

# Spatio-Spectral Background Estimation in Remote Sensing Imagery

James Theiler and Brendt Wohlberg

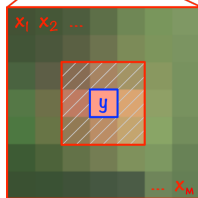
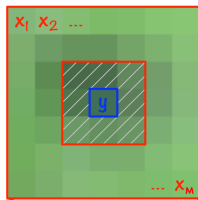
Los Alamos National Laboratory

26 May 2016

**Acknowledge:** Stanley Rotman, Amanda Ziemann

Research supported by the United States Department of Energy  
Hyperspectral Advanced Research and Development  
for Solid materials project (HARD Solids).

# Motivation: detect weak signals in cluttered backgrounds



## Hunts Needle in a Haystack

HOW LONG does it take to find a needle in a haystack? Jim Moran, Washington, D. C., publicity man, recently dropped a needle into a convenient pile of hay, hopped in after it, and began an intensive search for (a) some publicity and (b) the needle. Having found the former, Moran abandoned the needle hunt.

# Motivation, Part II

- To solve **Remote Sensing** problems
  - To detect targets, first estimate the target-free background
- Can we use **Image Processing** tools?
  - To reduce noise, need to estimate noise-free pixel values
  - In-painting, need to estimate missing pixel values



# Inspired by recent developments in image processing

- Patched-based methods: filtering, regression, etc.
- eg, noise reduction using “non-local means”

P. Milanfar, IEEE Signal Processing Magazine (Jan 2013), 106–128



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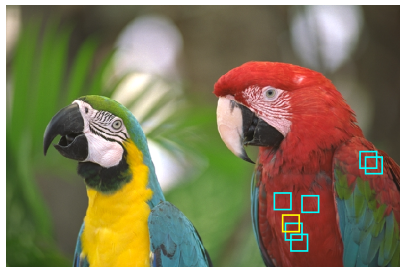
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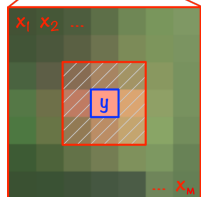
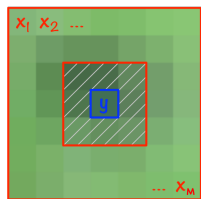
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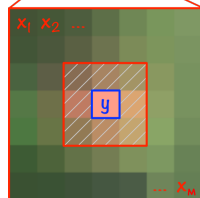
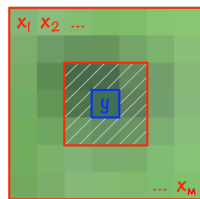


# Target and anomaly detection



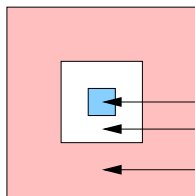
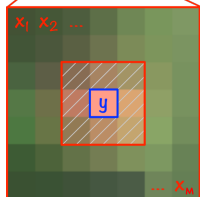
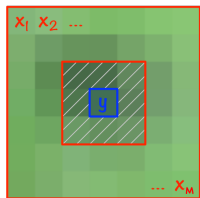
- Detect weak signals in cluttered backgrounds
- Characterize cluttered backgrounds

# Target and anomaly detection



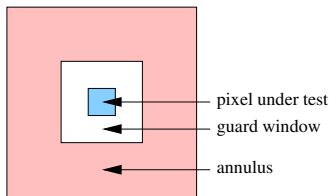
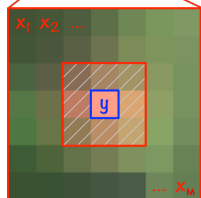
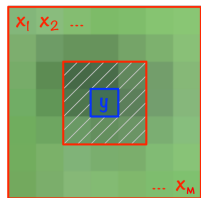
- Detect weak signals in cluttered backgrounds
- Characterize cluttered backgrounds
- Step 1: estimate the target-free background
  - eg, global Gaussian model: mean and covariance from data
  - or: mixtures, subspaces, endmembers, manifolds, . . .
  - or: local mean, local or global covariance
  - or: local regression
- Step 2: compare estimated to observed
  - disagreement implies anomalousness

# RX = Mahalanobis distance



- Step 1: estimate the target-free background
  - average pixels in surrounding annulus
  - Estimate:  $\hat{y} = (x_1 + x_2 + \dots + x_M)/M$

