

## Supporting Information for Step Therapy Exception Request

Pursuant to New York Consolidated Laws – [Insurance Law §4903](#)

Determination required within:

- 24 hours (urgent)
- 72 hours

Pursuant to New York [Insurance Law §4903](#), the patient qualifies for an exception to the step therapy protocol because any **one** of the following conditions has been met:

- The required prescription drug is contraindicated or will likely cause an adverse reaction or physical or mental harm.
- The required drug is expected to be ineffective.
- The patient has tried the required drug or another drug in the same pharmacologic class or with the same mechanism of action and the drug was discontinued due to a lack of efficacy, effectiveness, diminished effect, or an adverse event.
- The patient is stable on a prescription drug other than the required drug.
- The required drug is not in the best interest of the patient because the required drug will likely:
  - Cause a significant barrier to the patient’s adherence to or compliance with their plan of care;
  - Worsen a comorbid condition; or
  - Decrease the patient’s ability to achieve or maintain reasonable functional ability in performing daily activities.

### Rationale for Request

**Signature:**

**Date:**

**Attn: Department of Financial Services**

Email: [consumers@dfs.ny.gov](mailto:consumers@dfs.ny.gov) /Complaint: <https://www.dfs.ny.gov/complaint>