## Cell-Free DNA: Biology and Applications

November 2019





#### **Today's Presenters**



Dennis Lo, MD



Diana Bianchi, MD



Bruce Korf, MD, PhD *Moderator* 







香港中文大學 The Chinese University of Hong Kong



香港中文大學醫學院 **Faculty of Medicine** The Chinese University of Hong Kong

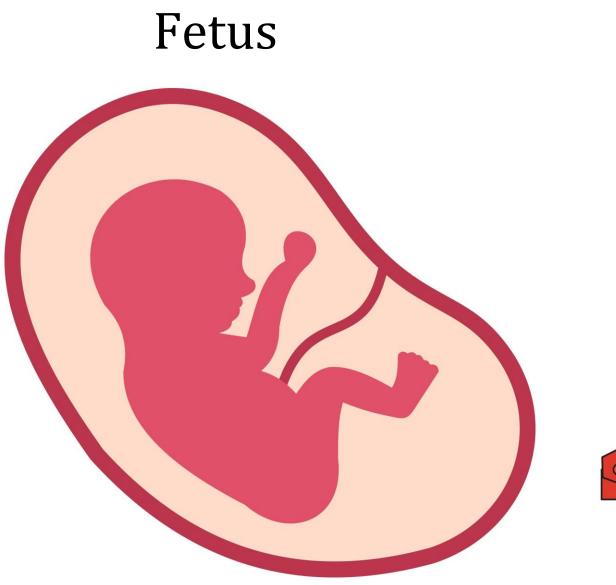
# Plasma DNA fragmentomics, topologics and beyond

Dennis Lo Li Ka Shing Institute of Health Sciences The Chinese University of Hong Kong

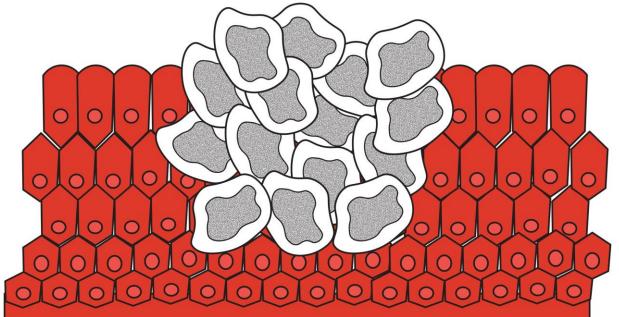
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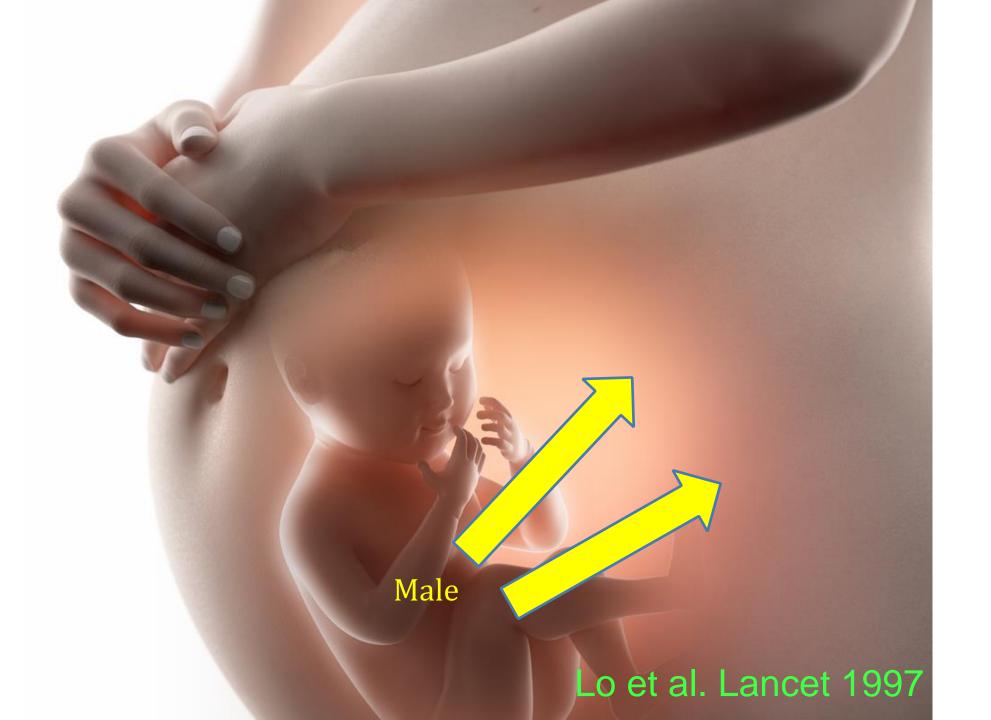
## Plasma-DNA based molecular diagnostics





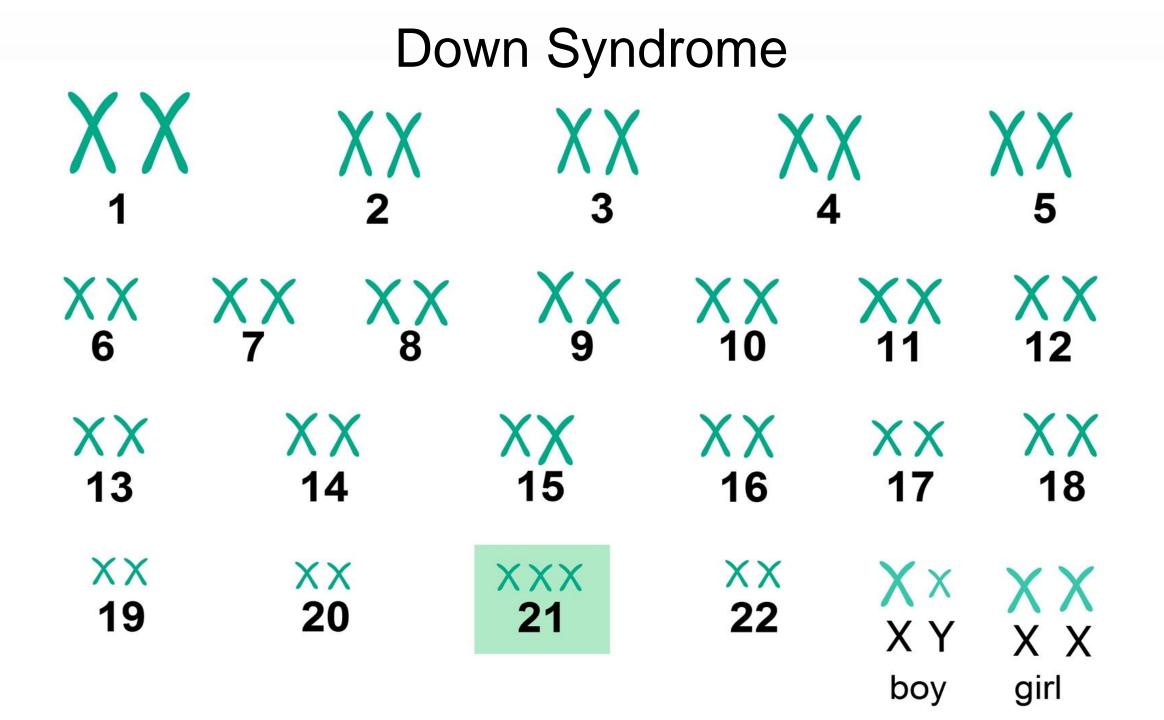


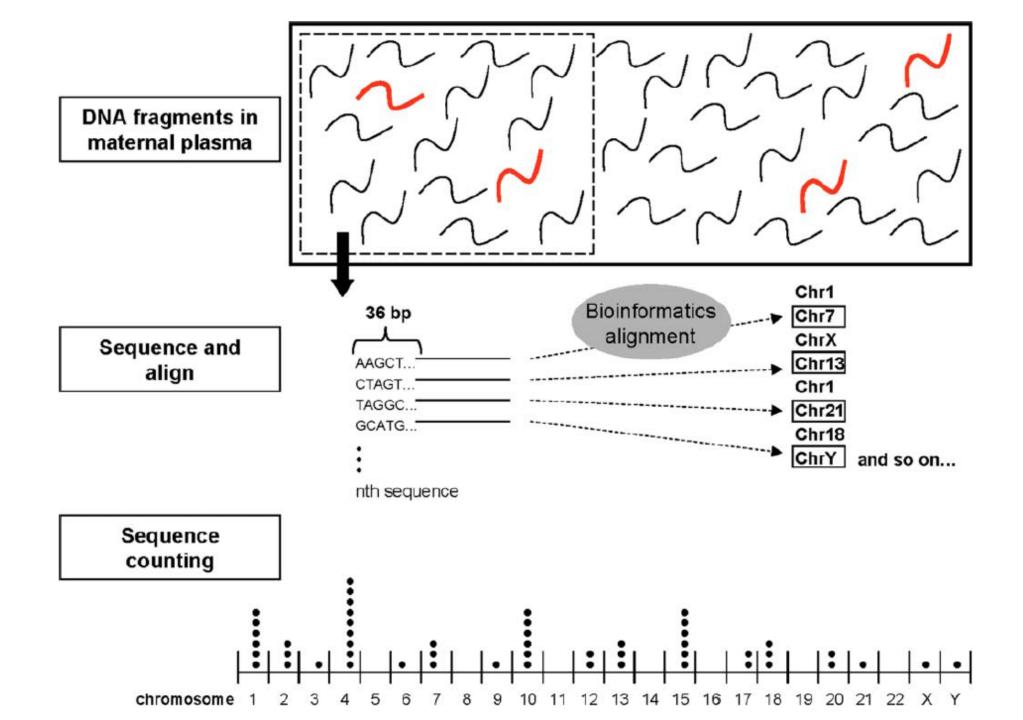


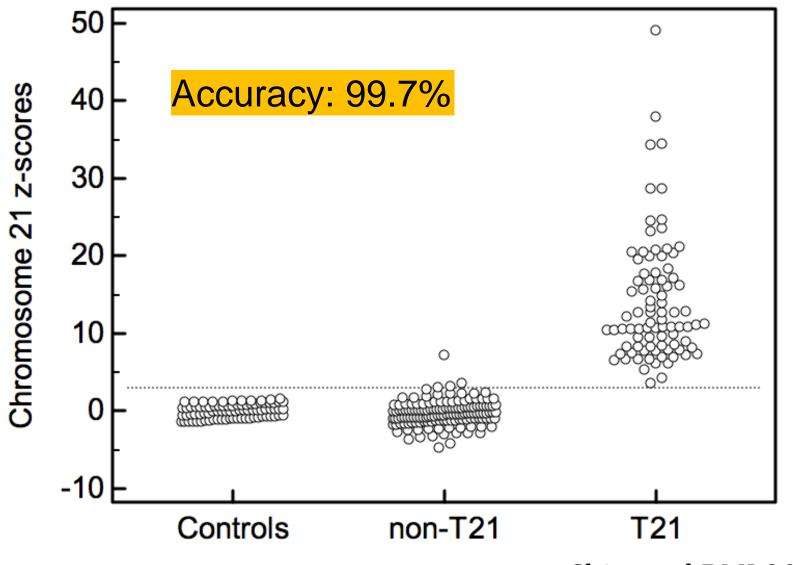












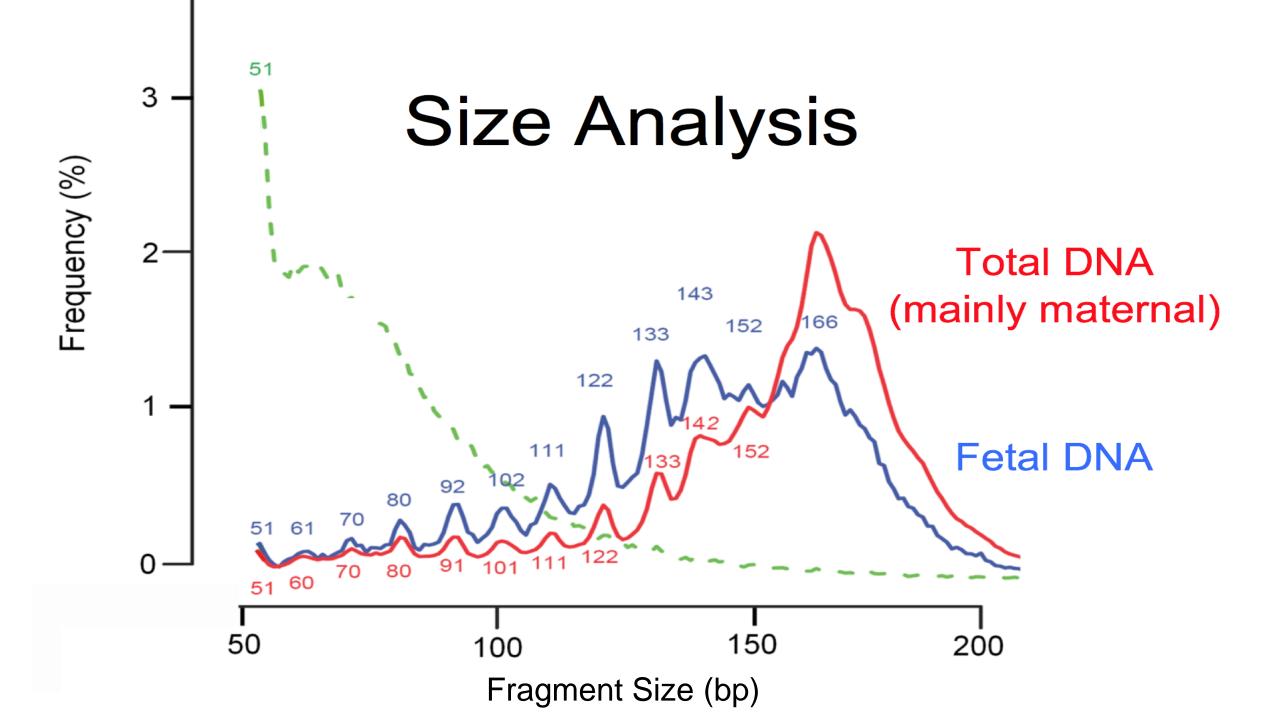
Chiu et al BMJ 2011

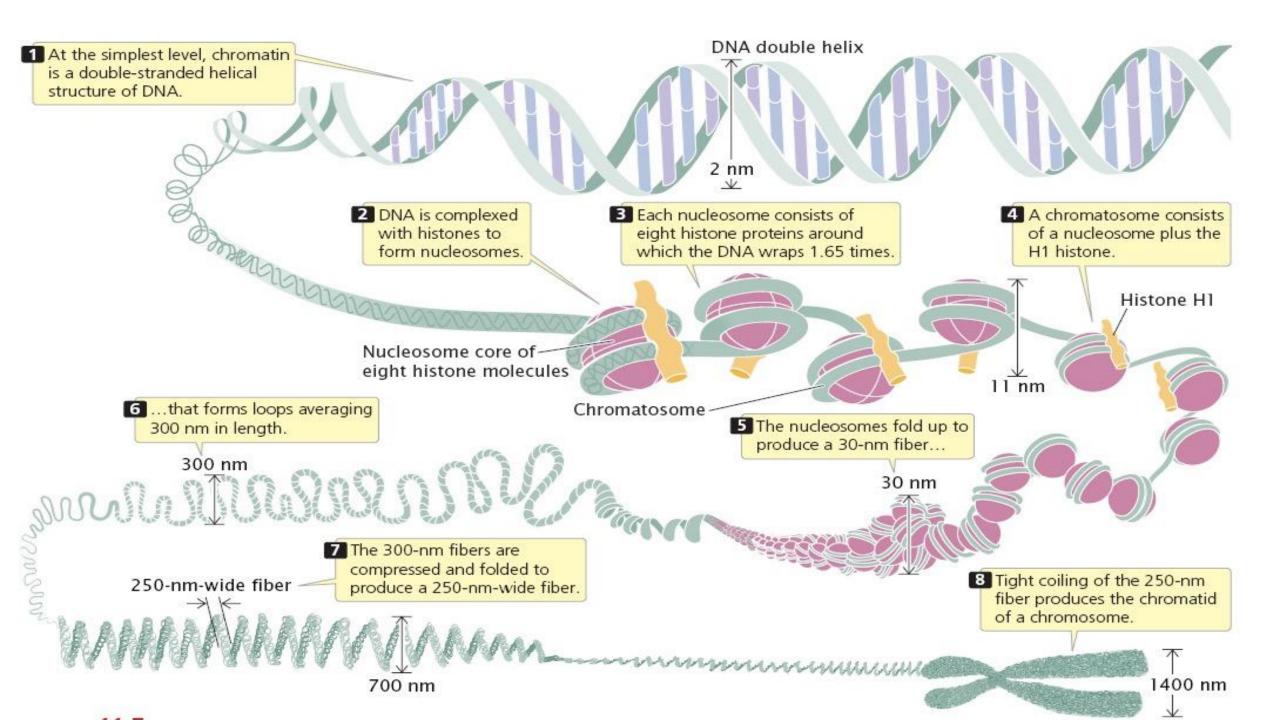


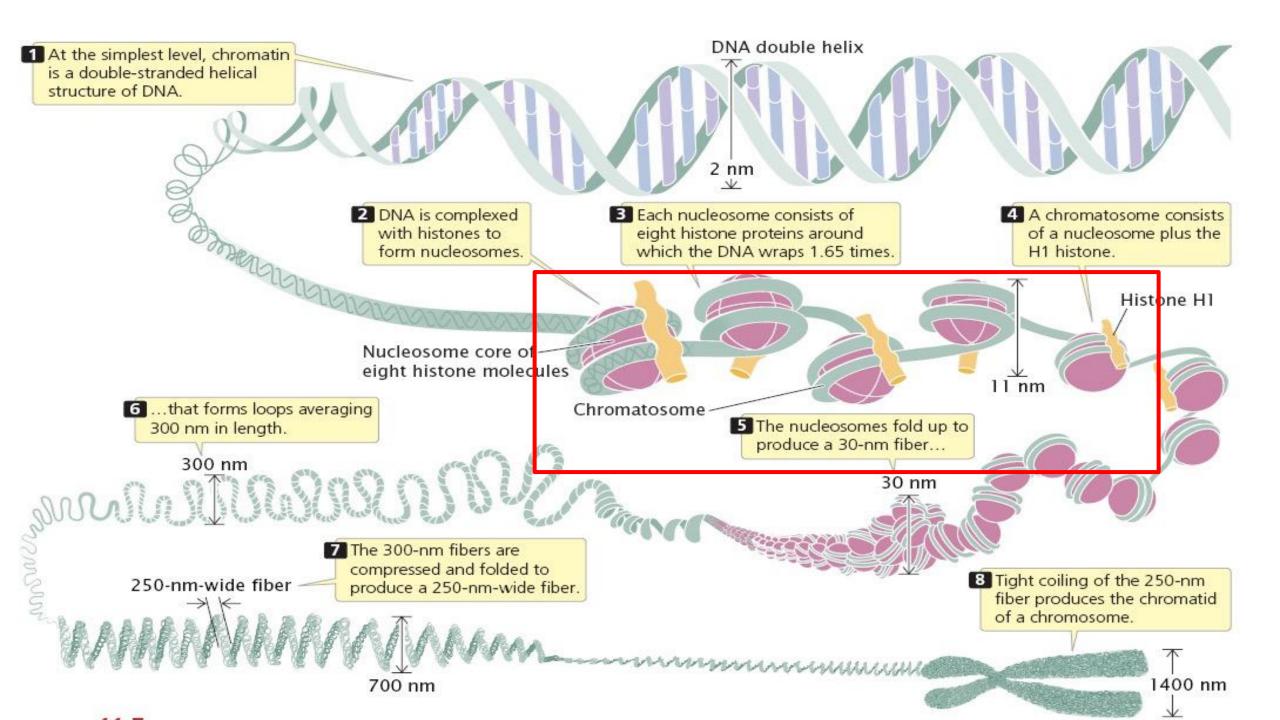
## Millions of cases performed in 90 countries