# RX = Mahalanobis distance



- Step 1: estimate the target-free background
  - average pixels in surrounding annulus
  - Estimate:  $\hat{y} = (x_1 + x_2 + \dots + x_M)/M$
  
- Step 2: compare estimated to observed
  - using Mahalanobis distance
  - Difference:  $e = y - \hat{y}$
  - Covariance:  $R = \langle ee^T \rangle$
  - Anomalousness:  $A = e^T R^{-1} e$

# Regression

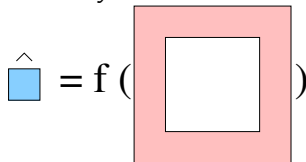
- Estimate target-free pixel value based on the pixels in the surrounding annulus
- eg, local mean:

$$\hat{y} = \frac{1}{M}(x_1 + \dots + x_M)$$

- Seek function  $f$ , learned from the entire image, such that

$$\hat{y} = f(\mathbf{x}) = f(x_1, \dots, x_M)$$

provides a good estimate of  $y$


$$\hat{\square} = f(\text{annulus})$$

# Regression

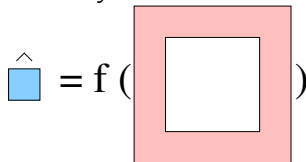
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Aside: Linear  $f(\mathbf{x})$  corresponds to convolutional filter



# Regression: multiple (multi-/hyper-spectral) bands

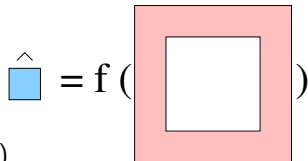
When we say:

$$\hat{y} = f(\text{input})$$

- $\hat{y} = f(\mathbf{x})$

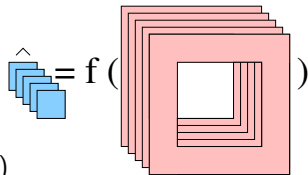
# Regression: multiple (multi-/hyper-spectral) bands

When we say:

$$\hat{y} = f(x)$$


- $\hat{y} = f(x)$

What we mean is:

$$\hat{y} = f(x)$$


- $\hat{y} = f(x)$

# Regression for target and anomaly detection

$$\hat{\square} = f \left( \square \right)$$

## ■ This study:

- local estimate of central pixel:  $\hat{y} = f(\mathbf{x}) = f(x_1, \dots, x_M)$
- global estimate of covariance:  $R = \langle (y - \hat{y})(y - \hat{y})^\top \rangle$

## ■ Additive target detection ( $y$ vs. $y + \epsilon \mathbf{t}$ ):

- local matched filter:  $\mathcal{T}(\mathbf{x}, y) = \mathbf{t}^\top R^{-1}(y - f(\mathbf{x}))$

## ■ Anomaly detection

- RX-like:  $\mathcal{A}(\mathbf{x}, y) = (y - f(\mathbf{x}))^\top R^{-1}(y - f(\mathbf{x}))$

# Regression algorithms

- Aim is to find  $f$  such that  $y \approx \hat{y} = f(\mathbf{x})$
- $k$ NN – (weighted)  $k$ -nearest neighbors (in  $\mathbf{x}$ -space)
  - Given annulus  $\mathbf{x}$  around the pixel under test:  
Find locations  $i_1, i_2, \dots, i_k$  such that annuli  $\mathbf{x}_{i_1}, \mathbf{x}_{i_2}, \dots, \mathbf{x}_{i_k}$  are close to  $\mathbf{x}$ . That is:  $\|\mathbf{x} - \mathbf{x}_i\|$  is small.
  - Assign weights  $w_1, \dots, w_k$  so closer points have more weight:  
eg,  $w_i \sim \exp(\|\mathbf{x} - \mathbf{x}_i\|^2 / \sigma^2)$
  - Average the associated  $y$  values:  $\hat{y} = f(\mathbf{x}) = \sum_{j=1}^k w_j y_{ij}$



