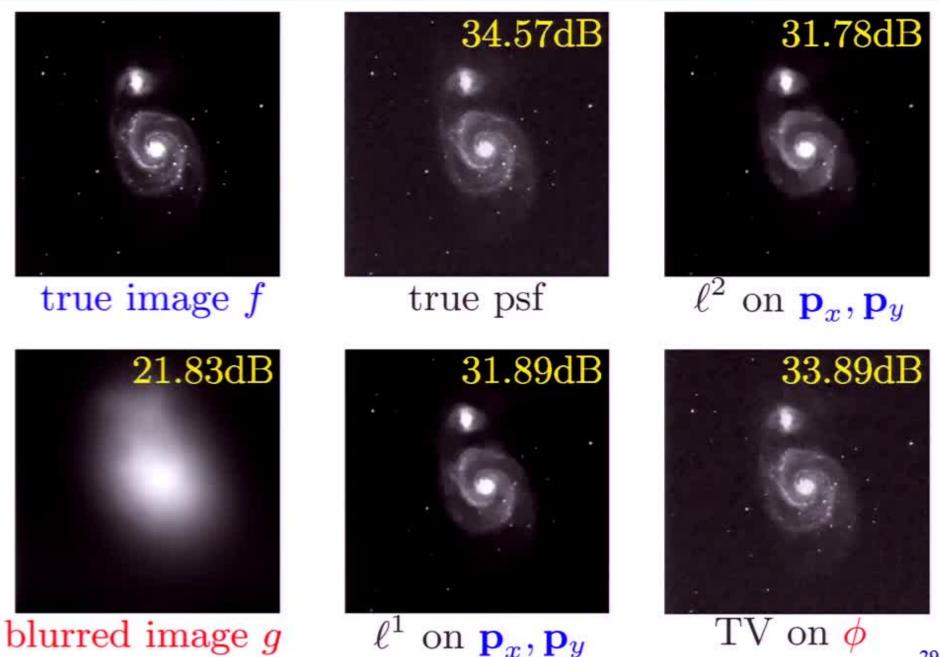




on  $\mathbf{p}_x, \mathbf{p}_y$ 

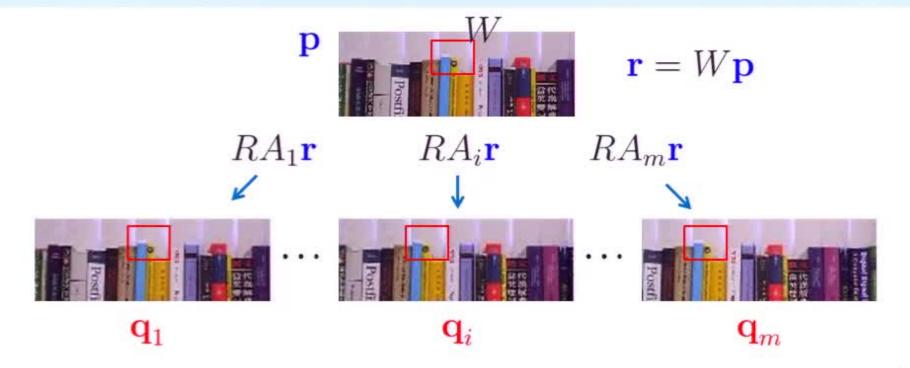






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#### Classical Approach [Tsai & Huang, 84]

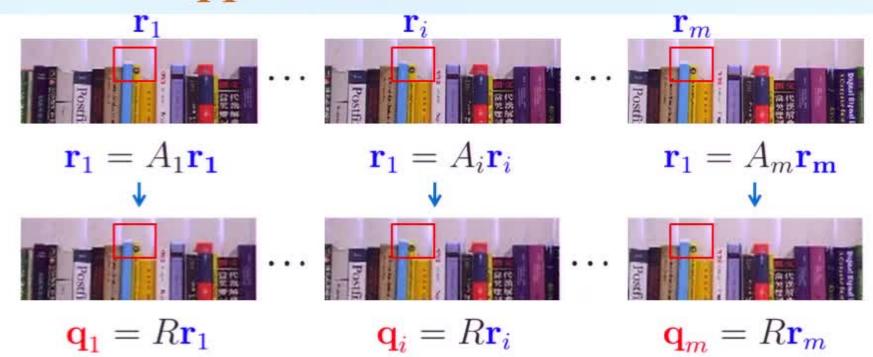


$$\square \mathbf{q}_i = RBA_i\mathbf{r} + \mathbf{n}_i, i = 1, \dots, m$$

 $\square$  Solve, e.g.

$$\min_{\mathbf{r}} \|\nabla \mathbf{r}\|_1 + \frac{\alpha}{2} \sum_{i=1}^m \|RA_i \mathbf{r} - \mathbf{q}_i\|_2^2.$$