### Size of Plasma DNA Molecules

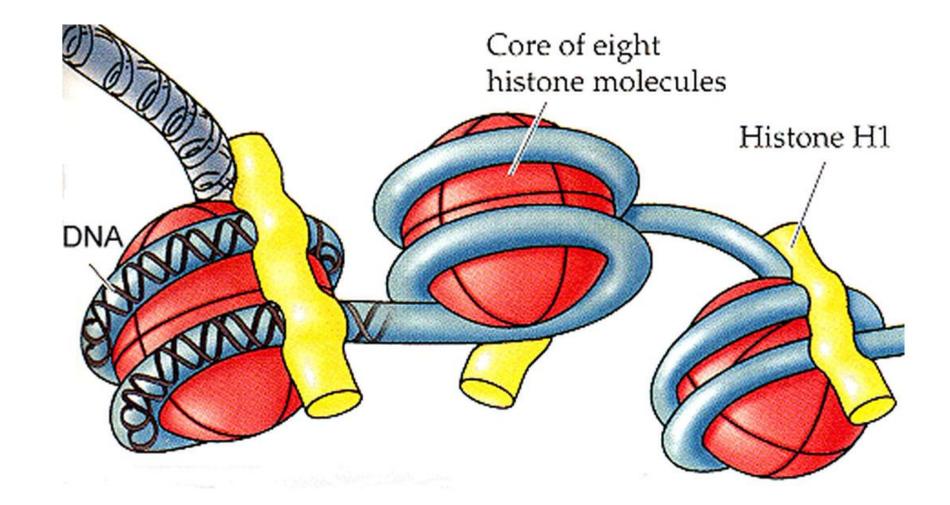


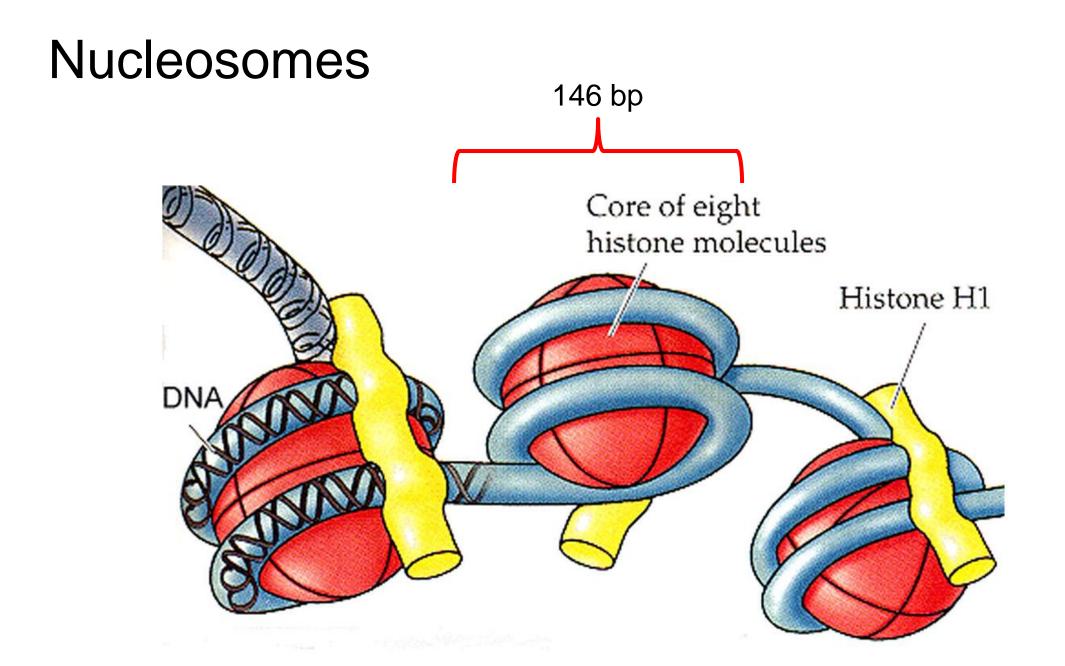


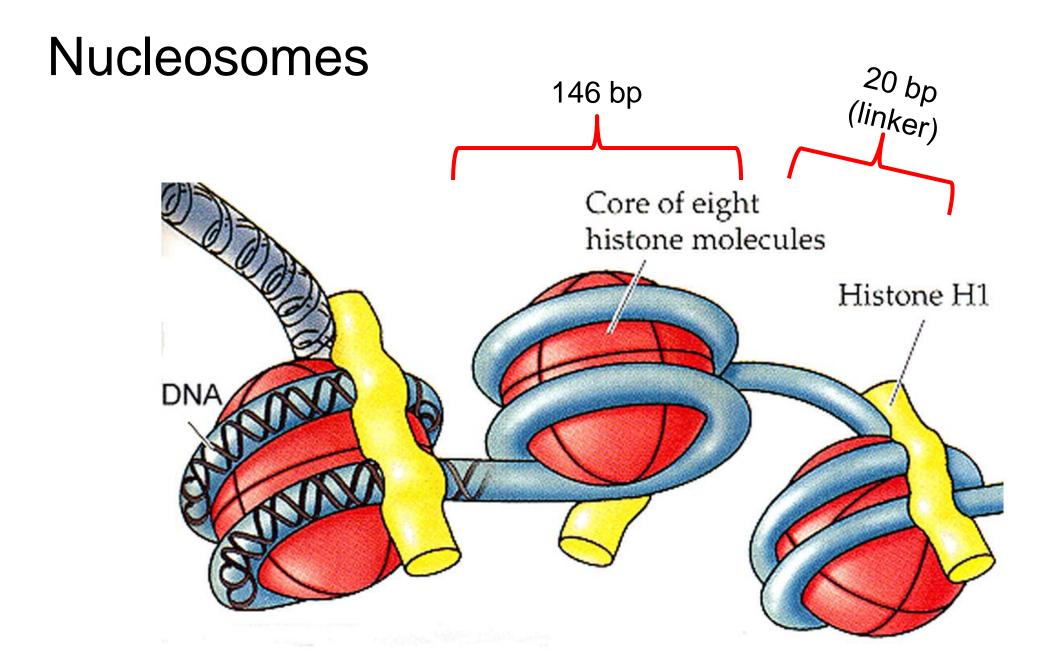


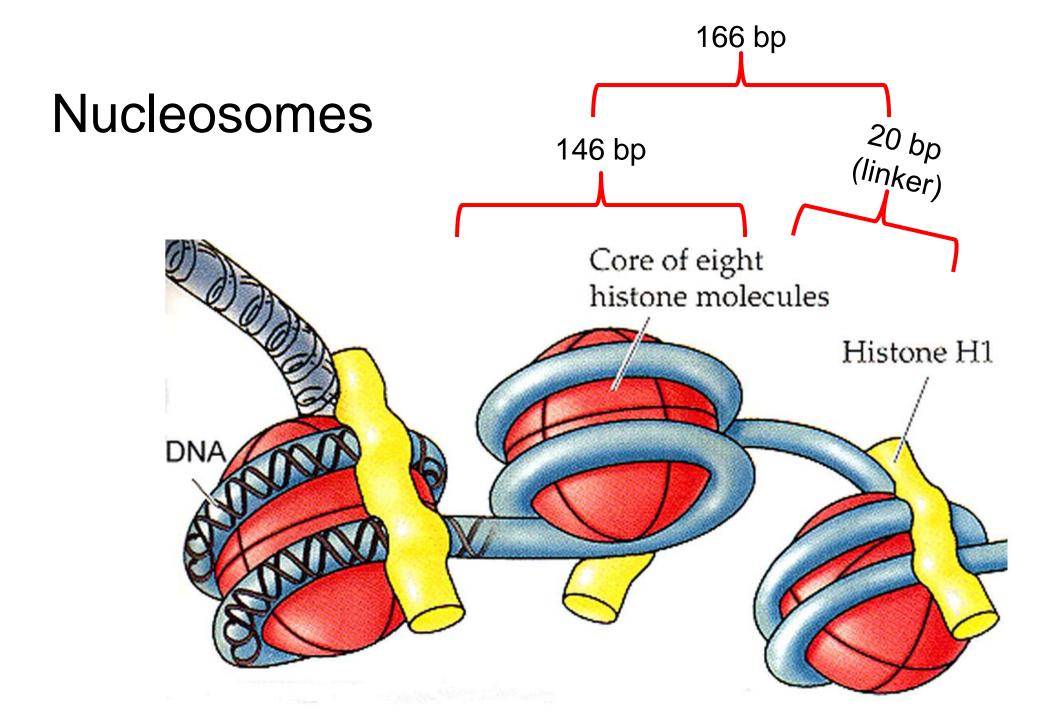


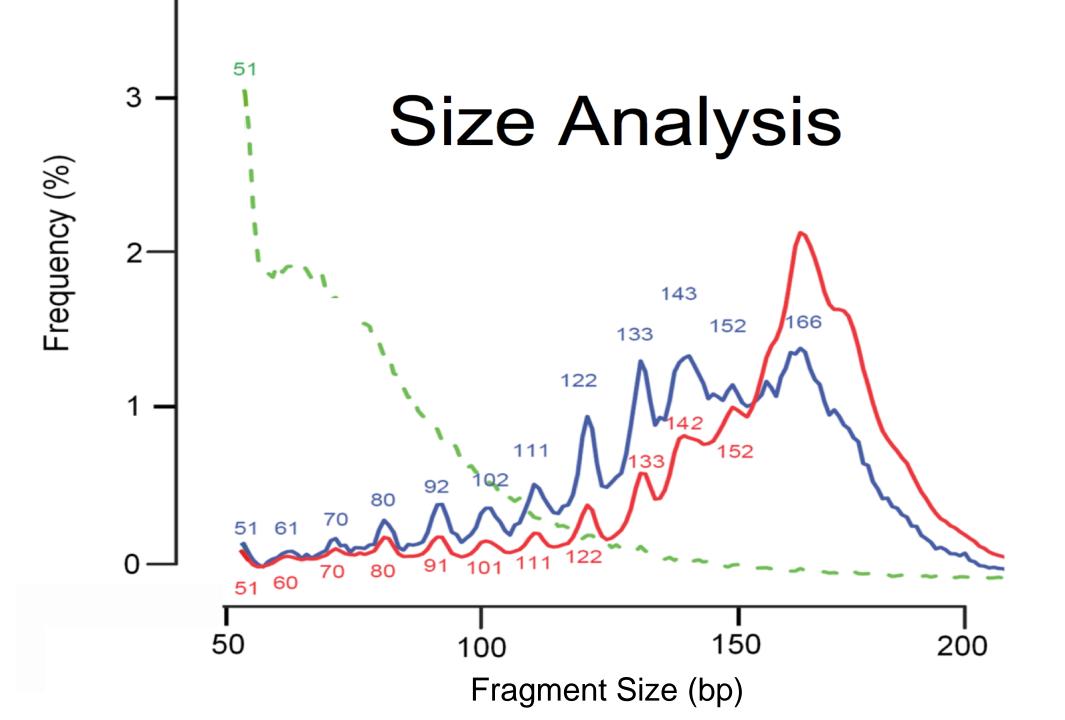
#### Nucleosomes

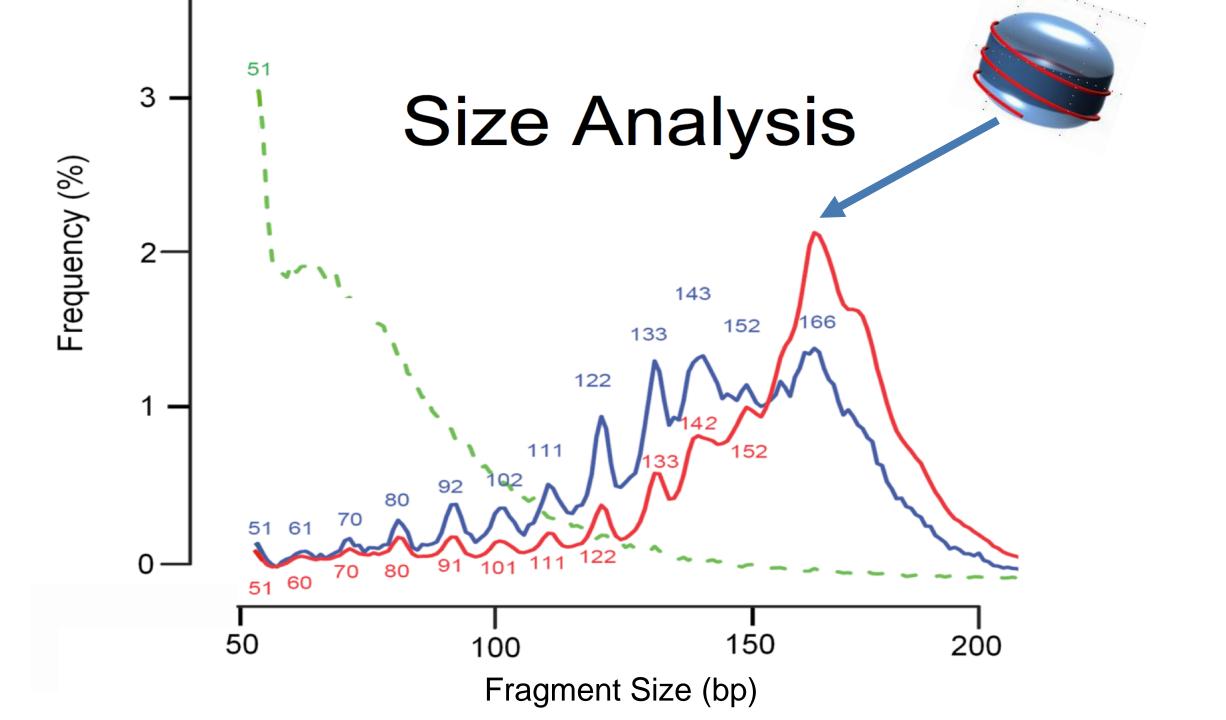


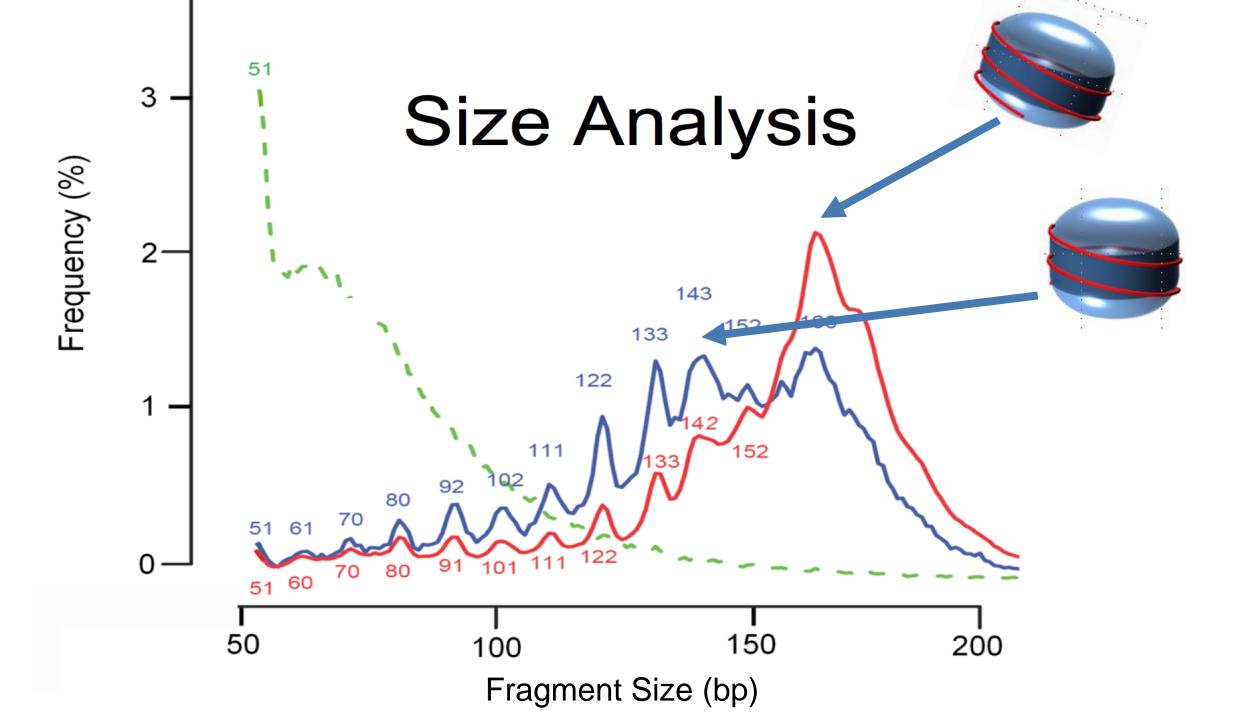


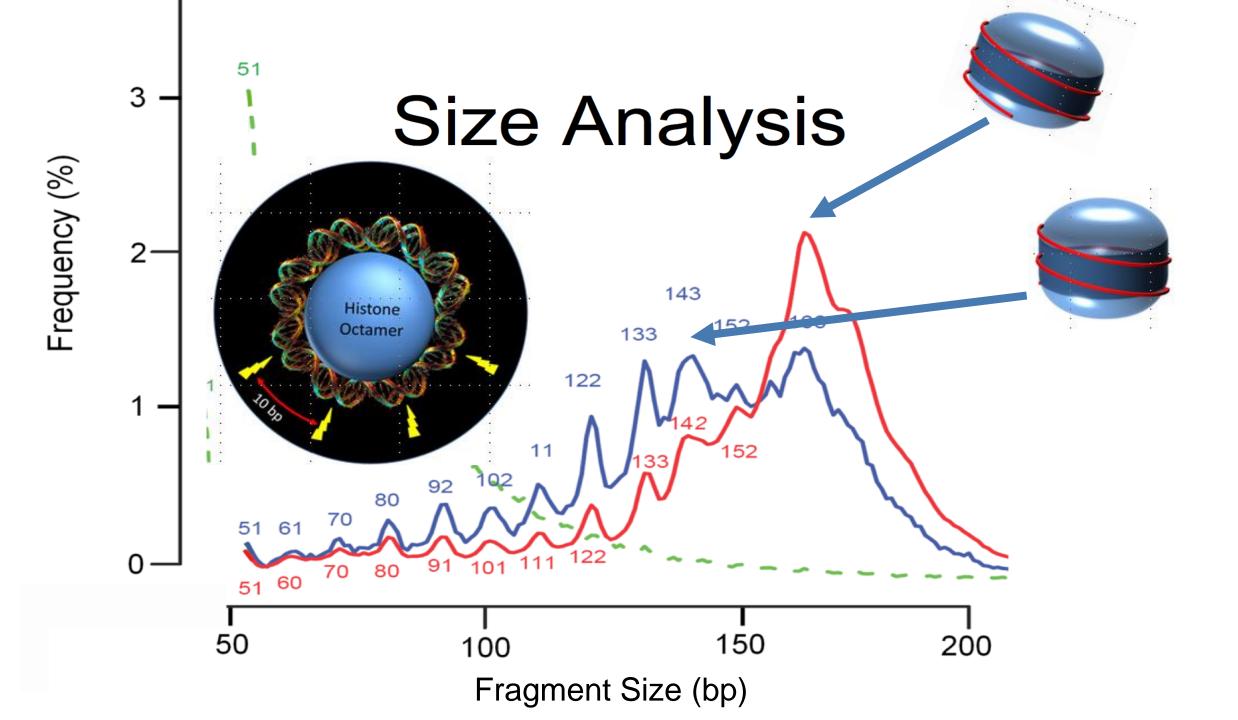


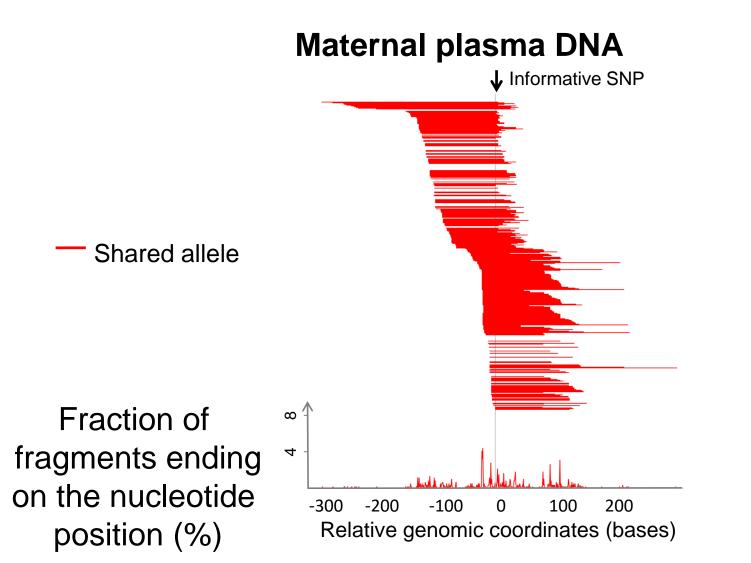


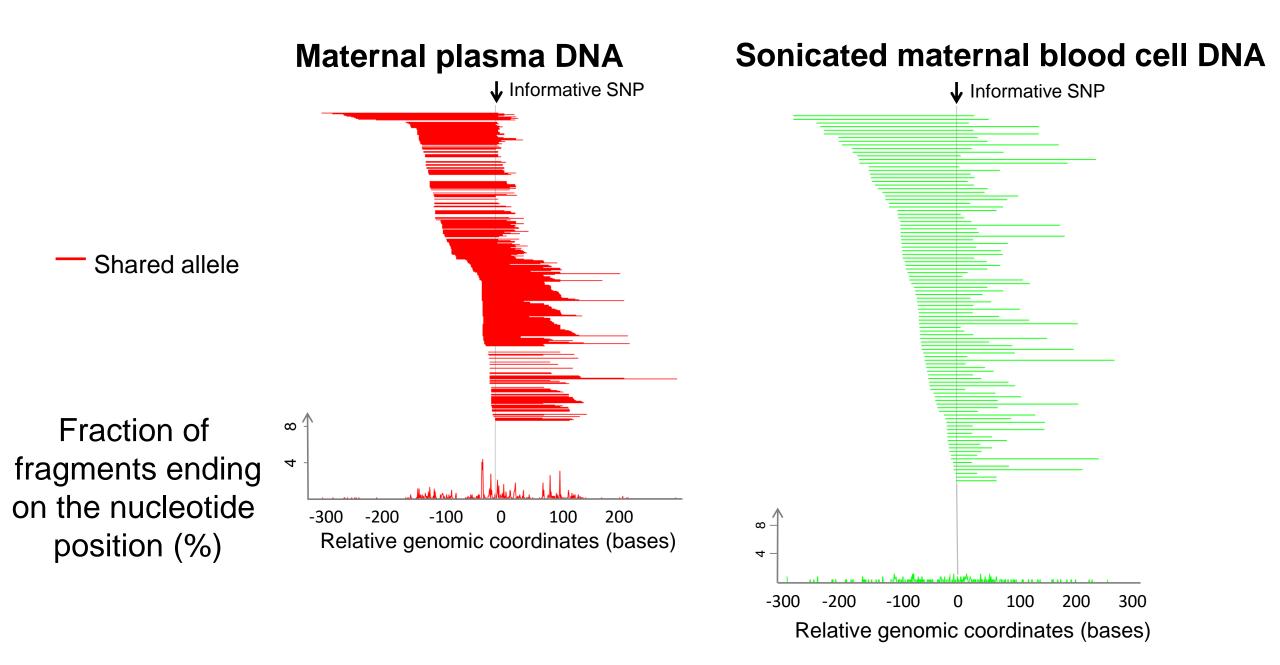


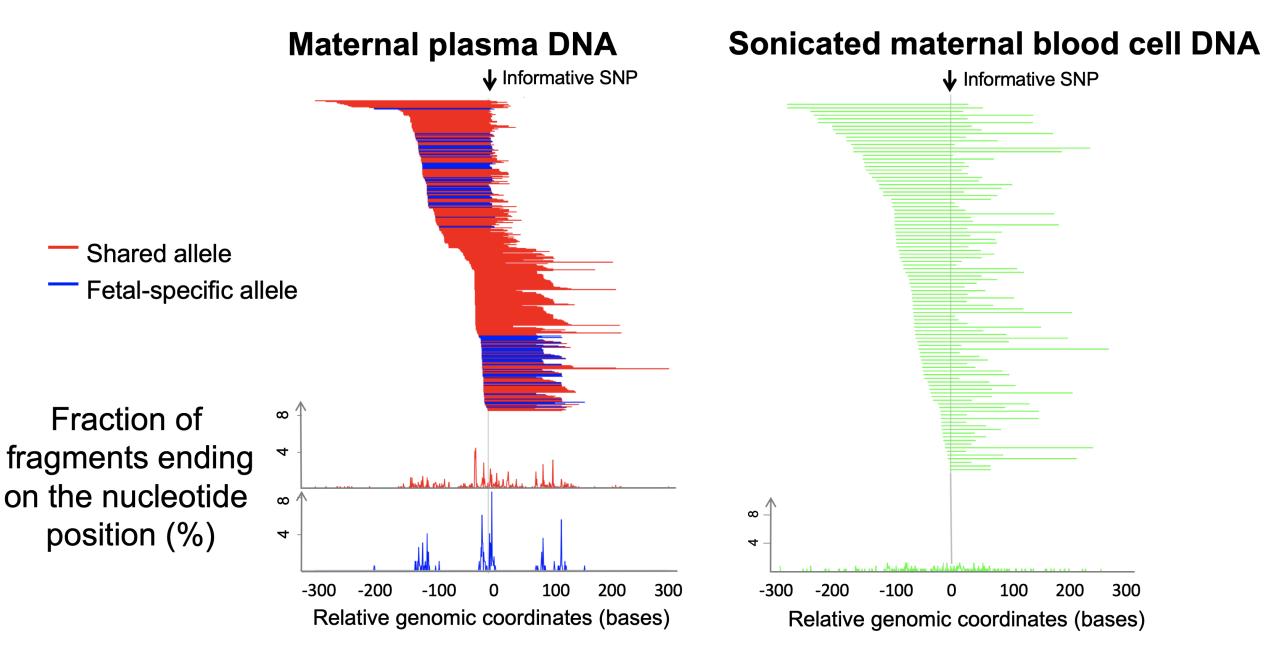


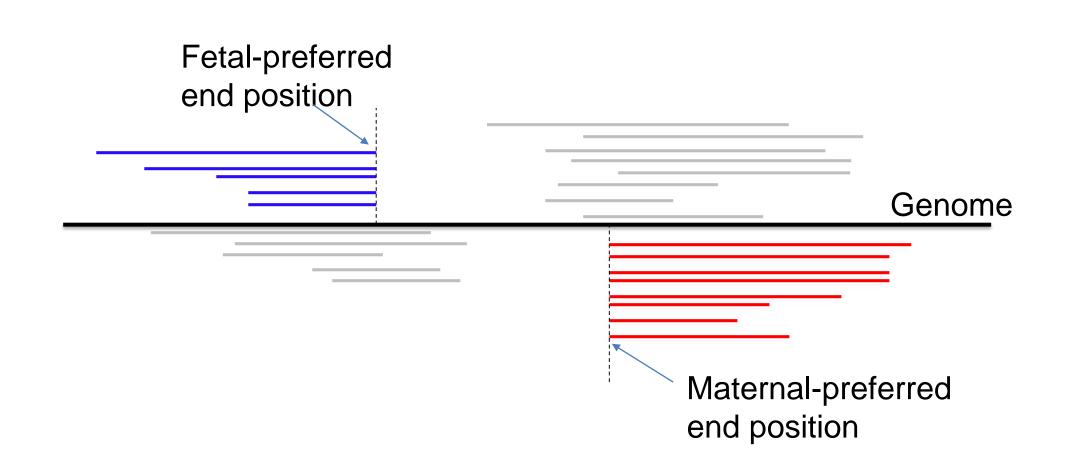