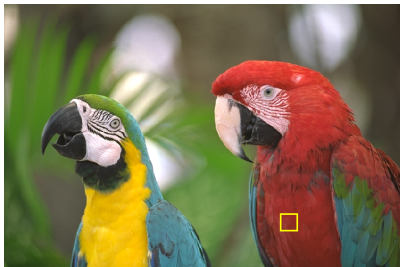
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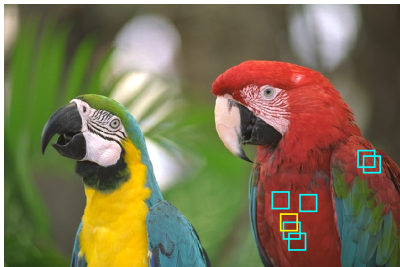
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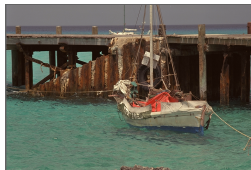
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  - Average the associated  $y$  values:  $\hat{y} = f(\mathbf{x}) = \sum_{j=1}^k w_j y_{i_j}$
- Global least-squares linear fit
  - Let  $f(\mathbf{x}) = f(x_1, \dots, x_M) = \alpha_1 x_1 + \dots + \alpha_M x_M$
  - Fit  $\alpha$ 's globally over the whole image:  
minimize  $\sum_i [y_i - f(\mathbf{x}_i)]^2$

# Images

Parrots



Boat



HyMap



WorldView-2



- 2 Photographs
- 2 Remote sensing
- Bands: 3,3,8,126

# Where are the errors? (HyMap: one band)

Avg

kNN

kNN-x



3x3

# Where are the errors? (HyMap: one band)

Avg

kNN

kNN-x



5x5

# Where are the errors? (HyMap: one band)

Avg

kNN

kNN-x



11x11

## Multispectral (3 bands)

- Two strategies
  - **Band-by-band:** for each band  $b$ , estimate  $b$ th component of  $y$  as function of  $b$ th components of annulus pixels  $x_1, \dots, x_M$ .
  - **Bands-together:** treat  $y$  and  $x_1, \dots, x_M$  as vectors; this mostly affects choice of nearest neighbors
- For local mean, two strategies are equivalent
- Results: kNN outperforms local mean

SNR(dB)	Local Mean	kNN band-by-band	kNN bands together
Parrots	20.13	26.48	25.60
Boat	12.05	18.10	17.62
WV-2 (bands 1,2,3)	12.94	17.24	16.06
HyMap (bands 1,2,3)	16.04	19.66	19.49

Higher SNR  $\implies$  Improved target detection performance?



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N. Hasson, S. Asulin, S. R. Rotman, and D. Blumberg, "Evaluating backgrounds for subpixel target detection: **when closer isn't better.**" *Proc. SPIE* **9472**, p. 94720R, 2015.

## Implanting targets: misplaced pixel anomalies

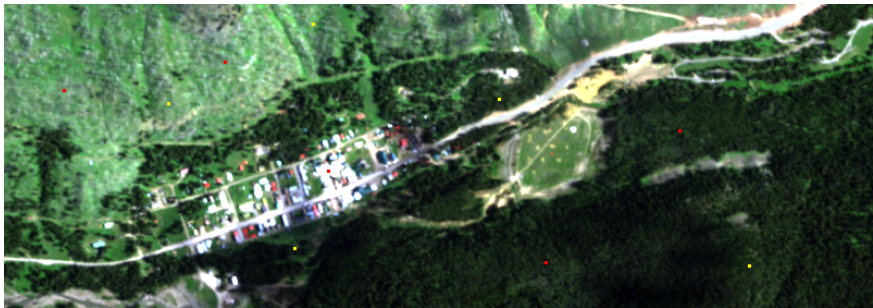


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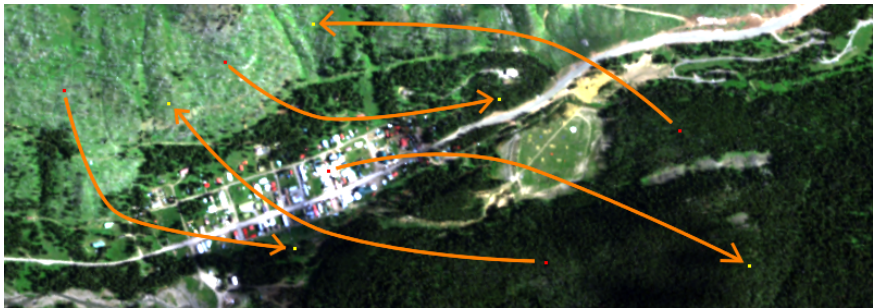
- Select some pixel locations at random (red squares)

## Implanting targets: misplaced pixel anomalies



- Select some pixel locations at random (red squares)
- Select some more locations at random (yellow squares)