## Low-rank Approach



- $\square \mathbf{q}_i = R\mathbf{r}_i + \mathbf{n}_i, i = 1, \dots, m.$
- $\square$   $[A_1\mathbf{r}_1,\ldots,A_m\mathbf{r}_m]$  low rank, so:

$$\min_{\mathbf{r}_i} \operatorname{rank}[A_1\mathbf{r}_1, \dots, A_m\mathbf{r}_m] + \frac{\alpha}{2} \sum_{i=1}^m \|R_i^{\mathbf{r}_i} - \mathbf{q}_i\|_2^2.$$

#### Nuclear Norm [Candes, Recht, 09; Recht, Fazel, Parrilo, 10]

$$\min_{\mathbf{r}_{i}} \|[A_{1}\mathbf{r}_{1}, \dots, A_{m}\mathbf{r}_{m}]\|_{*} + \frac{\alpha}{2} \sum_{i=1}^{m} \|R\mathbf{r}_{i} - \mathbf{q}_{i}\|_{2}^{2}.$$

- $\square$  Nuclear norm:  $||U||_* = \sum_j \sigma_j(U) = ||\boldsymbol{\sigma}(U)||_1$ .
- $\square$  An  $\ell^1$ - $\ell^2$  model. Can be solved by ADMM:
  - $\square$  Auxiliary variables:  $\mathbf{v}_i = A_i \mathbf{r}_i$ .
  - $\square$   $\mathbf{r}_i$ -subproblem:  $(\alpha R^t R + \beta A_i^t A_i) \mathbf{r}_i^{j+1} = \mathbf{b}^j$ .
  - $\square$   $\mathbf{v}_i$ -subproblem:  $[\mathbf{v}_1^{j+1}, \dots, \mathbf{v}_m^{j+1}] = SVS_{\frac{1}{\beta}}(U^j).$

# Comparison



Single frame with bilinear interpolation

# Comparison



Single frame with bilinear interpolation



21 frames with TV regularization



21 frames with nuclear norm



21 frames with tightframe approach

### HS Image Reconstruction by Tightframe

□ Not classical approach:

$$\min_{\mathbf{r}} \|\mathbf{\mathcal{T}r}\|_1 + \frac{\alpha}{2} \sum_{i=1}^m \|RA_i\mathbf{r} - \mathbf{q}_i\|_2^2.$$

- □ Tightframes are generalization of wavelets. Explain idea by the simplest tightframe, the Haar wavelet.
- □ Consider the simplest case: 4 low-resolution images merge into 1 high-resolution image:

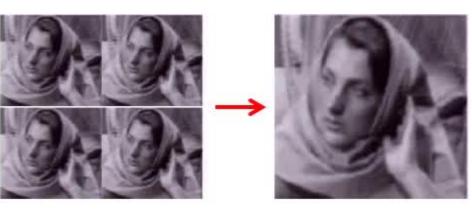
## HS Image Reconstruction by Tightframe

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- □ Tightframes are generalization of wavelets. Explain idea by the simplest tightframe, the Haar wavelet.
- □ Consider the simplest case: 4 low-resolution images merge into 1 high-resolution image:

LR images q align exactly at half-pixel



High-resolution **p** 



#### The Process from HR to LR

4 perfectly aligned
LR images merge
into 1 HR image:

HR pixels
p

pixel of 3rd LR image

 $\square$  HR  $\rightarrow$  LR process = convolution (blurring) with kernel

$$\left(\dots,0,\frac{1}{2},\frac{1}{2},0,\dots\right)\otimes\left(\dots,0,\frac{1}{2},\frac{1}{2},0,\dots\right)$$

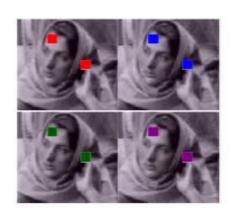
 $\square$   $\left[\frac{1}{2}, \frac{1}{2}\right]$  is Haar's low-pass filter

## Key Observation

High-resolution **p** 



LR images q align exactly at half-pixel

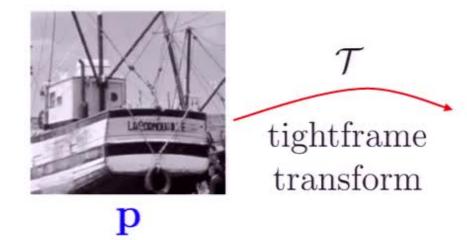


$$\begin{bmatrix} \ddots & \ddots & & & \\ \frac{1}{2} & \frac{1}{2} & & & B \\ & \frac{1}{2} & \frac{1}{2} & & \\ & \frac{1}{2} & \frac{1}{2} & & \\ & & \ddots & \ddots \end{bmatrix} \mathbf{p} = \begin{bmatrix} \mathbf{p} & \mathbf{p} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} \\ \mathbf{q} & \mathbf{p} & \mathbf{p} \\ \mathbf{q} & \mathbf{q} \\ \mathbf{$$

 $\square$   $B\mathbf{p} = \mathbf{q}$  with B block-Toeplitz-Toeplitz-block.