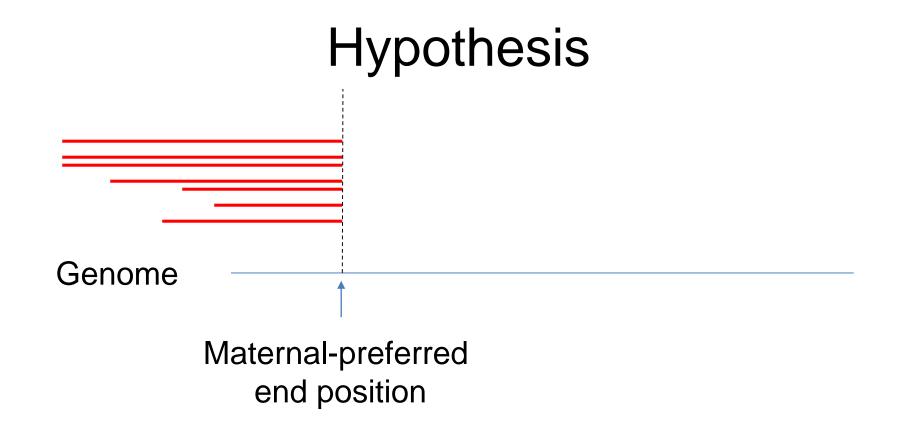


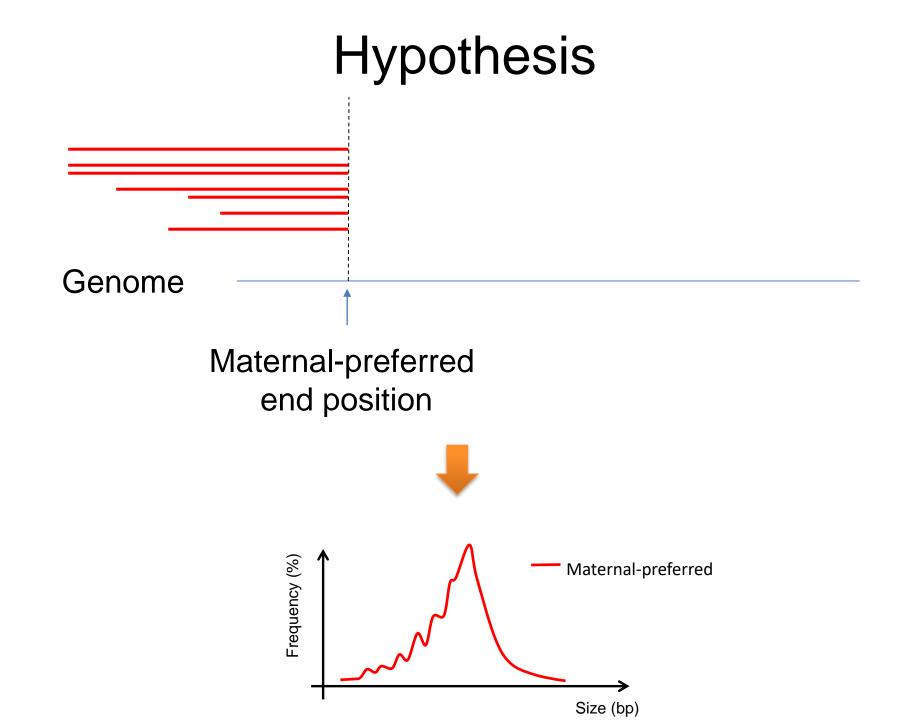


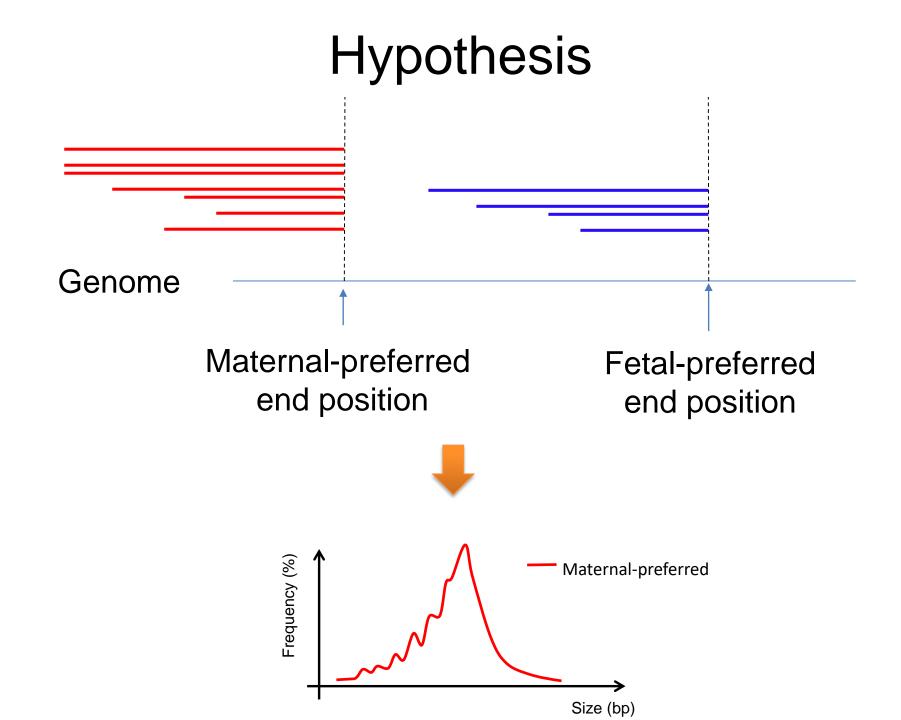


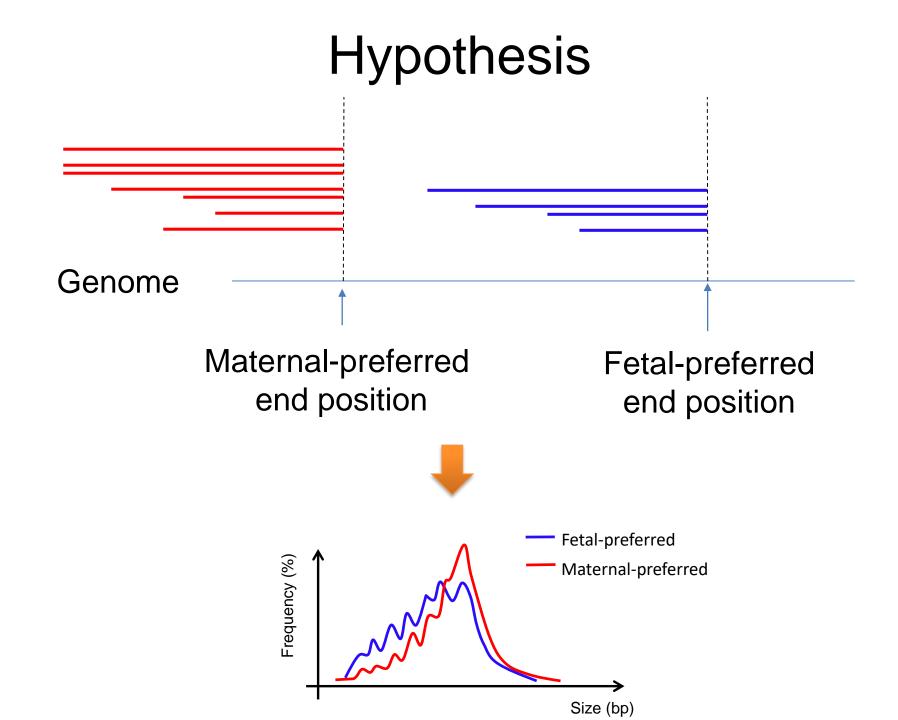


#### Hypothesis

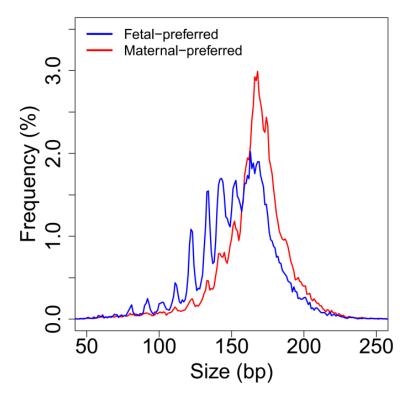




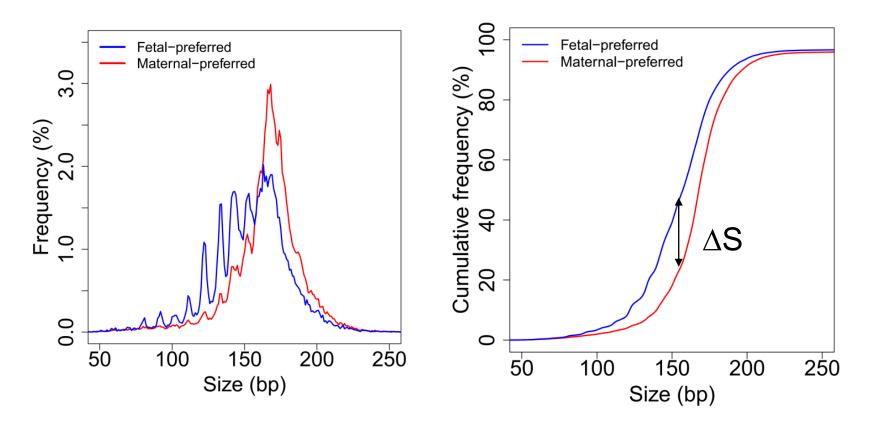




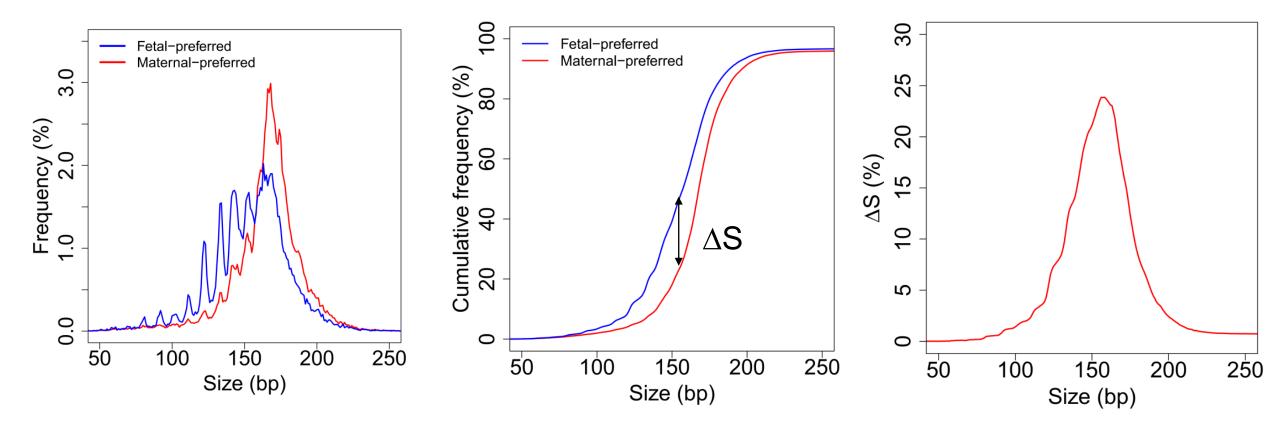
## Size distribution of fragments with maternal- and fetal-preferred ends

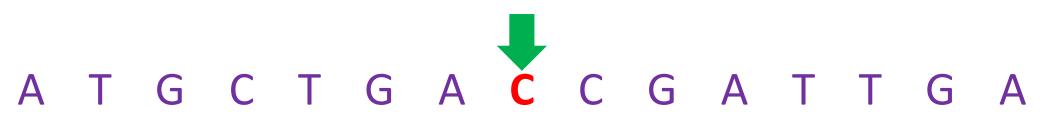


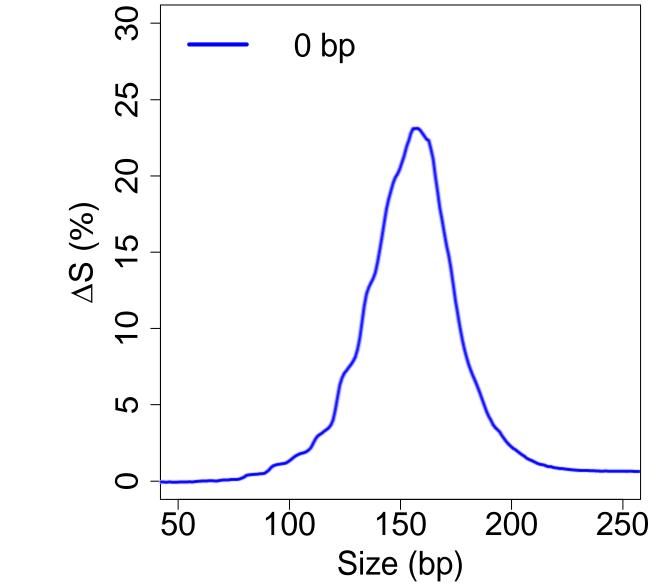
# Size distribution of fragments with maternal- and fetal-preferred ends