## Implanting targets: misplaced pixel anomalies



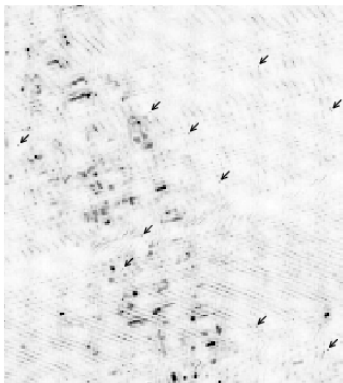
- Select some pixel locations at random (red squares)
- Select some more locations at random (yellow squares)
- Copy pixel values at red locations to yellow locations

# Anomaly detection with implanted targets

- Choose a small number of locations at random
- Replace pixels with anomalous targets
  - eg,  $\mathbf{y} \leftarrow (1 - \epsilon)\mathbf{y} + \epsilon\mathbf{t}$
  - with  $\mathbf{t} \in \mathcal{U}$
- Use regression to learn  $f$
- Apply  $f$  to compute background estimates:  $\hat{\mathbf{y}}_i = f(\mathbf{x}_i)$
- Make residual map:  $\mathbf{e} = \mathbf{y} - \hat{\mathbf{y}}$
- Compute covariance of residuals:  $\Phi = \langle \mathbf{e}\mathbf{e}^T \rangle$
- Use RX-like anomaly detection:  $\mathcal{A}(\mathbf{e}) = \mathbf{e}^T \Phi^{-1} \mathbf{e}$
- Implanted targets should have high values of  $\mathcal{A}$

# Implanted targets (HyMap – Cooke City)

Mean



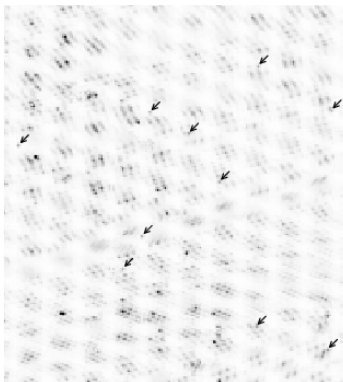
Anomalousness



744 false alarms

# Implanted targets (HyMap – Cooke City)

$D_4\Sigma$  Regression



Anomalousness

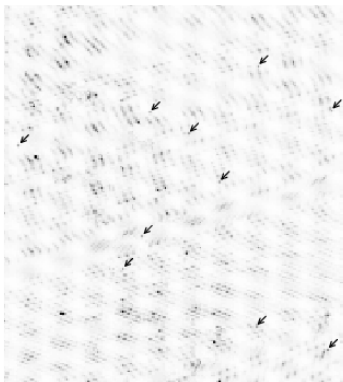


233 false alarms



# Implanted targets (HyMap – Cooke City)

$D_4\Sigma$ -PCA Regression



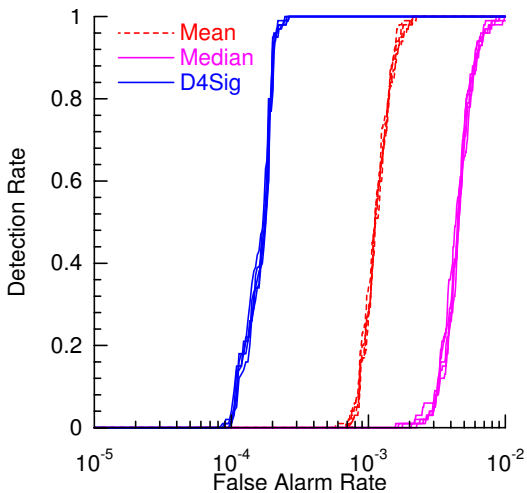
Anomalousness



167 false alarms

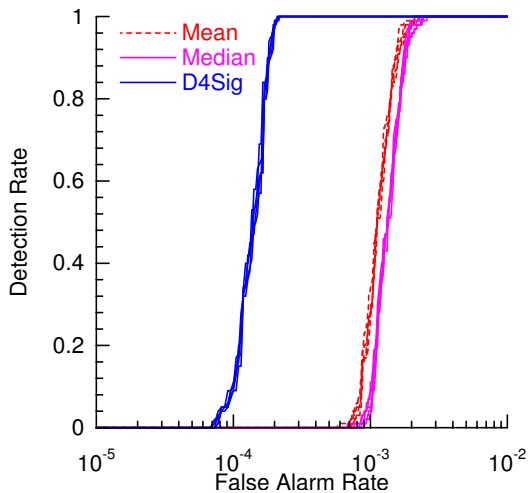
# Implanted targets (HyMap – Cooke City)