## Frequency Domain Inpainting

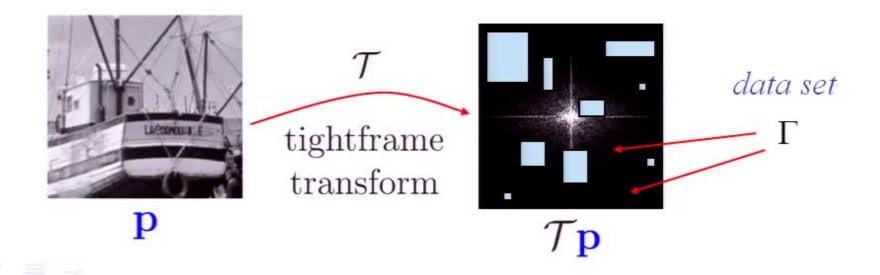
HR image reconstruction = frequency domain inpainting



#### Frequency Domain Inpainting

HR image reconstruction = frequency domain inpainting

- $\Box$  The low-pass framelet coefficients **q** are given at locations Γ.
- $\square$  Find **p** such that  $P_{\Gamma} \mathcal{T} \mathbf{p} = P_{\Gamma} \mathbf{q}$ .





# Tightframe Algorithm for Inpainting

For  $j = 0, 1, \ldots$ , until convergence:

- 1. Compute  $\mathbf{c}^j = \mathcal{T}\mathbf{p}^j$ .
- 2. Data fitting: set

$$[\mathbf{c}_d^j]_l = \left\{ \begin{array}{l} [\mathbf{q}]_l, & l \in \Gamma \\ [\mathbf{c}^j]_l, & l \notin \Gamma. \end{array} \right.$$

- 3. Denoise  $\mathbf{c}_d^j$  by shrinkage to get  $\mathbf{c}_t^j$ .
- 4. Reconstruct  $\mathbf{p}^{j+1} = \mathcal{T}^t \mathbf{c}_t^j$ .

Note that for tightframes, we have  $\mathcal{T}^t\mathcal{T}=I$ .

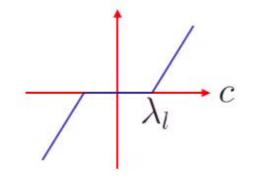
# Tightframe Algorithm for Inpainting

The tight-frame frequency domain inpainting algorithm:

$$\mathbf{p}^{j+1} = \mathcal{T}^t \mathcal{S}_{\lambda} \left( P_{\Gamma^c} \mathcal{T} \mathbf{p}^j + P_{\Gamma} \mathbf{q} \right)$$

where

 $\square$   $P_{\Gamma^c}$ : projection onto complement of  $\Gamma$ 



 $\square$   $S_{\lambda}$ : shrinkage operator with threshold  $\lambda$ 

$$s_{\lambda_l}(c) \equiv \begin{cases} \operatorname{sgn}(c)(|c| - \lambda_l), & \text{if } |c| > \lambda_l, \\ 0, & \text{if } |c| \le \lambda_l. \end{cases}$$

16-to-1 sensor array



16 LR images

16-to-1 sensor array



16 LR images



recovered

16-to-1 sensor array



16 LR images



recovered

only
4 LR
images
given



4 LR images



recovered

Piecewise linear tightframe is used in our examples.

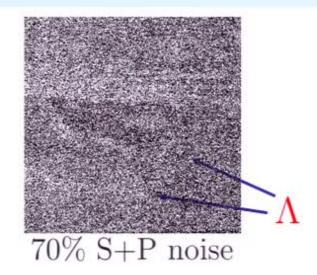
## Iterative Thresholding Algorithms

- $\square$  [ $C^2 + S^2$ , SISC, 03]: Proximal forward-backward algorithm
- □ [Daubechies, Defrise, & De Mol, CPAM 04]
  Iterative thresholding with sparsity constraint
- □ [Elad, Starck, Querre & Donoho, MCA 05]
  Simultaneous cartoon and texture image inpainting
- □ [Combettes & Wajs, SIAM MMS, 05]
  Signal recovery by proximal forward-backward splitting
- □ [Beck & Teboulle, SIIMS 09]
  A fast iterative shrinkage-thresholding algorithm (FISTA)

□ ...