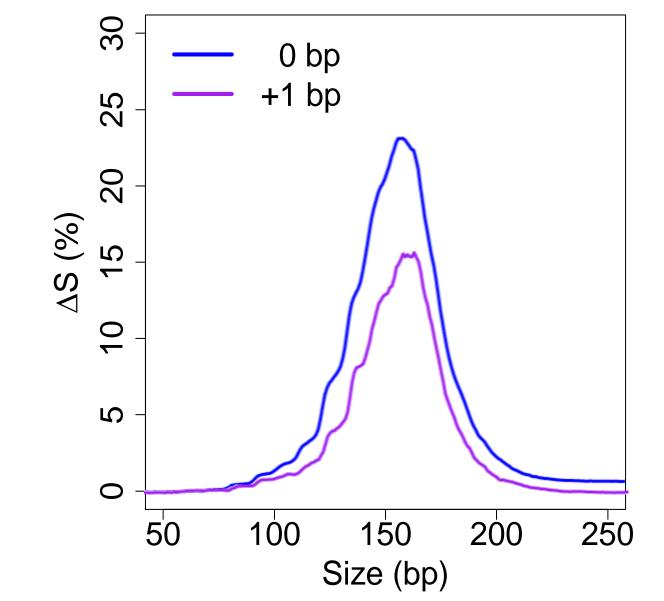


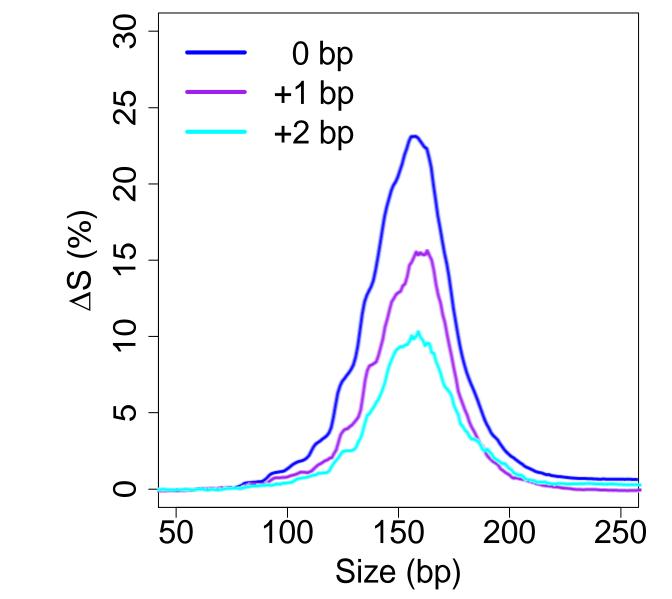
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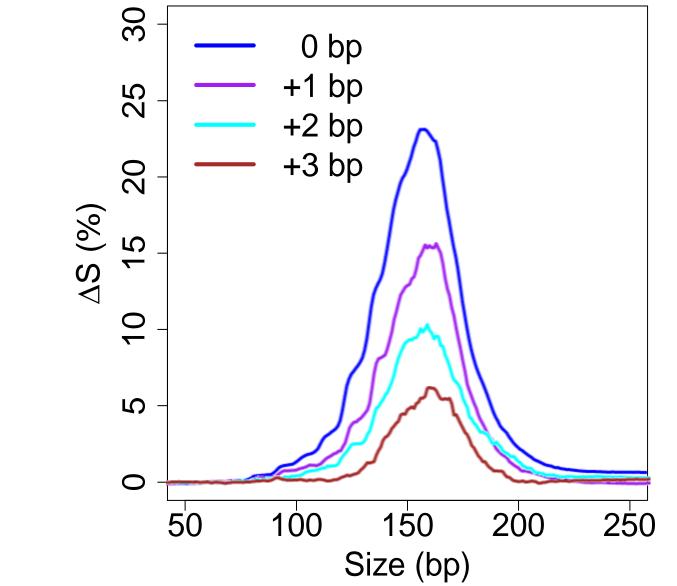


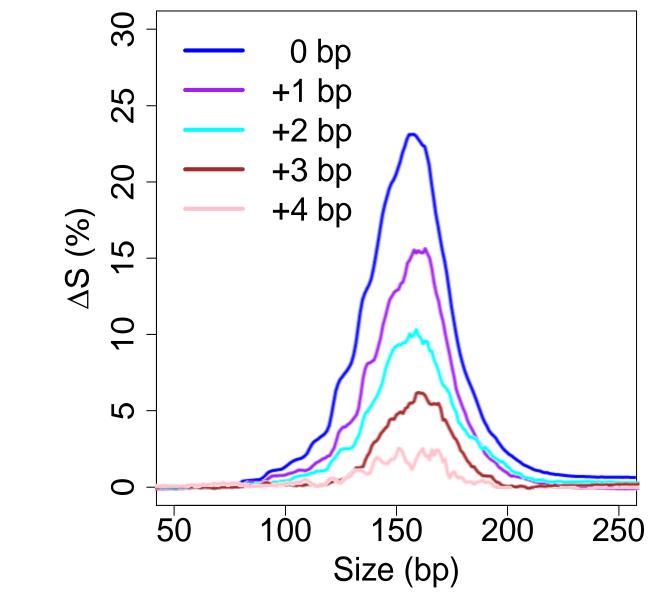


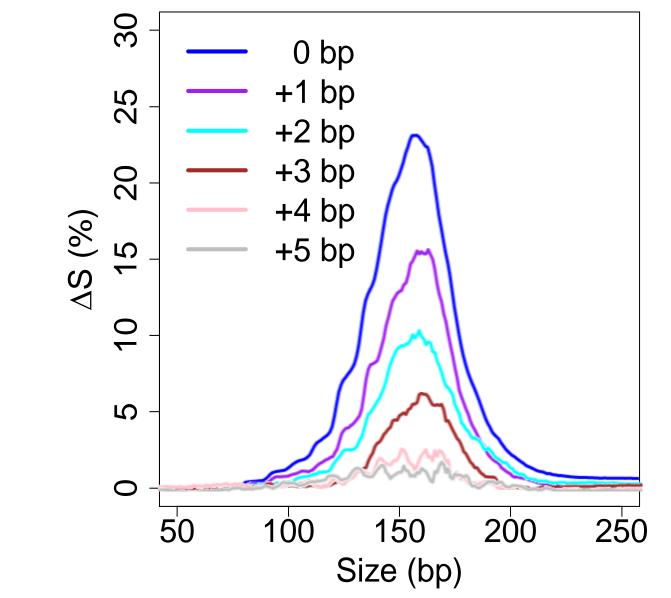






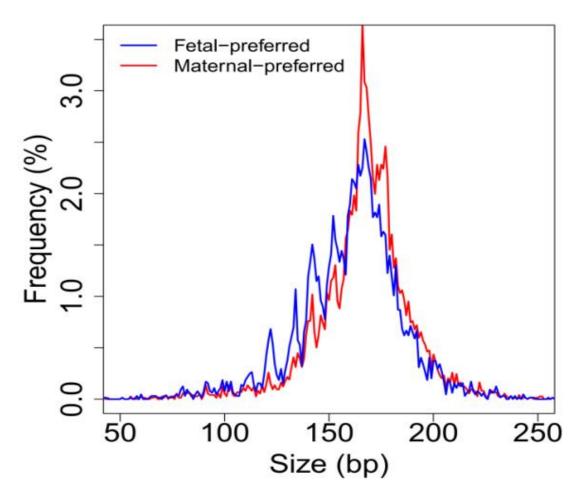




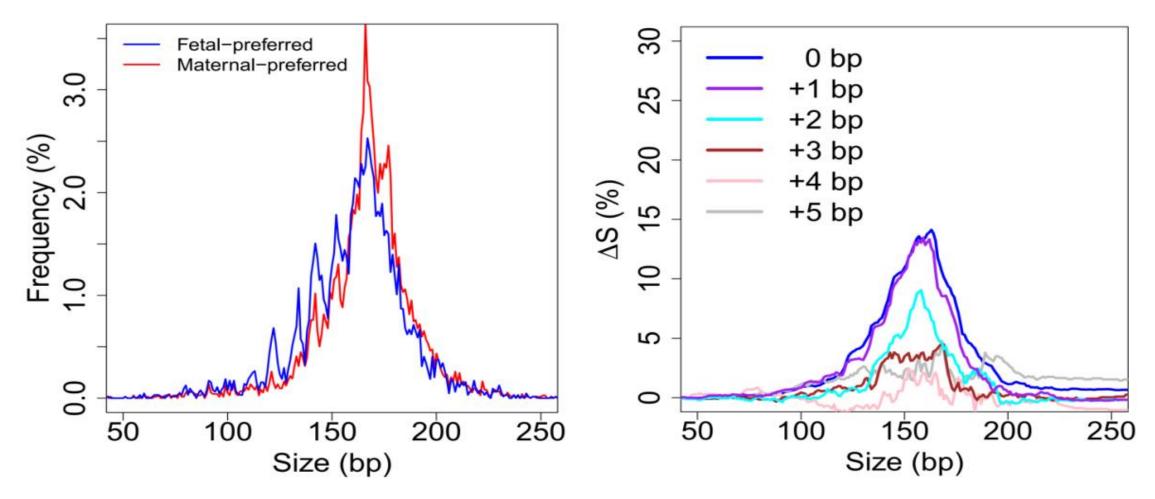




## Pooled sequence reads from 26 first trimester cases

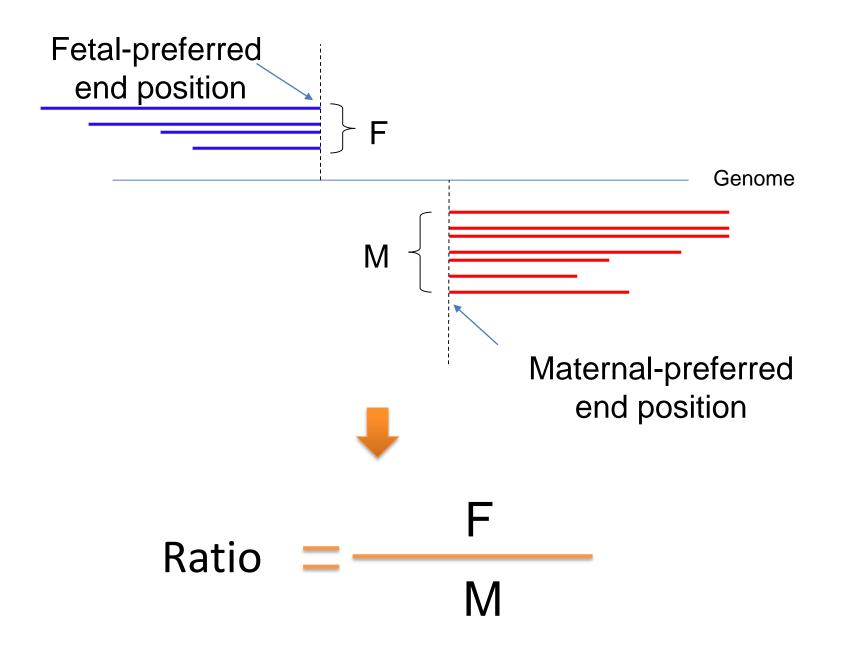


## Pooled sequence reads from 26 first trimester cases

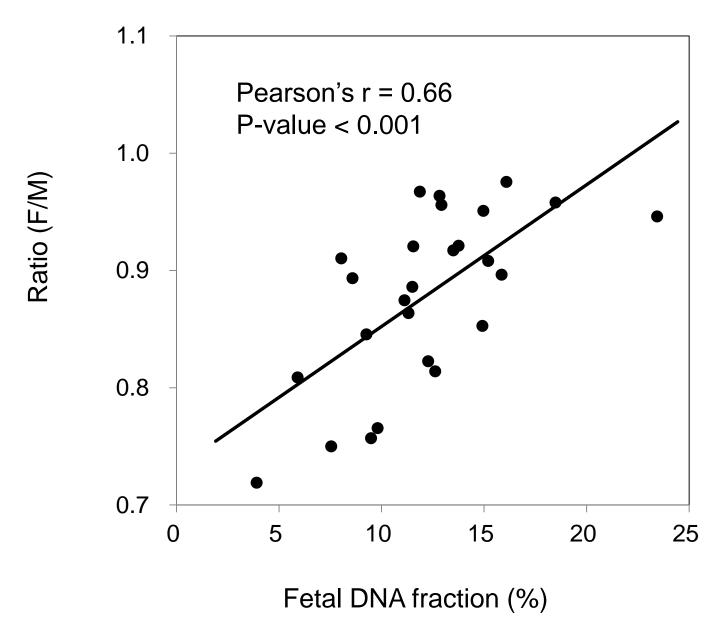








## **Correlation with fetal DNA fraction**

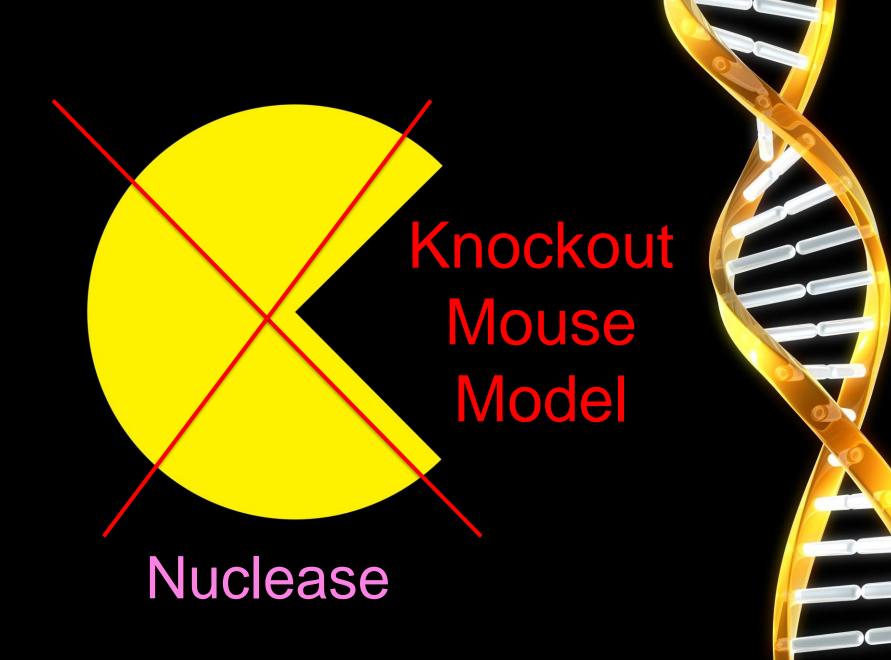


# What is the nature of the 'scissors'?





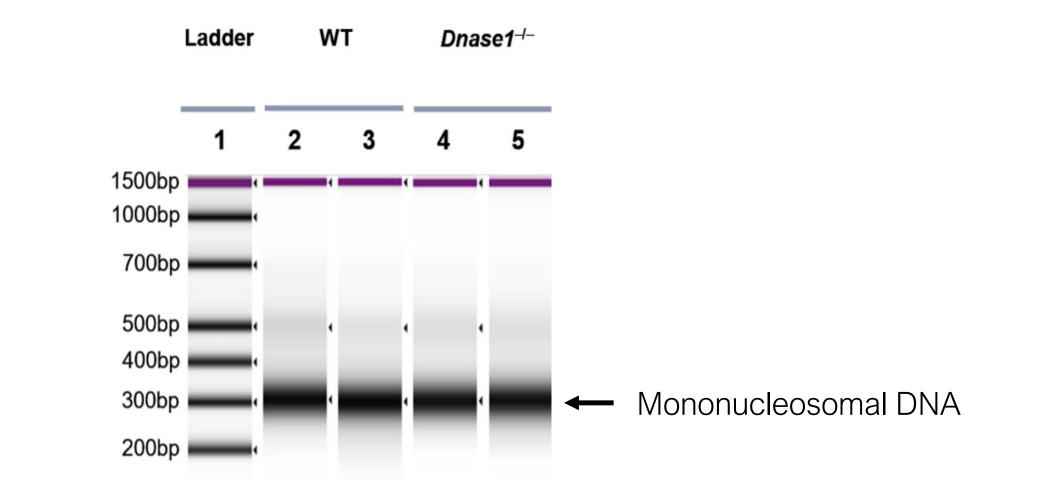




## Illumina Sequencing Libraries

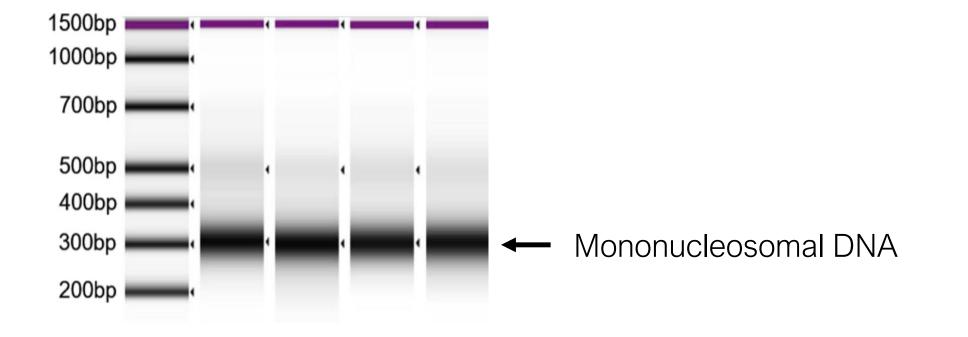
Ladder WΤ 2 3 1 1500bp 1000bp 700bp 500bp 400bp 300bp 200bp



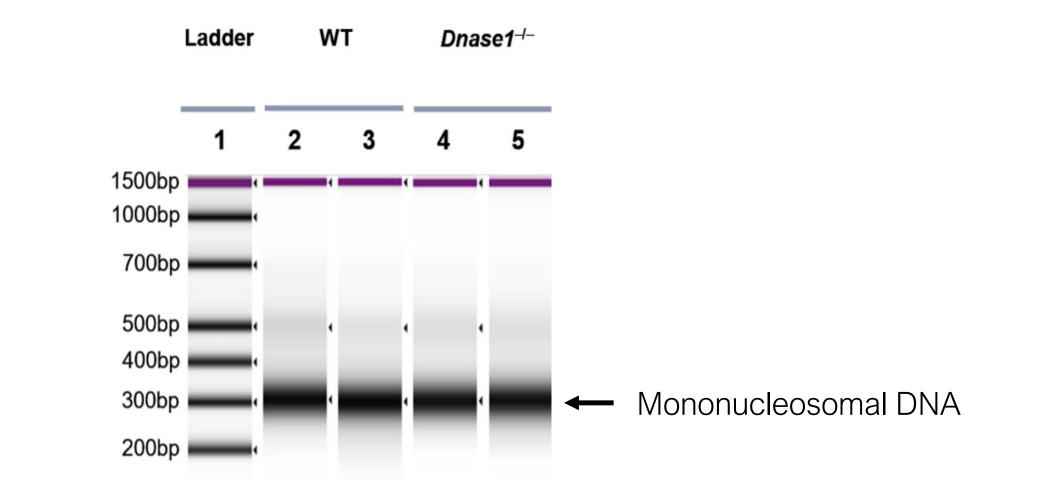


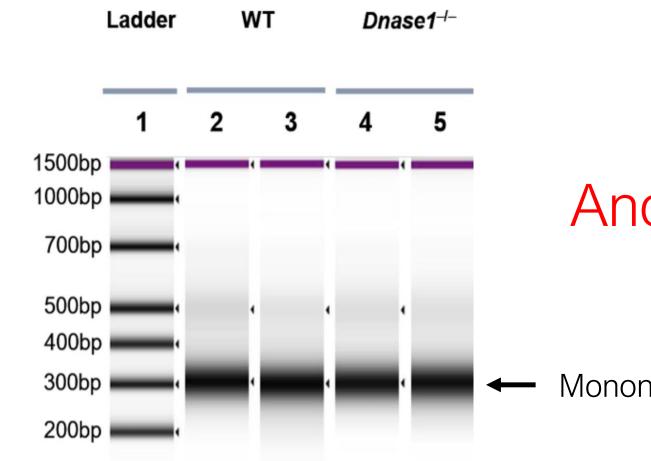
# DNase1 Does Not Appear to Play a Major Role in the Fragmentation of Plasma DNA in a Knockout Mouse Model.

<u>Cheng THT</u><sup>1,2</sup>, <u>Lui KO</u><sup>1,2</sup>, <u>Peng XL</u><sup>1,2</sup>, <u>Cheng SH</u><sup>1,2</sup>, <u>Jiang P</u><sup>1,2</sup>, <u>Chan KCA</u><sup>1,2</sup>, <u>Chiu RWK</u><sup>1,2</sup>, <u>Lo YMD</u><sup>3,2</sup>.



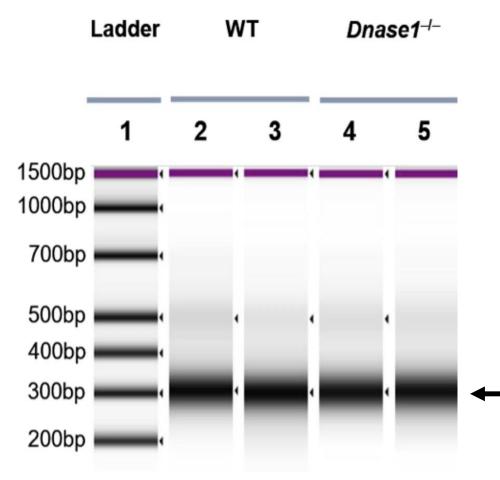
Cheng et al. Clin Chem; 2018: 406





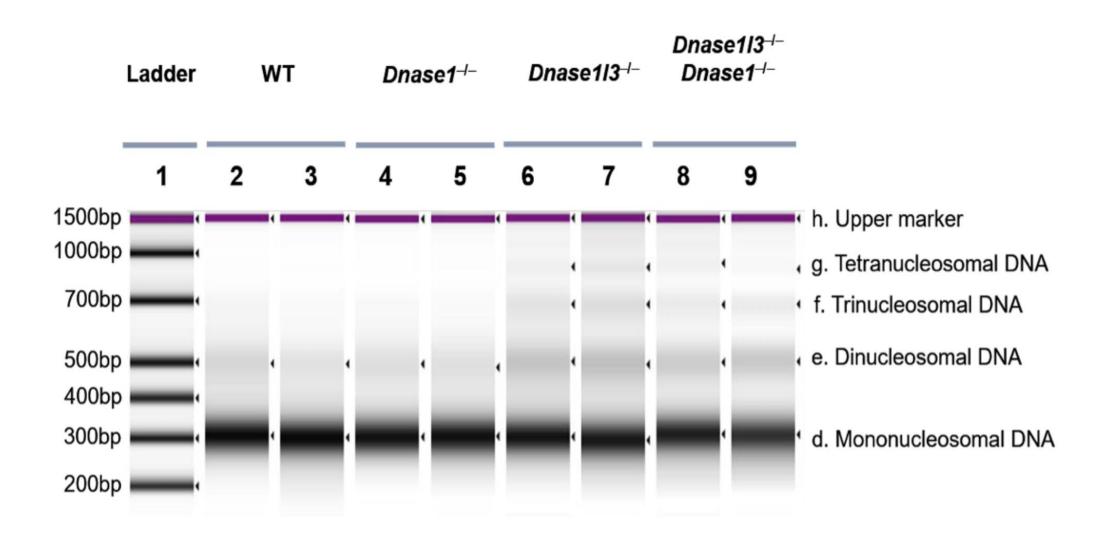
## Another Nuclease?