Direct band-by-band



# Implanted targets (HyMap – Cooke City)

PCA band-by-band



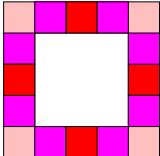
## Aside: symmetrized regression

- $\hat{y} = f(\mathbf{x})$

$$\hat{\square} = f(\square)$$

## Aside: symmetrized regression

- $\hat{y} = f(\mathbf{x})$

$$\hat{\square} = f(\text{img})$$


- Introduce symmetry-preserving features:

$$\Phi_p(x_1, x_2, \dots, x_k)$$

## Aside: symmetrized regression

- $\hat{y} = f(\mathbf{x})$

$$\hat{\square} = f(\text{5x5 grid}) = f(\text{vertical column})$$

- Introduce symmetry-preserving features:

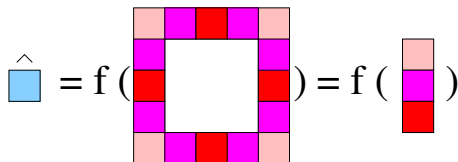
$$\Phi_p(x_1, x_2, \dots, x_k)$$

- Make regression a function of features:

$$\hat{y} = f(\Phi_1, \dots, \Phi_p)$$

## Aside: symmetrized regression

■  $\hat{y} = f(\mathbf{x})$



- Introduce symmetry-preserving features:

$$\Phi_p(x_1, x_2, \dots, x_k)$$

- Make regression a function of features:

$$\hat{y} = f(\Phi_1, \dots, \Phi_p)$$

- Features preserve symmetry, so choice of function is arbitrary:
- (weighted)  $k$ -nearest neighbors
  - Linear regression
  - Support vector regression, deep neural network, etc, etc.

## Dihedral group ( $D_4$ ) symmetry

$$D_4 = \{1, r, r^2, r^3, s, sr, sr^2, sr^3\}$$

	a		b	
h				c
g				d
	f		e	

- Group has two generators:  $s, r$
- $s$ : reflection  
 $sa = b, sb = a, sc = h, sd = g$ , etc.
- $r$ : rotation  
 $ra = c, rb = d, rc = e, rd = f$ , etc.
- Composition rules:  $s^2 = 1, r^4 = 1, rs = sr^3$

e.g.,  $r^2 \times sr^2 = r(rs)r^2 = r(sr^3)r^2 = (rs)r^5 = (rs)r = (sr^3)r = sr^4 = s$ .



# Sigma ( $D_4\Sigma$ ) features

	a		b	
h				c
g				d
	f		e	

$$\Phi_{\Sigma\Sigma\Sigma} = a + b + e + f + c + d + g + h$$

- Features invariant to group operations:  $g\Phi = \Phi$  for  $g \in D_4$

## Sigma-Delta ( $D_4\Sigma\Delta$ ) features

	a		b	
h				c
g				d
	f		e	

$$\Phi_{\Sigma\Sigma\Sigma} = a + b + e + f + c + d + g + h$$

$$\Phi_{\Sigma\Sigma\Delta} = \left| (a + b + e + f) - (c + d + g + h) \right|$$

$$\Phi_{\Sigma\Delta\Sigma} = \left| (a + b) - (e + f) \right| + \left| (c + d) - (g + h) \right|$$

$$\Phi_{\Sigma\Delta\Delta} = \left| \left| (a + b) - (e + f) \right| - \left| (c + d) - (g + h) \right| \right|$$

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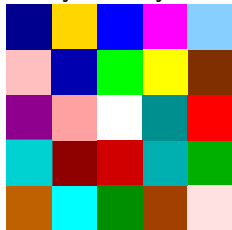
$$\Phi_{\Delta\Delta\Delta} = \left| \left| |a - b| - |e - f| \right| - \left| |c - d| - |g - h| \right| \right|$$

- Features invariant to group operations:  $g\Phi = \Phi$  for  $g \in D_4$

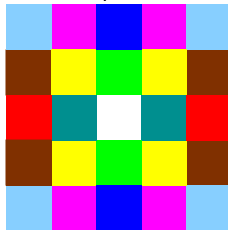
$$\begin{aligned} \text{e.g., } r\Phi_{\Sigma\Sigma\Delta} &= \left| (ra + rb + re + rf) - (rc + rd + rg + rh) \right| \\ &= \left| (c + d + g + h) - (a + b + e + f) \right| = \Phi_{\Sigma\Sigma\Delta} \end{aligned}$$