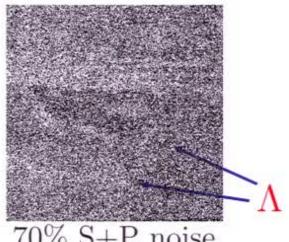
Find image **p** from data **q** given on  $\Lambda$ , i.e  $\mathcal{P}_{\Lambda}\mathbf{p} = \mathcal{P}_{\Lambda}\mathbf{q}$ .

$$\mathbf{p}^{j+1} = \mathcal{P}_{\Lambda^c} \mathcal{T}^t \mathcal{S}_{\lambda} \mathcal{T} \mathbf{p}^j + \mathcal{P}_{\Lambda} \mathbf{q}$$



Find image **p** from data **q** given on  $\Lambda$ , i.e  $\mathcal{P}_{\Lambda}\mathbf{p} = \mathcal{P}_{\Lambda}\mathbf{q}$ .

$$\mathbf{p}^{j+1} = \mathcal{P}_{\Lambda^c} \mathcal{T}^t \mathcal{S}_{\lambda} \mathcal{T} \mathbf{p}^j + \mathcal{P}_{\Lambda} \mathbf{q}$$



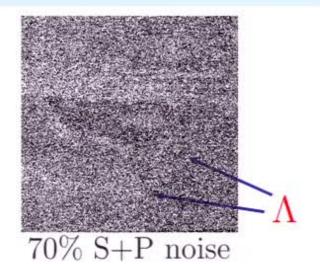
70% S+P noise



Adapative Median Filter (AMF)

Find image **p** from data **q** given on  $\Lambda$ , i.e  $\mathcal{P}_{\Lambda}\mathbf{p} = \mathcal{P}_{\Lambda}\mathbf{q}$ .

$$\mathbf{p}^{j+1} = \mathcal{P}_{\Lambda^c} \mathcal{T}^t \mathcal{S}_{\lambda} \mathcal{T} \mathbf{p}^j + \mathcal{P}_{\Lambda} \mathbf{q}$$





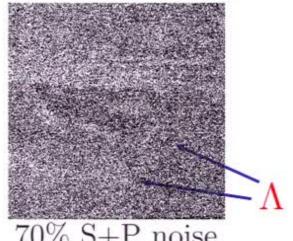
Adapative Median Filter
(AMF)



AMF +  $\ell^1$ - $\ell^1$  [C., Ho, Nikolova, 05]

Find image **p** from data **q** given on  $\Lambda$ , i.e  $\mathcal{P}_{\Lambda}\mathbf{p} = \mathcal{P}_{\Lambda}\mathbf{q}$ .

$$\mathbf{p}^{j+1} = \mathcal{P}_{\Lambda^c} \mathcal{T}^t \mathcal{S}_{\lambda} \mathcal{T} \mathbf{p}^j + \mathcal{P}_{\Lambda} \mathbf{q}$$



70% S+P noise



Adapative Median Filter (AMF)



 $AMF + \ell^1 - \ell^1$ [C., Yo, Nikolova, 05]



AMF + tightframe [Cai, C., Shen, Shen, 09]

# Single Frame Upsampling

HR video from LR video

# Single Frame Upsampling

HR video from LR video



# Single Frame Upsampling



interpolate/inpaint





HR video from LR video





Input Video



Qian et al. Siggraph 09:  $\|\Psi(\nabla \mathbf{f})\|_1$ 



Upsampled by bicubic



Level 6 Tightframe



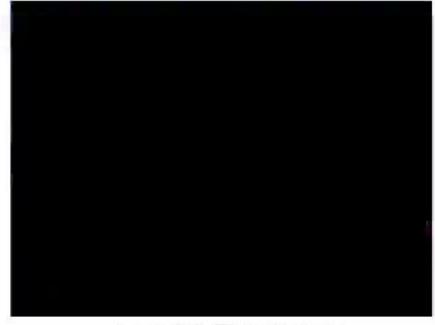
Input Video



Upsampled by bicubic



Qian et al. Siggraph 09:  $\|\Psi(\nabla \mathbf{f})\|_1$ 



Level 6 Tightframe

#### Thanks to the Collaborators

Jianfeng Cai (HK University of Science & Technology) ☐ Tony Chan (HK University of Science & Technology) Mila Nikolova (ENS Cachan) ☐ Lixin Shen (Syracuse University) Zuowei Shen (National University of Singapore) Xiaoming Yuan (HK Baptist University) Wenxing Zhang (China Uni. of Electronic Science & Tech.)

Thank you for your attention!

# Welcome to SIAM LA18 in Hong Kong

May 4-8, 2018



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## Concluding Remarks

- $\square$   $\ell^1$ - $\ell^2$  problems are common in image processing
- □ Efficient solvers by adding auxiliary variables
- □ Solution requires solving a linear system for every outer iteration
- □ Some requires computing an SVD for every outer iteration



Input Video



Qian et al. Siggraph 09:  $\|\Psi(\nabla \mathbf{f})\|_1$ 



Upsampled by bicubic



Level 6 Tightframe