Mononucleosomal DNA

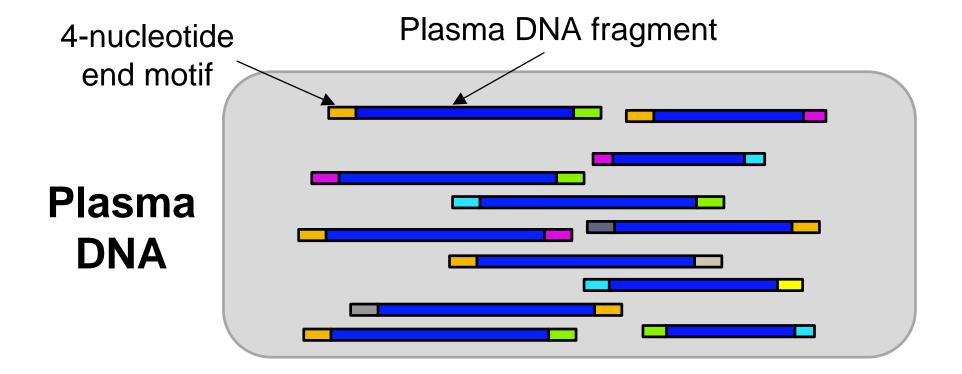


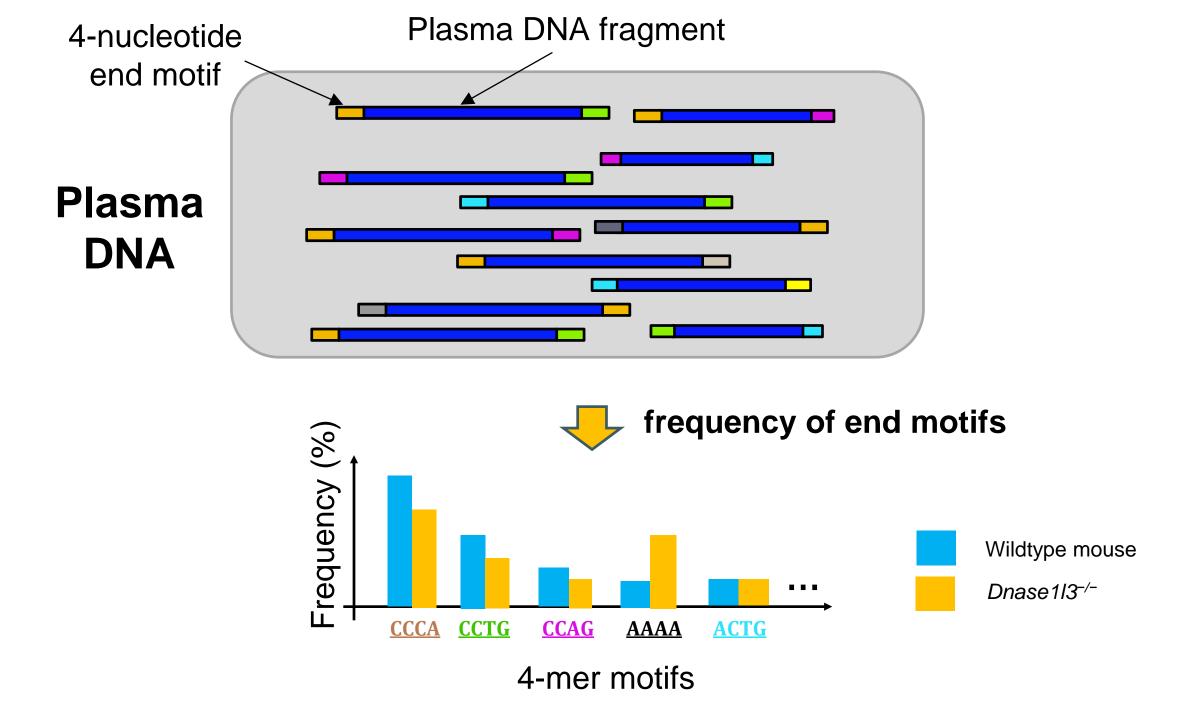
## Another Nuclease? DNASE 1-Like-3

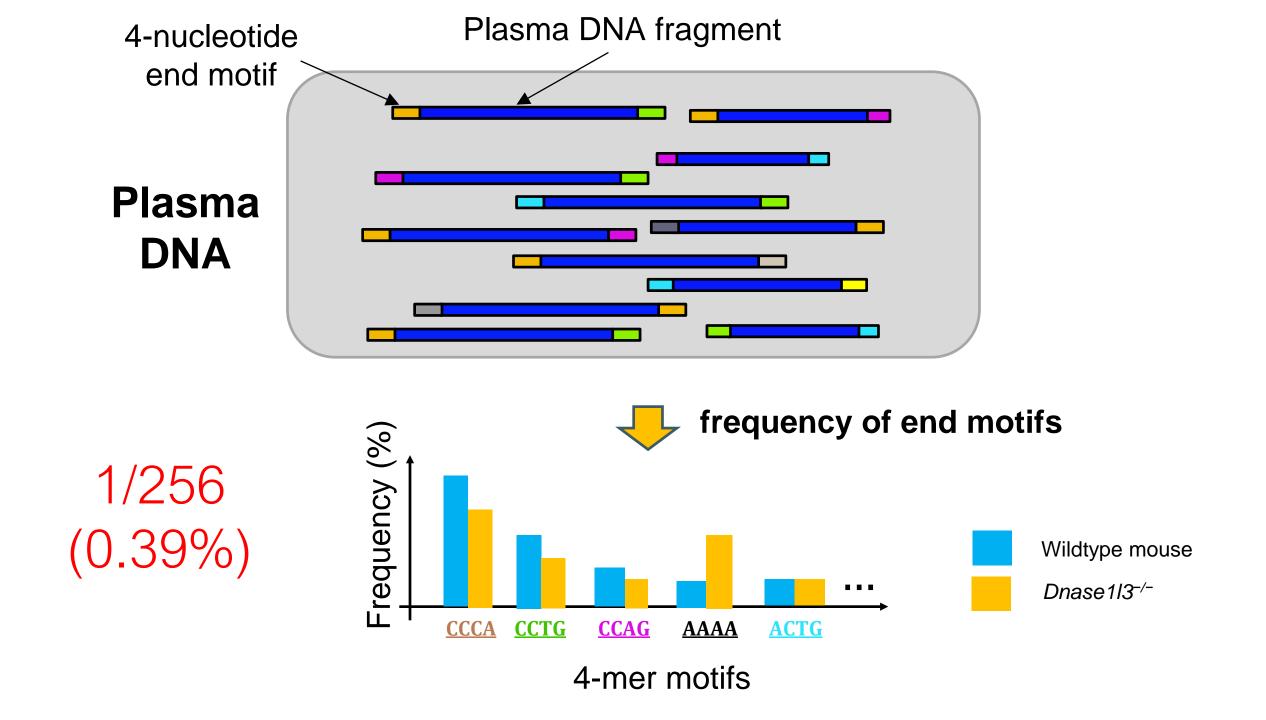
Mononucleosomal DNA



#### Serpas et al. PNAS 2019; 116: 641







### Plasma DNA End Motifs

Motif	Motif frequency WT % (a)
CCCA	1.51
CCTG	1.45
CCAG	1.37
CCAA	1.12
CCAT	1.11
CCTC	1.10
CAAA	1.02
TGTG	0.98
TGTT	0.96
CCTA	0.87

## Plasma DNA End Motifs

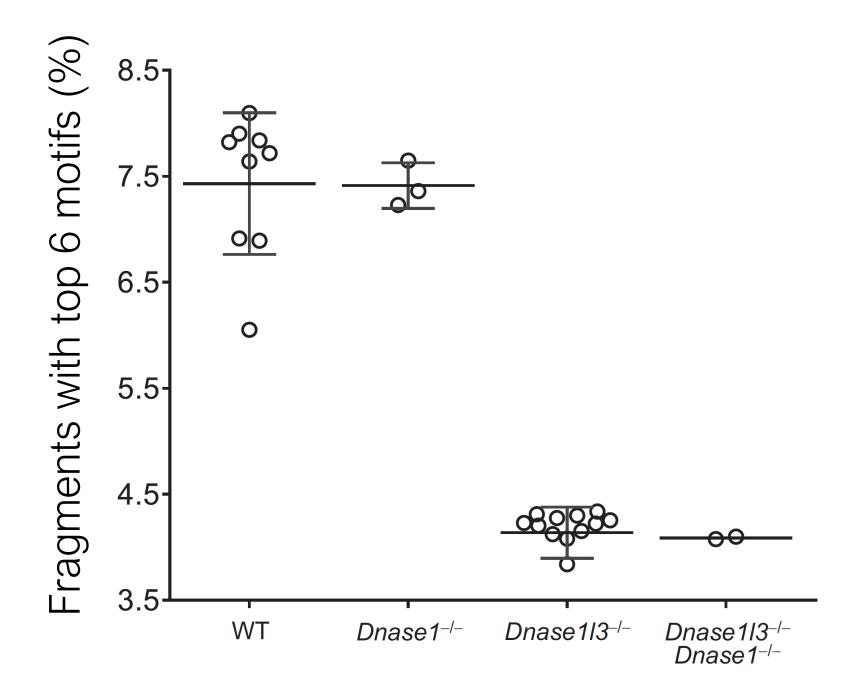
Motif	Motif frequency WT, % (a)	Motif frequency <i>Dnase1l3<sup>-/-</sup>,</i> % (b)
CCCA	1.51	0.76
CCTG	1.45	0.80
CCAG	1.37	0.56
CCAA	1.12	0.51
CCAT	1.11	0.67
CCTC	1.10	0.93
CAAA	1.02	0.78
TGTG	0.98	0.51
TGTT	0.96	0.58
CCTA	0.87	0.52

Serpas et al. PNAS 2019; 116: 641

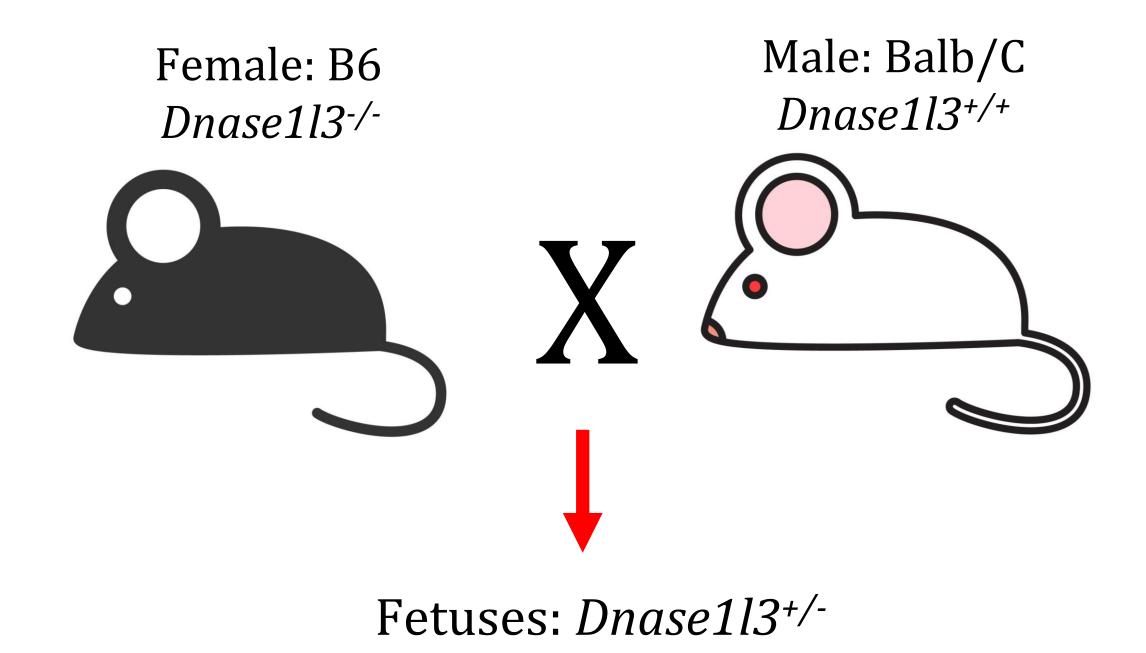
## Plasma DNA End Motifs

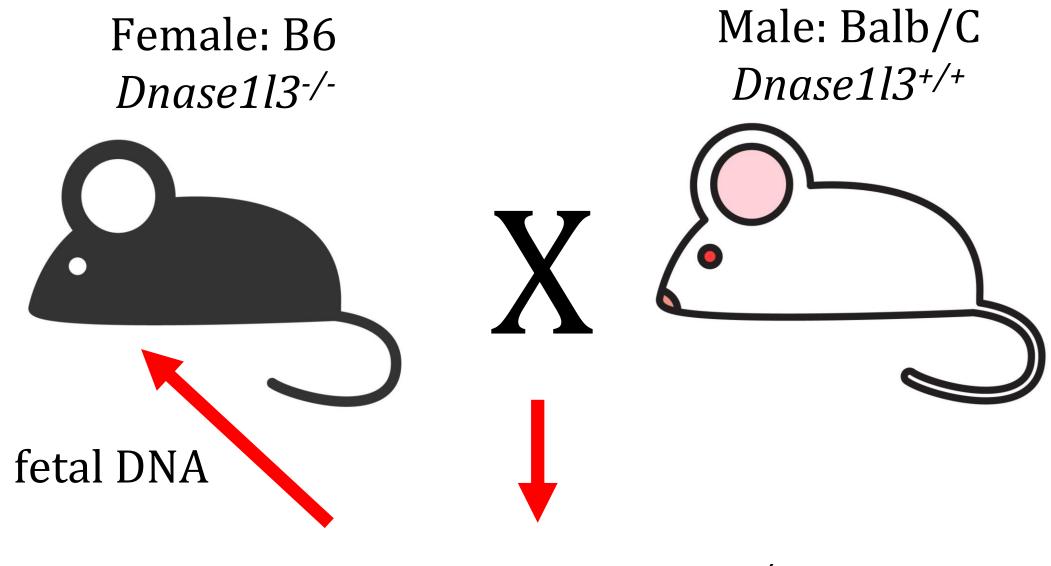
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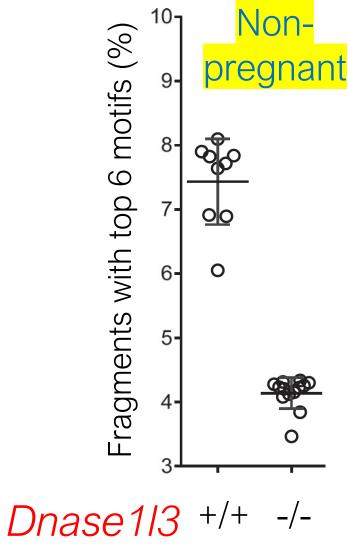