# Various symmetries

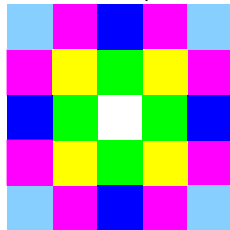
No symmetry



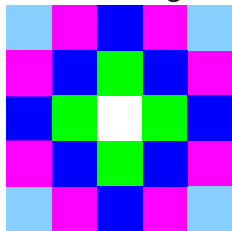
Klein  $K_4$



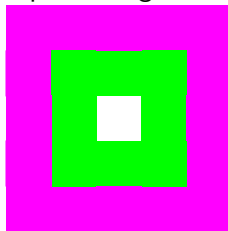
Dihedral  $D_4$



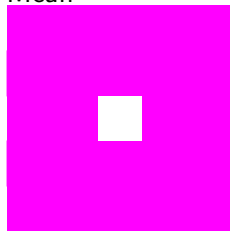
Diamond Rings



Square Rings

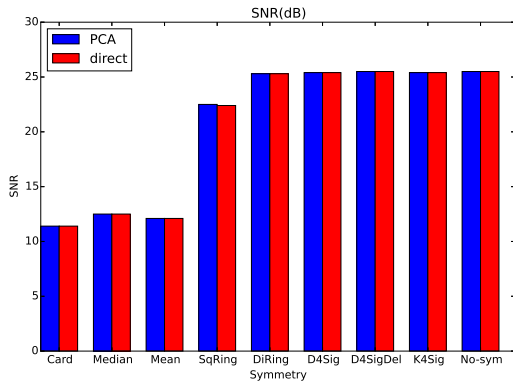


Mean



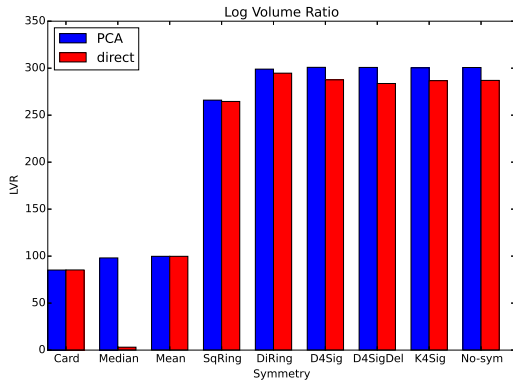
# Using various symmetries

- Symmetry provides comparable performance with fewer features



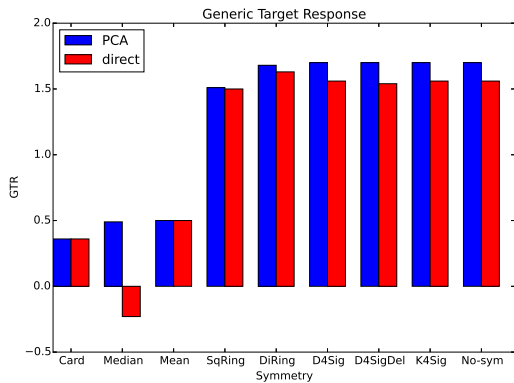
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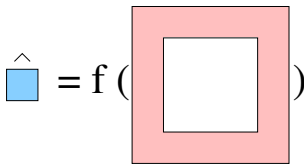


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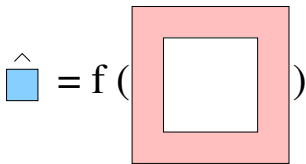
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- Although motivated by modern image processing, we saw improvement just using ordinary regression
  - kNN, simple linear fit: both are better than local mean
  - and better still for larger annulus size
- What we did was simple – you can do better!

# You can do better!

ie, Future Work

- Apply “real” machine learning tools
- Look at band-by-band variants
  - Consider transformations besides PCA
- Segment image; learn separate  $f(\mathbf{x})$  for each segment
  - Use  $k$ -means-like approach to learn  $f(\mathbf{x})$  and segments together
  - Use a separate covariance matrix  $R$  for each segment
  - Identify appropriate regularization of  $R$
- Extend to known (and variable) target detection scenarios
- Optimize direct measures of performance (vs least squares)
  - Remember: closer isn't always better