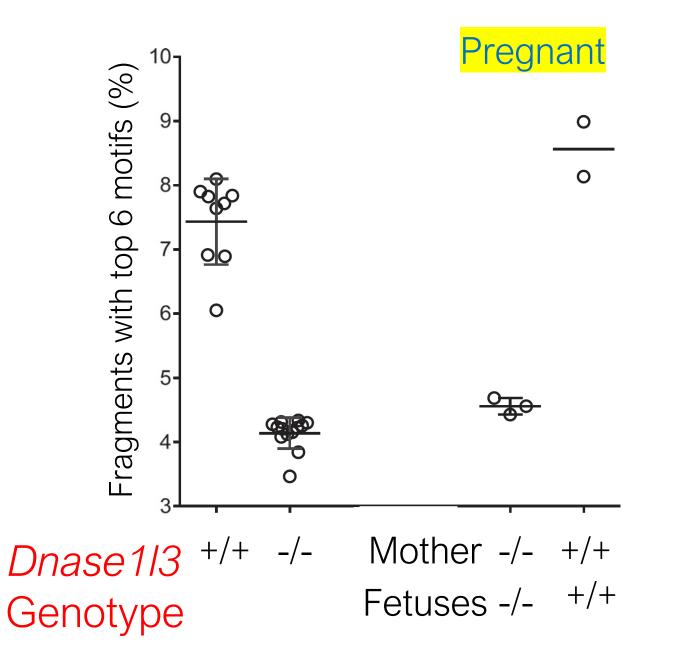


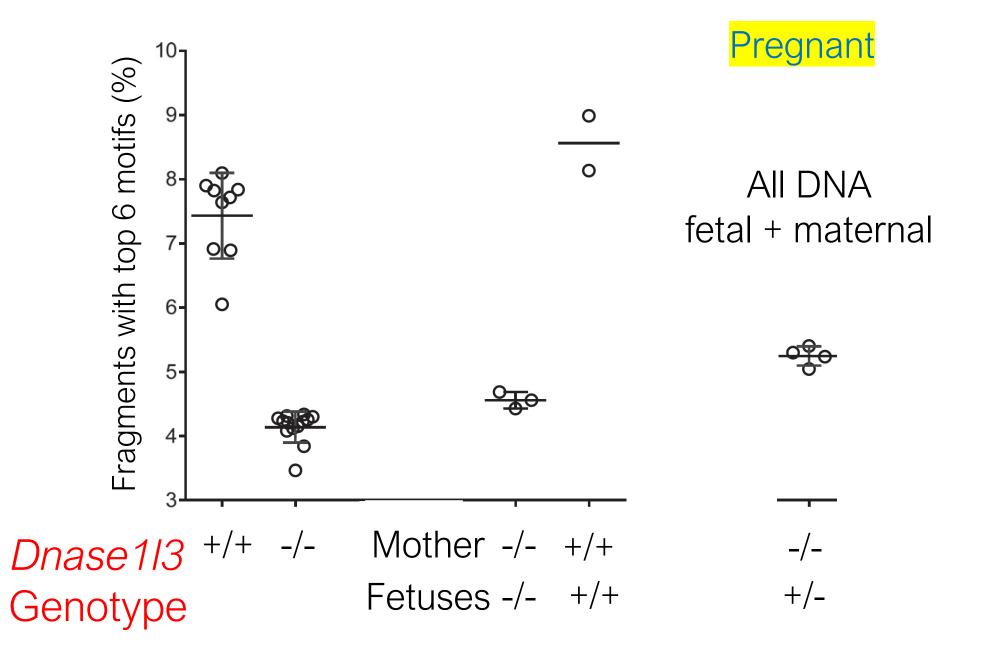


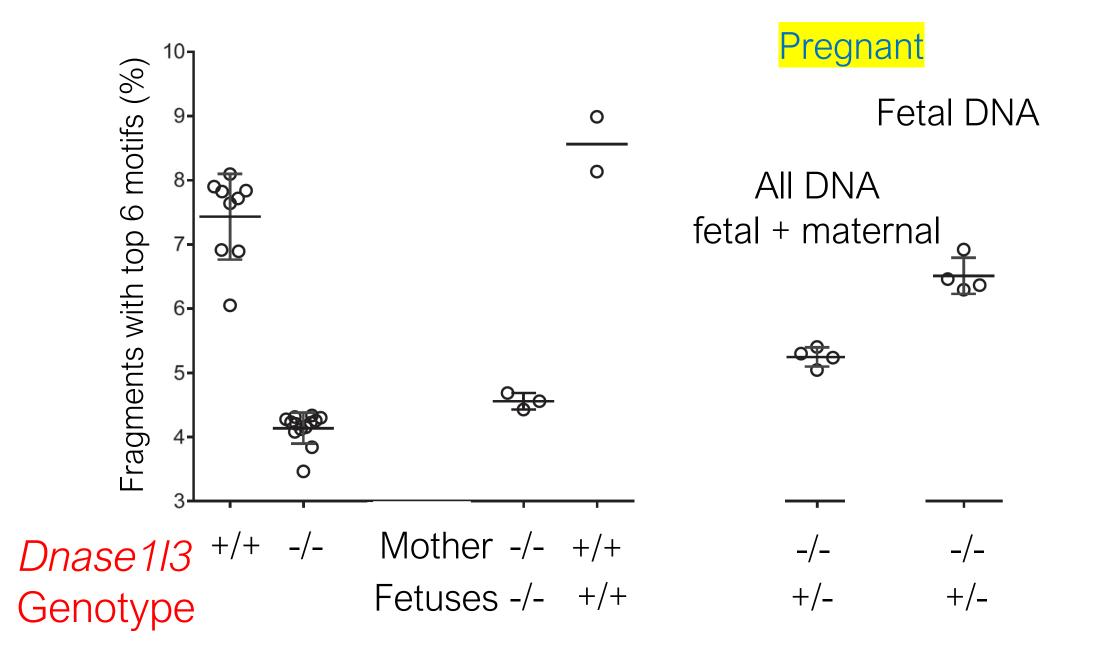
Fetuses: *Dnase1l3*<sup>+/-</sup>

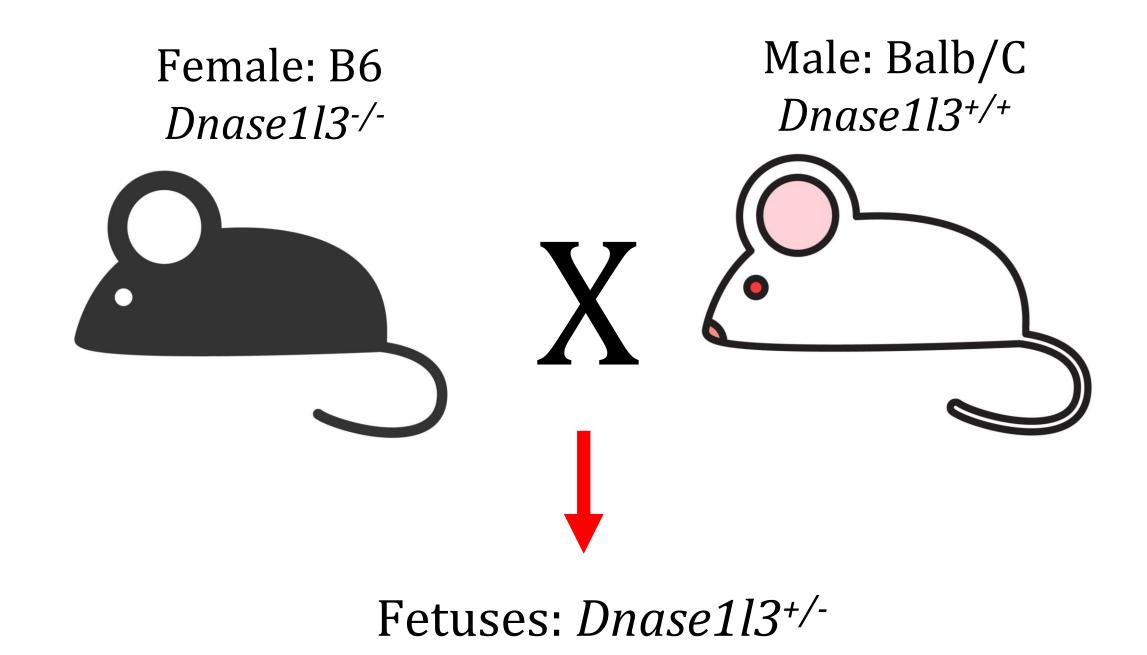


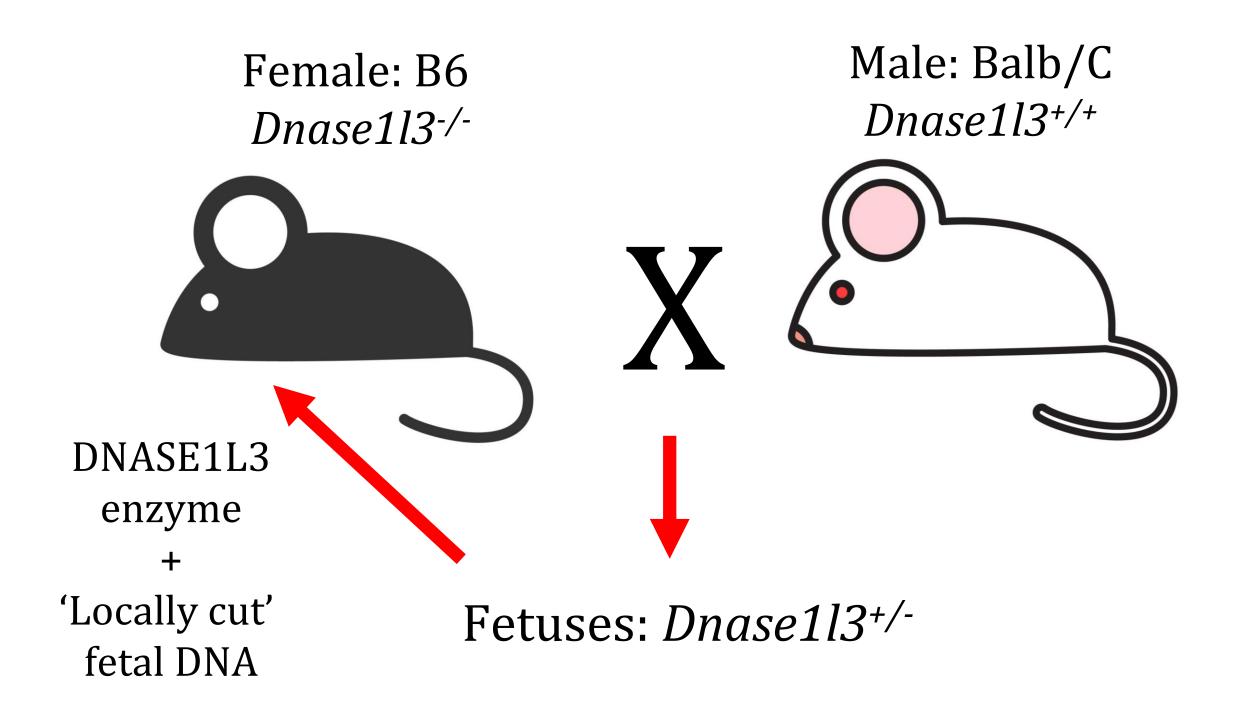
Genotype











## **DNASE1L3**

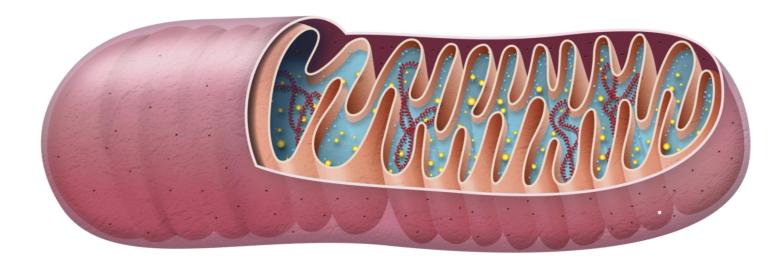
- First link of nuclease biology and circulating nucleic acids
- Alterations with physiologic and pathologic changes
- Role of other nucleases
- Diagnostic role of plasma DNA end motifs

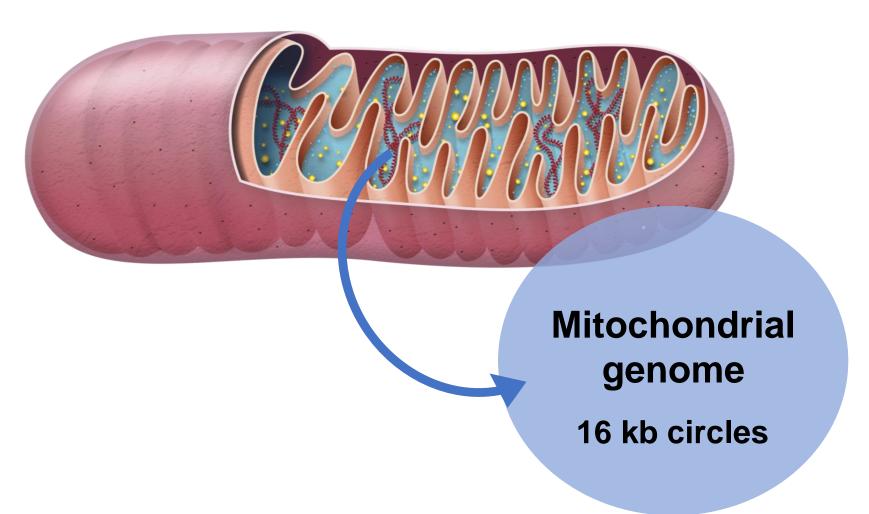
## Fragmentation Patterns

## "Fragmentomics"

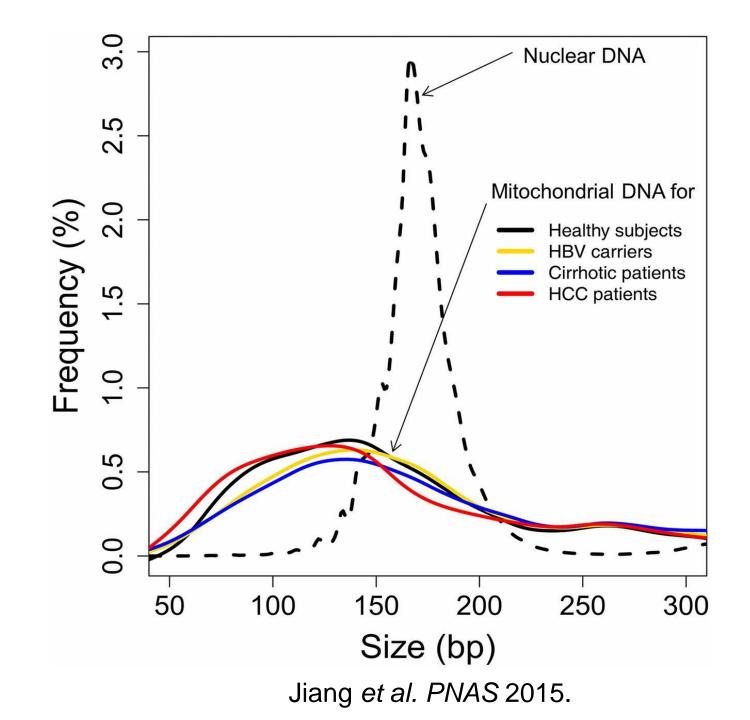






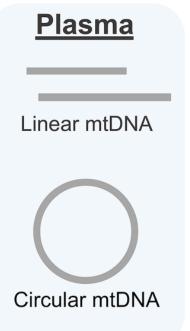


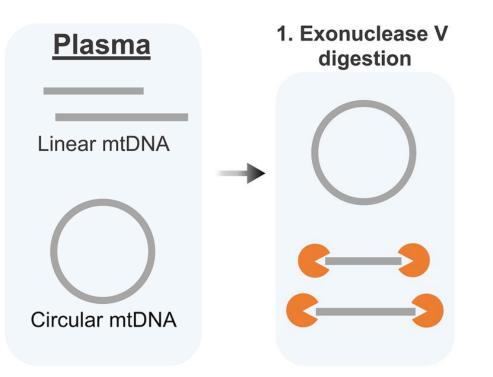
# Size profile of circulating DNA

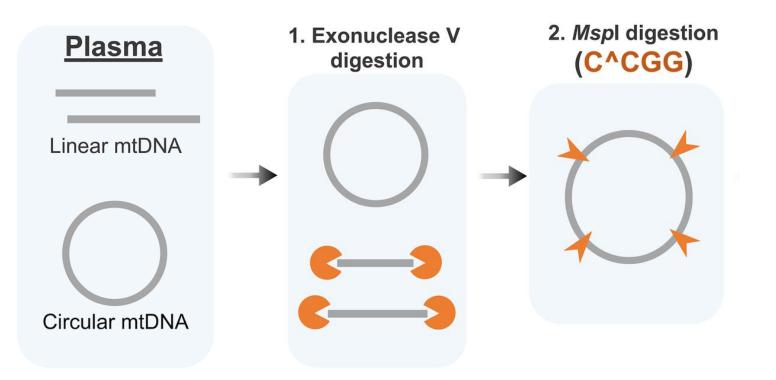


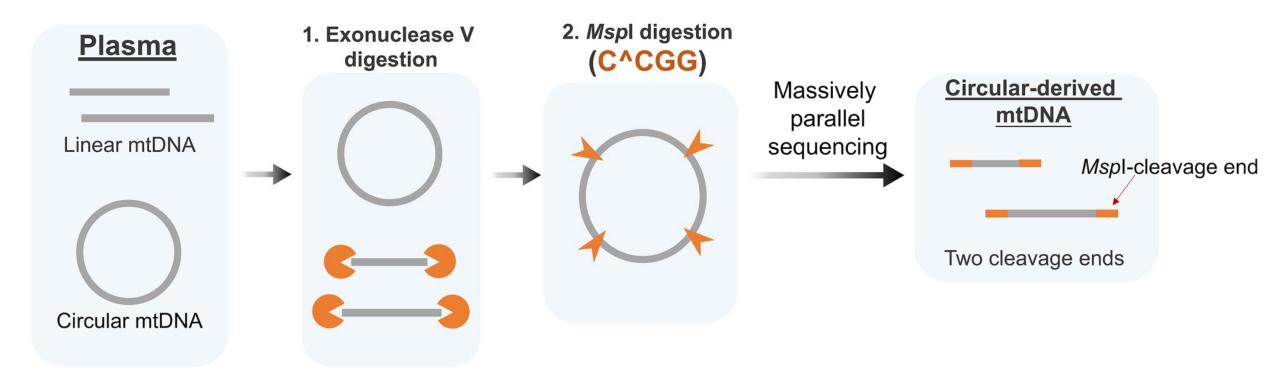


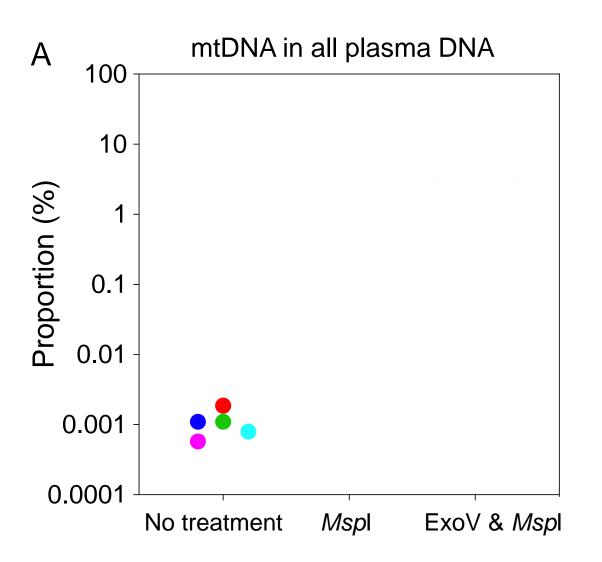


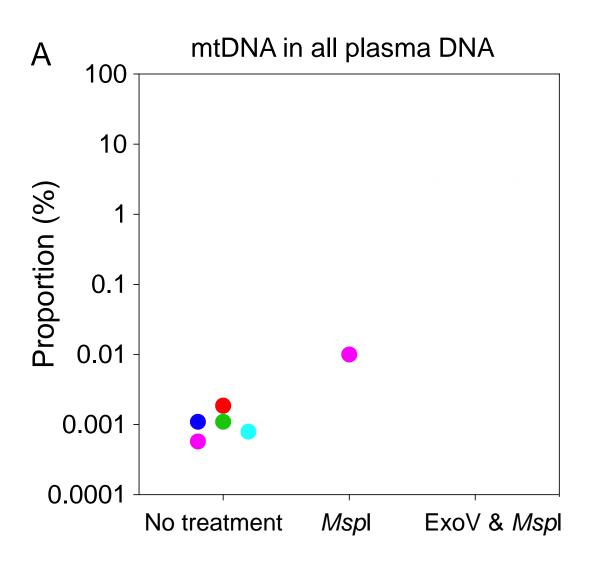


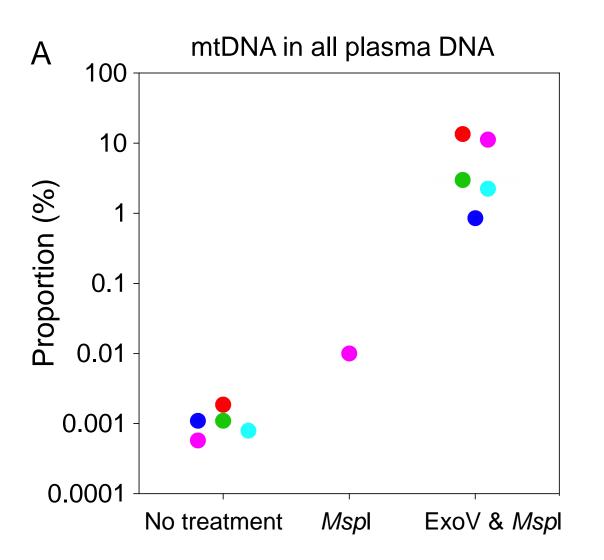


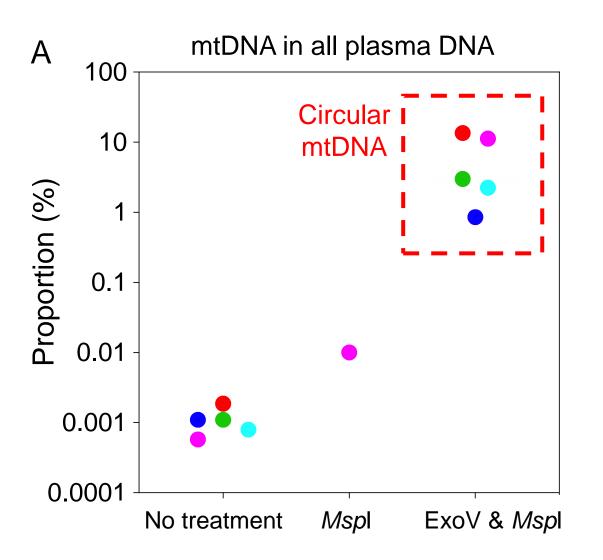


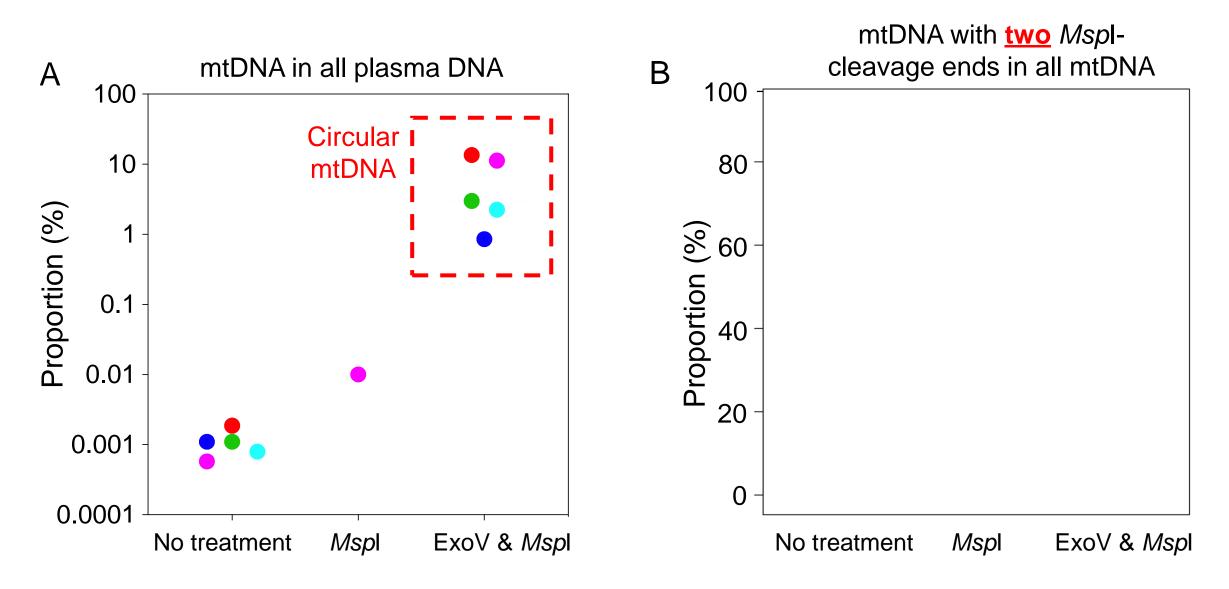


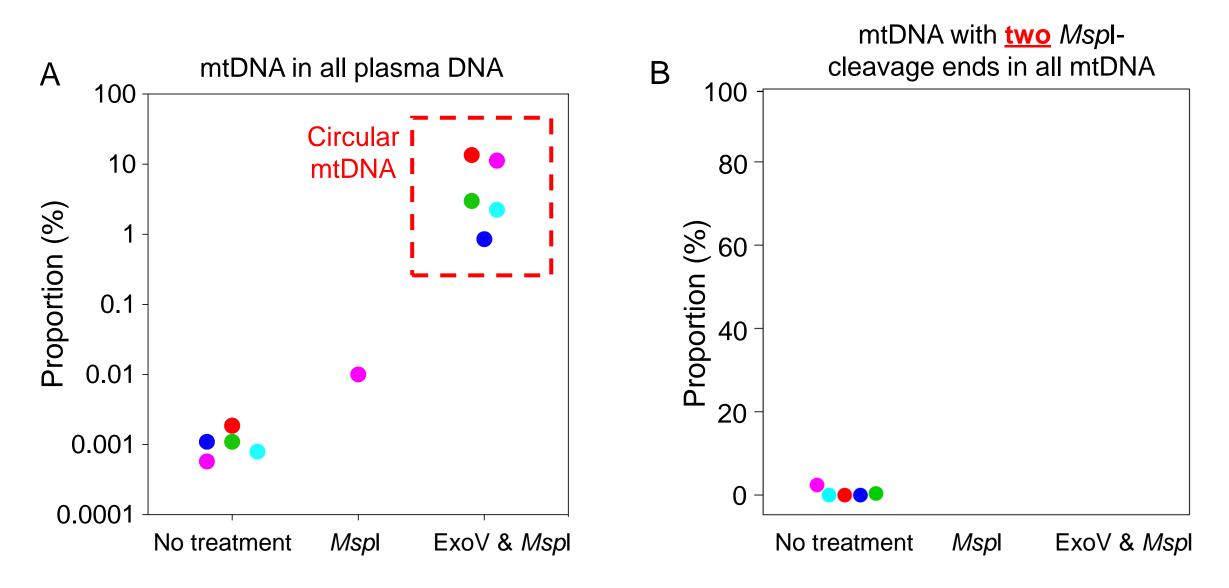


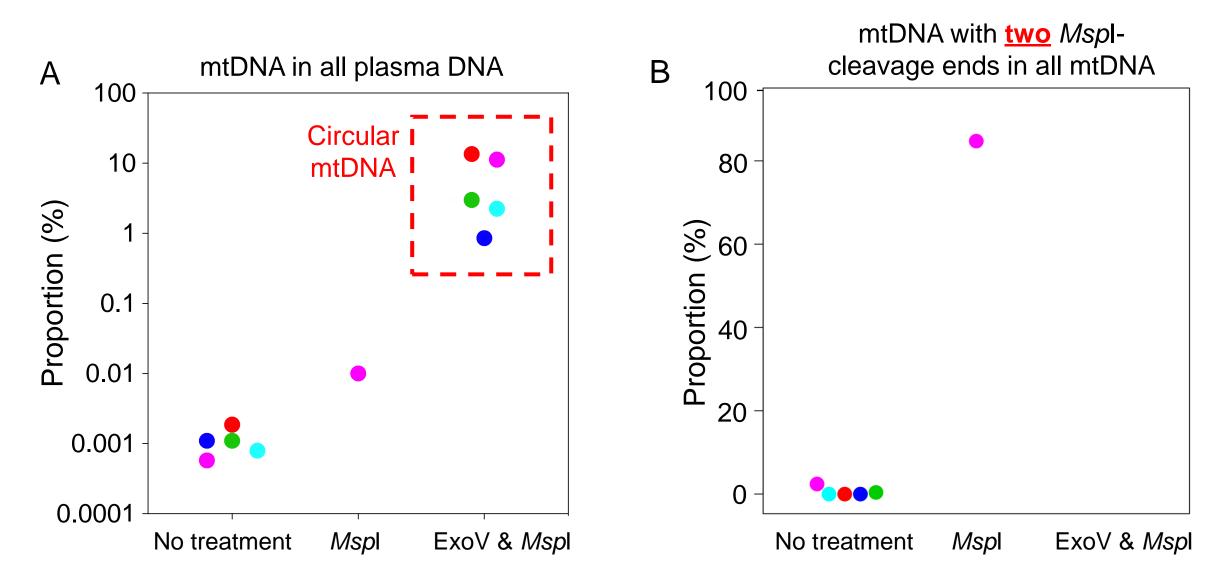


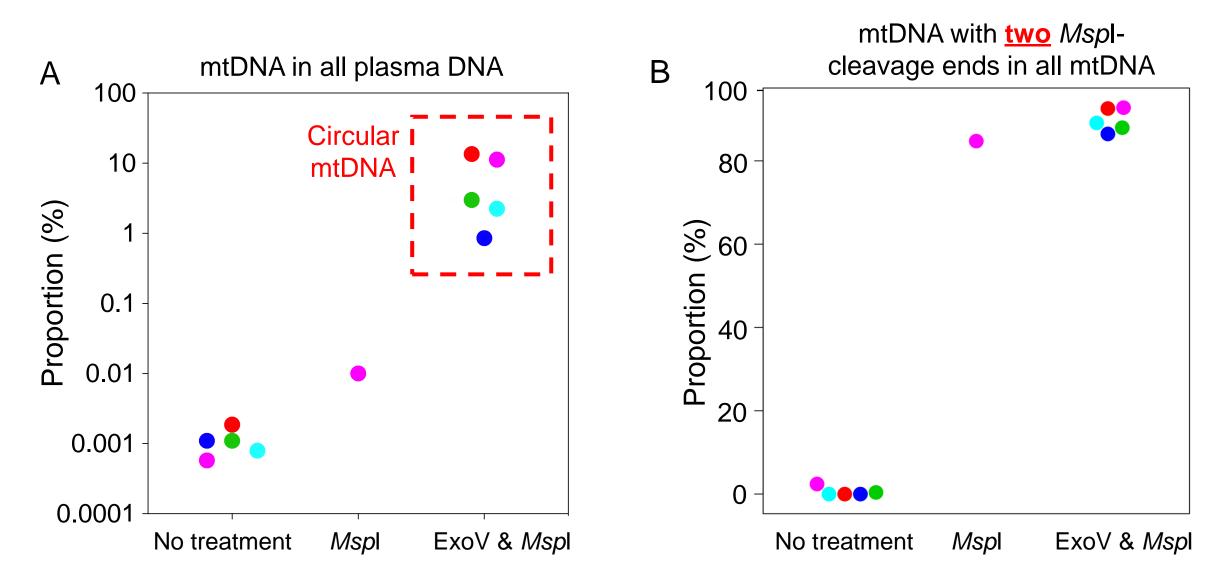


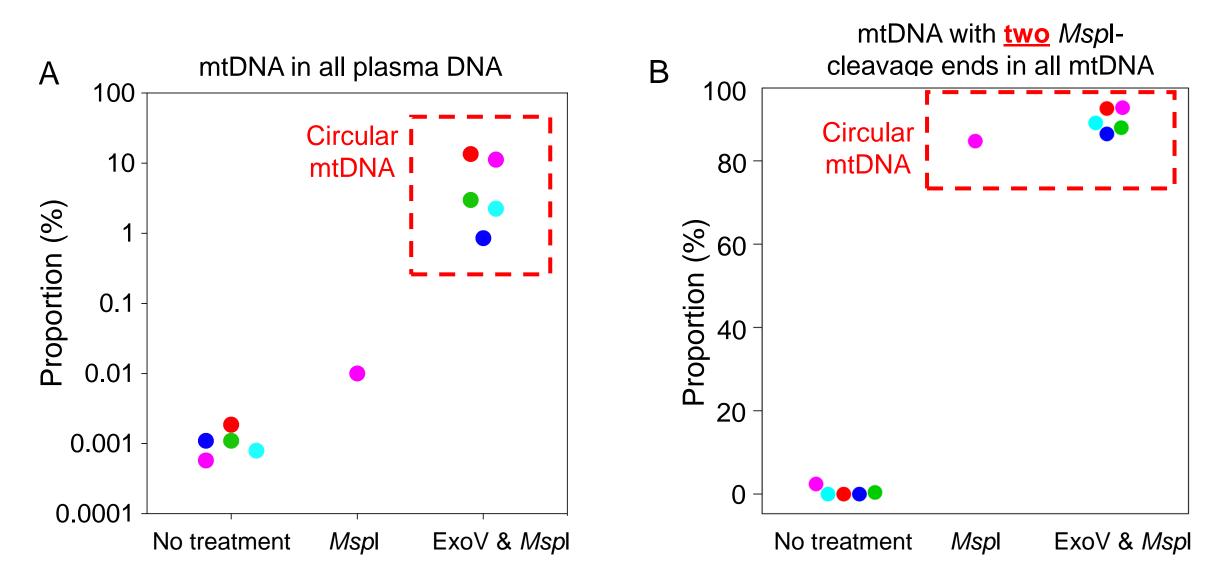










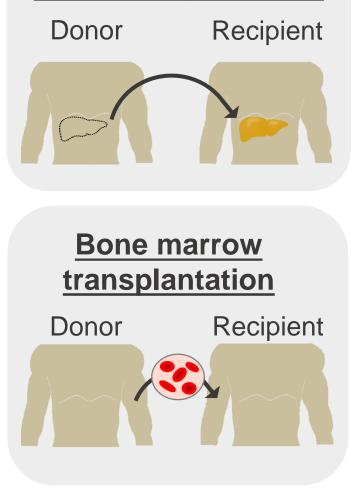




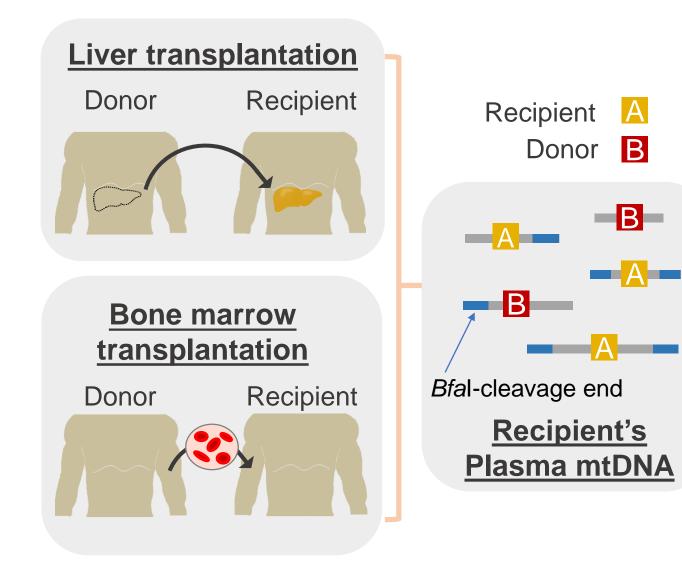


### mtDNA in transplantation

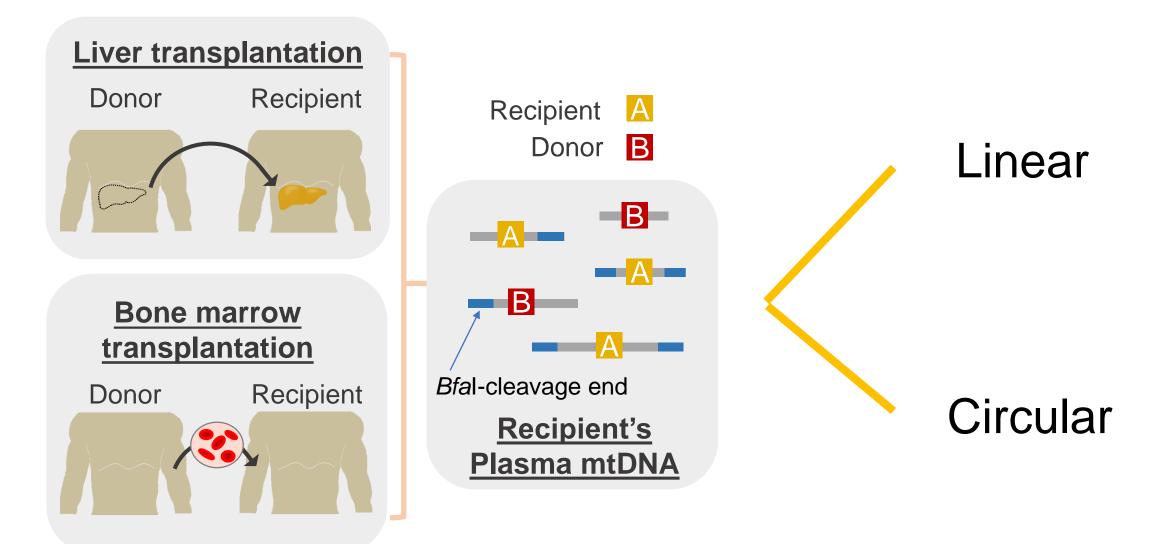
#### **Liver transplantation**



### mtDNA in transplantation



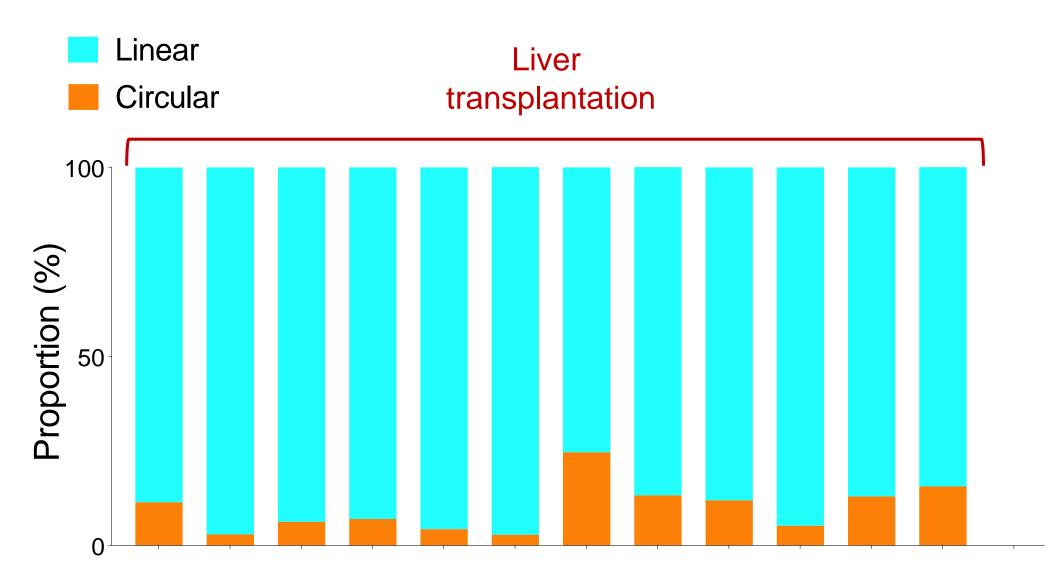
#### mtDNA in transplantation



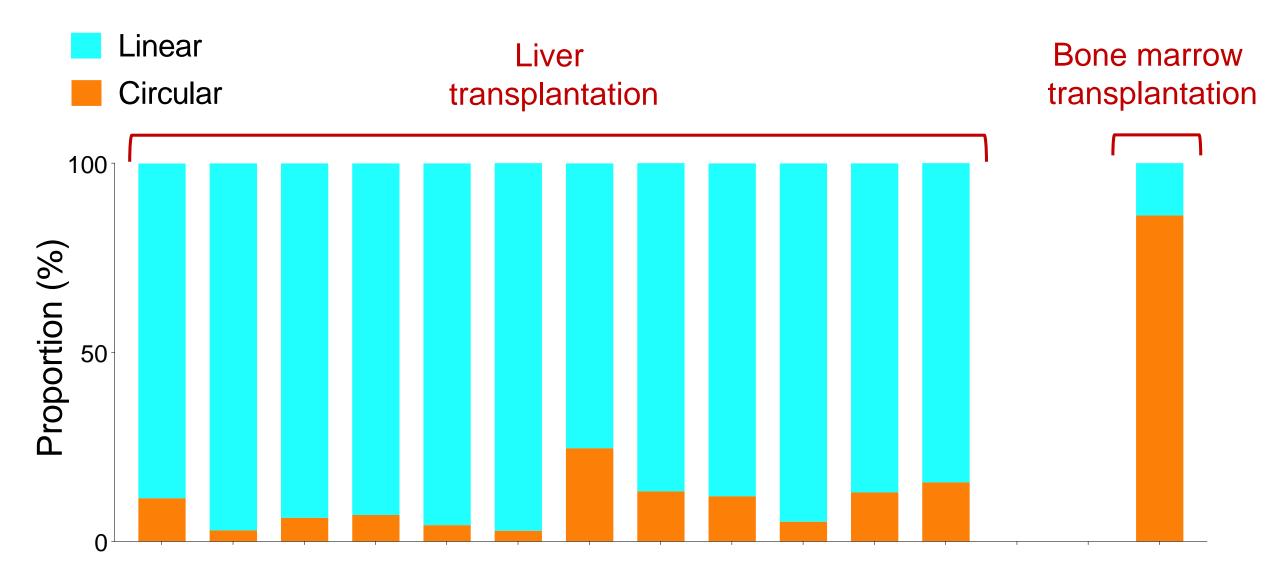
### mtDNA of <u>donor</u> origin

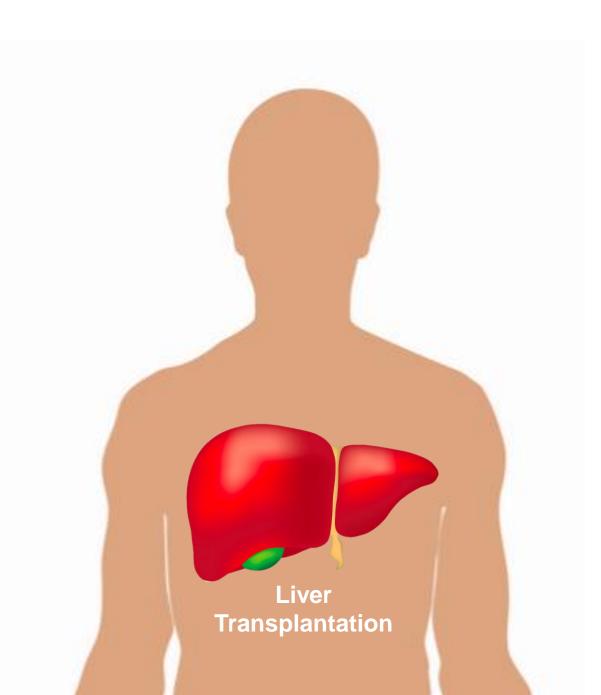


#### mtDNA of <u>donor</u> origin



#### mtDNA of donor origin



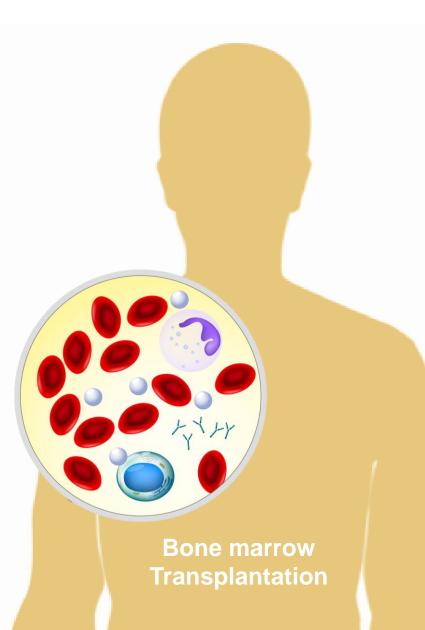


## Liver mtDNA Linear

Liver Transplantation

## Liver mtDNA Linear

Liver Transplantation



# Liver mtDNA Linear

Liver Transplantation

# Hematopoietic mtDNA Circular

Bone marrow Transplantation

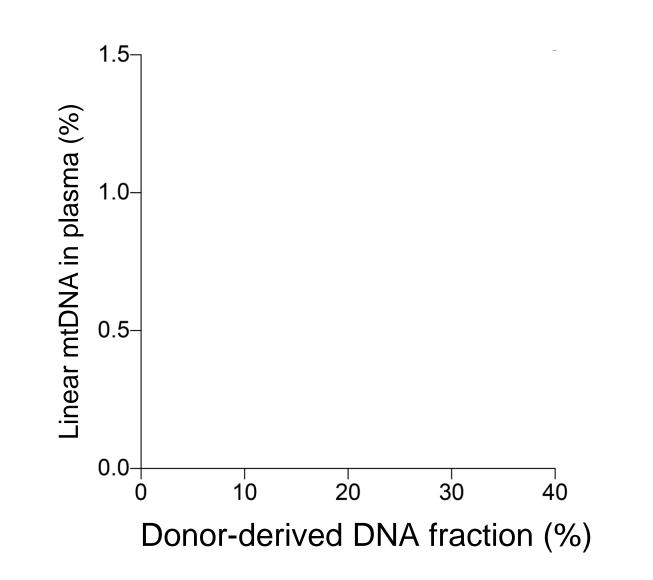
# Liver mtDNA Linear

Liver Transplantation Circulating mitochondria Other particles

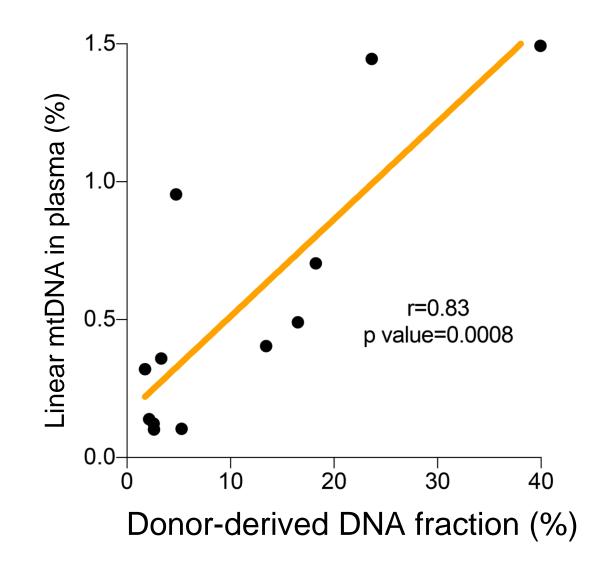
# Hematopoietic mtDNA Circular

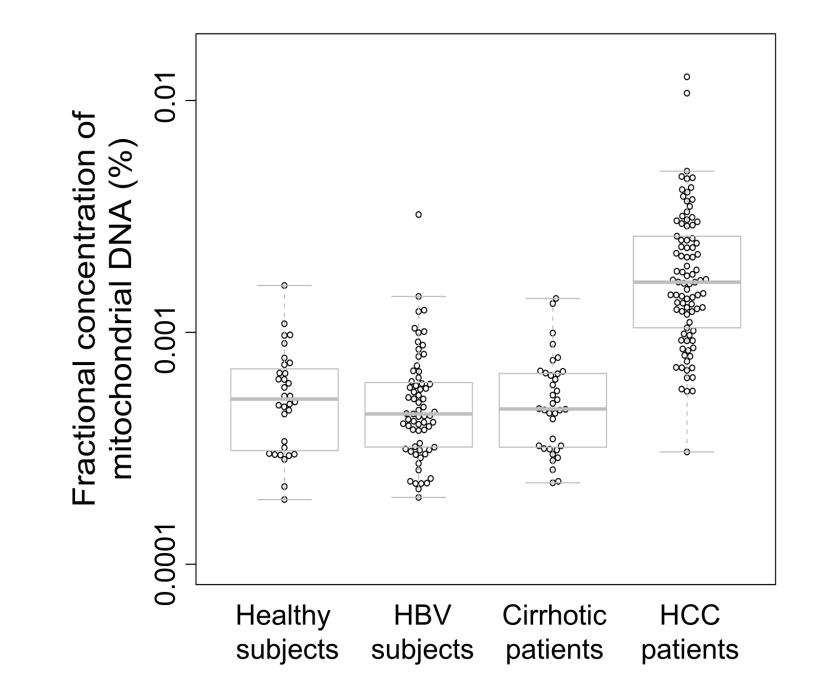
Bone marrow Transplantation

### Liver Transplantation

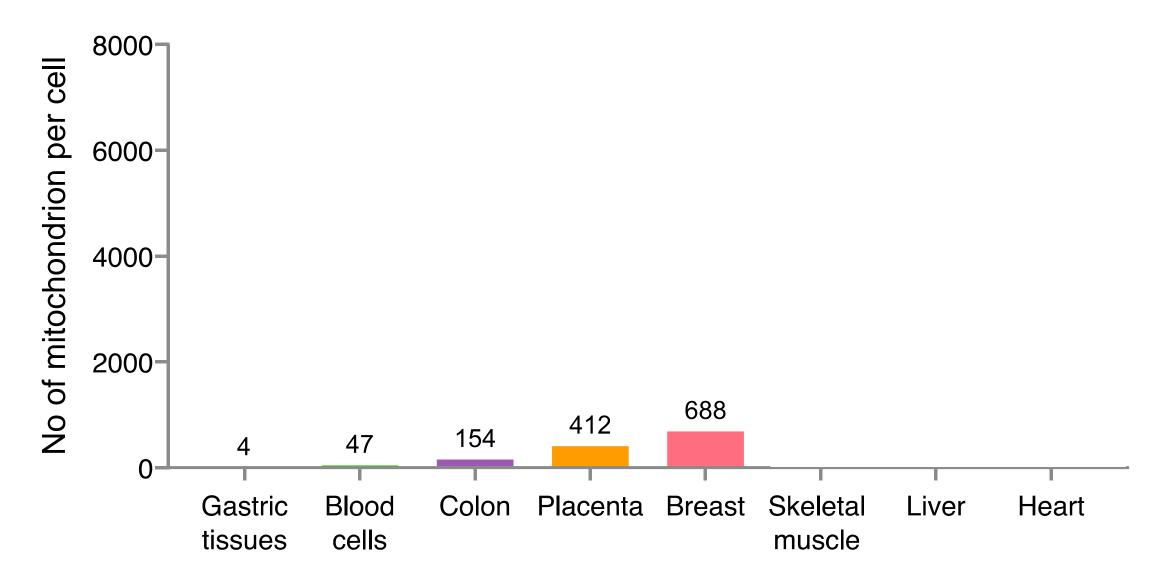


### Liver Transplantation

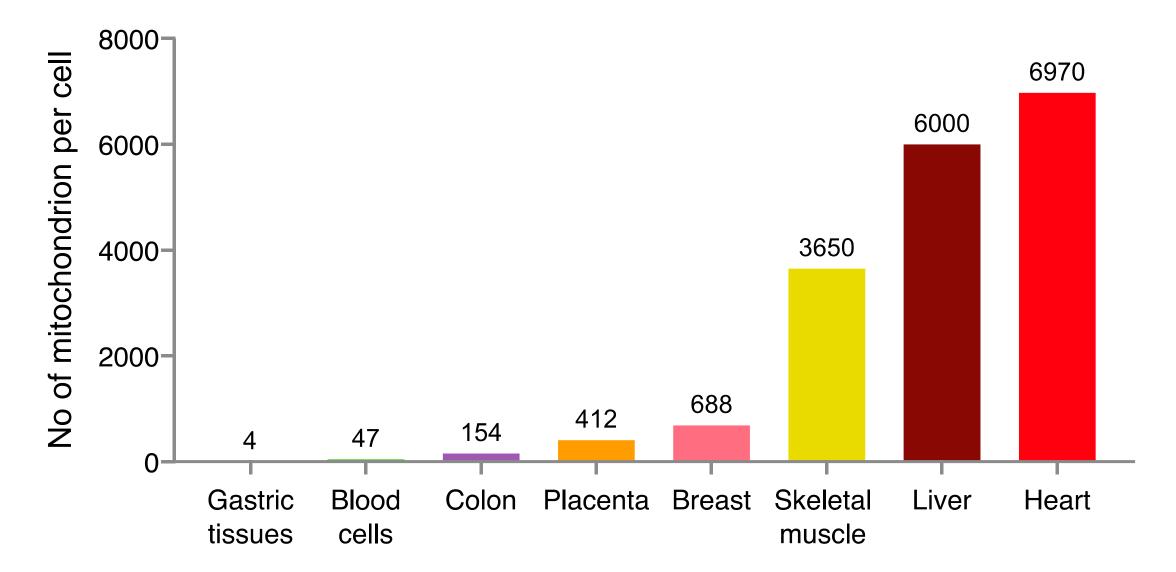




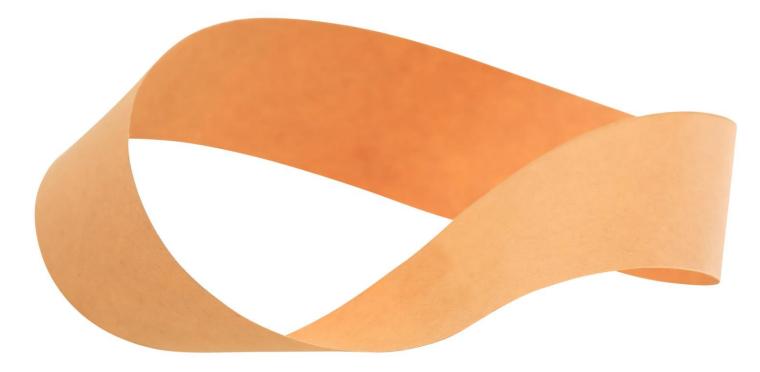
### Number of mitochondria per cell



### Number of mitochondria per cell



# **Plasma DNA Topologics**



# Summary

- Plasma nucleic acids represent a treasure trove for molecular diagnostics
- NIPT: global adoption and clinical paradigm shift
- Fragmentomics: fragment size, preferred ends, end motifs
- Plasma DNA topologics: circular and linear mtDNA in plasma
- Link to nuclease biology





#### 香港中文大學醫學院 **Faculty of Medicine** The Chinese University of Hong Kong

# Secondary Genomic Findings Following Non-Invasive Prenatal Genetic Testing: Maternal Malignancy Diana W. Bianchi, M.D.



*Eunice Kennedy Shriver* National Institute of Child Health and Human Development



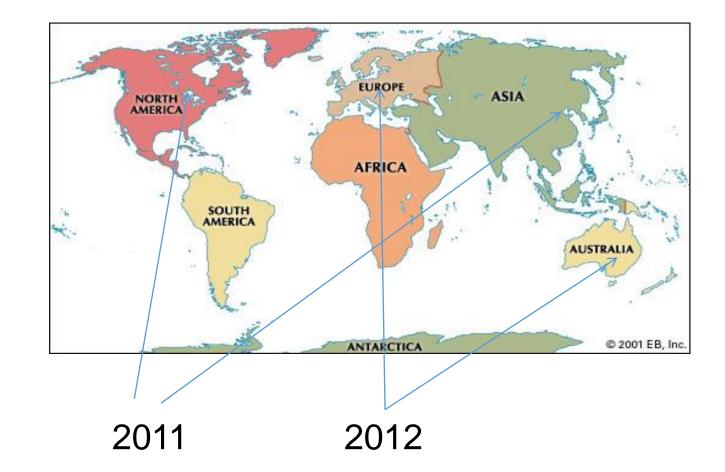




### #1 Implementation of Genomic Medicine That Has Transformed Medical Care



> 10 million clinical tests to date





2013

### CLINICAL OPINION

www.AJOG.org

#### **GENETICS** Is it time to sound an alarm about false-positive cell-free DNA testing for fetal aneuploidy?

Michael T. Mennuti, MD; Athena M. Cherry, PhD; Jennifer J. D. Morrissette, PhD; Lorraine Dugoff, MD

# Maternal Secondary Genomic Findings 💭

#### Cherchez la femme: maternal incidental findings can explain discordant prenatal cell-free DNA sequencing results

Diana W. Bianchi, MD

Circulating DNA fragments in a pregnant woman's plasma derive from three sources: placenta, maternal bone marrow, and fetus. Prenatal sequencing to noninvasively screen for fetal chromosome abnormalities is performed on this mixed sample; results can therefore reflect the maternal as well as the fetoplacental DNA. Although it is recommended that pretest counseling include the possibility of detecting maternal genomic imbalance, this seldom occurs. Maternal abnormalities that can affect a prenatal screening test result include disorders that affect the size and metabolism of DNA, such as B12 deficiency, autoimmune disease, and intrahepatic cholestasis of pregnancy. Similarly, maternal tumors, both benign and malignant, can release DNA fragments that contain duplications or deletions. Bioinformatics algorithms can subsequently interpret the raw sequencing data incorrectly, resulting in false-positive test reports of fetal monosomies or test failures. Maternal sexchromosome abnormalities, both constitutional and somatic, can generate results that are discordant with fetal ultrasound examination or karyotype. Maternal copy-number variants and mosaicism for autosomal aneuploidies can also skew interpretation. A maternal etiology should therefore be considered in the differential diagnosis of prenatal cell-free DNA test failures, false-positive and falsenegative sequencing results. Further study is needed regarding the clinical utility of reporting maternal incidental findings.

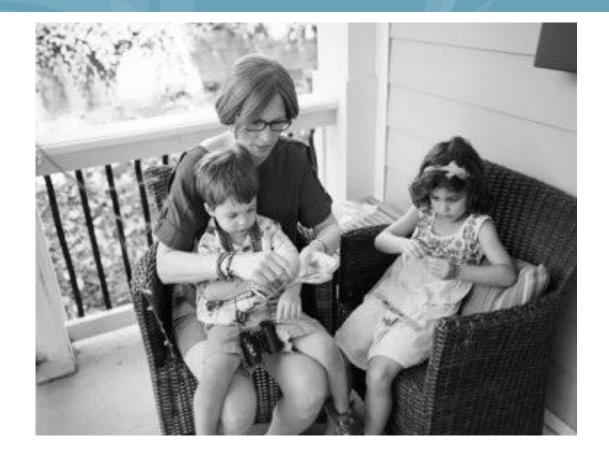
Genet Med advance online publication 7 December 2017

Key Words: cell-free DNA sequencing; copy-number variant; incidental finding; prenatal screening; sex-chromosome aneuploidy

*Genet Med* 2017; doi.10.1038/gim.2017.219

- Fetal sex discordance due to maternal transplant from XY donor or maternal DSD
- Vitamin B12 deficiency
- Autoimmune disease
- Copy number variants
  - 22q11.2 deletion
- Mosaic autosomal aneuploidies
  - Trisomy 8
- Sex chromosome aneuploidies
  - 45,X/47,XXX





When a Fetus's Test Finds a Mother's Cancer: Mothers-to-be expecting to learn about chromosomal defects from a noninvasive prenatal test sometimes instead learn they may have cancer. Anna Nowogrodzki *MIT Technology Review* July 2015

# **Details of Case 1**

- 37 yo G2P1 woman, prior history negative
- NIPT x 2 13 wks: T13, M18, repeated 17 wks
- Amniocentesis: 46, XY, normal CMA
- 19 wks: normal fetal U/S, Son healthy, Apgars 8/9
- 3<sup>rd</sup> Tri: abdominal pain, fatigue, vaginal bleeding
- NIPT sent to another lab: nonreportable
- Pelvic fractures post delivery, bx of cervical mass and bone: metastatic neuroendocrine carcinoma
- Patient recently passed away, 7 years post dx

# **Maternal Malignancies**



- Cancer during pregnancy is rare, occurs 1 in 1000 cases
- Most common malignancies in pregnant women are:
  - Breast and cervical cancers
  - Hodgkin and non-Hodgkin lymphomas, leukemia
  - Malignant melanoma
  - Ovarian and colorectal cancer
- In some cancers, tumor DNA is shed into the circulation
- This results in genomic imbalances that can be detected by NIPT
- Incidence of cancer as detected by NIPT is 1 in 10,000 cases

# Which Cell Types Are Analyzed With NIPT?

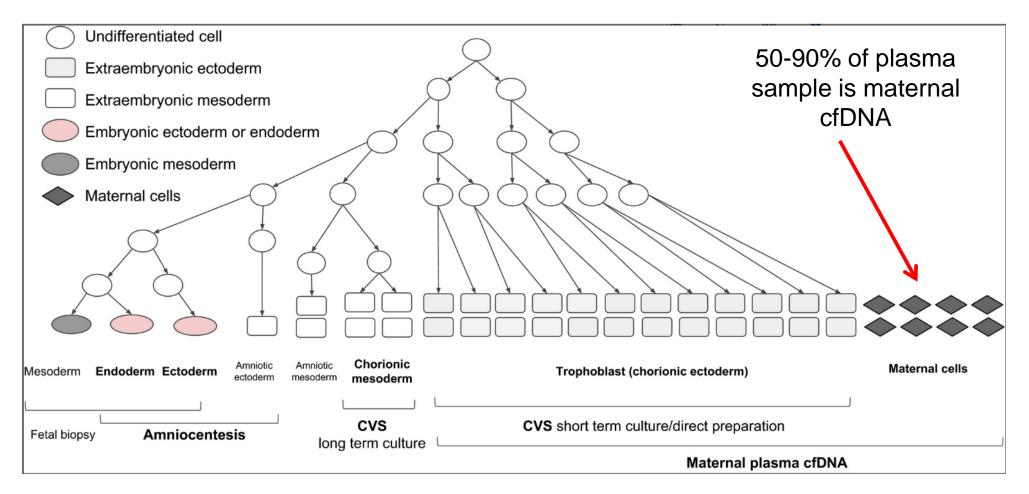


Figure from Rieder et al., *Aust NZ J Obstet Gynaecol* 2018, Modified from Bianchi et al. *Am J Hum Genet* 1993

# Many Presentations of Maternal Cancer

### Unusual or Discordant Results/Test Failures/No Results

Provider Location. Provider Phone: Date Ordered: Date Collected:	312-472-4151 03/30/2018 03/29/2018	Patient ID: Specimen: External Accession: Referral Clinician: Date Reported:	006437214 1808900687		
Date Received: Order ID:			04/09/2018 04:47 PM PT		

Due to technical or

issues, data failed to meet quality standards for interpretation.

sample-related

Test Result for Chromosomes 21, 18 and 13

Circulating cell-free DNA was purified from the plasma component of anti-coagulated maternal whole blood. It was then converted into a genomic DNA library for the determination of chromosome 21, 18, 13 representation and the presence of the Y chromosome.[1] Other chromosomal material, including fetal sex chromosome (X and Y) representation, was also evaluated and will only be reported as an

The MaterniT21 PLUS test analyzes circulating cell-free DNA extracted from a maternal blood sample. The test is indicated for use in pregnant

women with increased risk for chromosomal aneuploidy. Validation data

on twin pregnancies is limited and the ability of this test to detect

aneuploidy in a triplet pregnancy has not yet been validated.

Non-reportable

Additional Finding when an abnormality is detected.

**Test Method** 

About the Test

#### Performance

The performance characteristics of the MaterniT21 PLUS laboratory-developed test (LDT) have been determined in a clinical validation study with pregnant women at increased risk for fetal chromosomal aneuploidy.11.27[3]

Intended Use	Performance	Confidence Interval (95% CI)		
Trisomy 21	Sensitivity: 99.1%	96.3 - 99.8%		
	Specificity: 99.9%	99.6 - 99.9%		
Trisomy 18	Sensitivity: >99.9%	92.4 - 100.0%		
	Specificity: 99.6%	99.2 - 99.8%		
Trisomy 13	Sensitivity: 91.7%	59.7 - 99.6%		
	Specificity: 99.7%	99.3 - 99.9%		
Y chromosome	Accuracy: 99.4%	99.0 - 99.6%		

#### Limitations of the Test

DNA test results do not provide a definitive genetic risk in all individuals. Cell-free DNA does not replace the accuracy and precision of prenatal diagnosis with CVS or amniocentesis. These tests are not intended to identify pregnancies at risk for neural tube defects or ventral wall defects

A patient with a positive test result or presence of an Additional Finding should be referred for genetic counseling and offered invasive prenatal diagnosis for confirmation of test results. (4) A negative test result does not ensure an unaffected pregnancy. The absence of an Additional Finding does not indicate a negative result. While results of this testing are highly accurate, not all chromosomal abnormalities may be detected due to placental, maternal or fetal mosaicism, or other causes. Sex chromosomal aneuploidies are not reportable for known multiple gestations. The health care provider is responsible for the use of this information in the management of their patient. Table 2. Association of Maternal Cancers With Different Types of Aneuploidies Detected at Noninvasive Prenatal Testing

Type of Aneuploidy Detected by NIPT	Total No. of Samples	No. of Known Maternal Cancers (%) [95% CI]
Single trisomy <sup>a</sup>	2650	2 (0.08) [0-0.27]
Single SCA <sup>b</sup>	950	0 (0) [0-0.39]
Single trisomy + SCA	30	0 (0) [0-11.5]
Single monosomy	88	1 (1.14) [0-6.1]
Multiple aneuploidy <sup>c</sup>	39	7 (17.9) [7.5-33.5]
Total abnormal NIPT	3757	10 (0.26) [0.12-0.48]

Snyder et al. *Prenat Diagn* 2016; Bianchi et al. *JAMA* 2015

- Test the hypothesis that NIPT acts as a liquid biopsy
- Clinical laboratory database of 125,426 test results
- Physicians voluntarily informed laboratory of maternal cancer diagnosis as explanation for test failure or discordant results
- Patients re-contacted, gave consent, sequencing results re-analyzed

### **Retrospective Maternal Cancer Study**

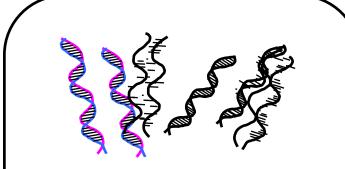


#### Bianchi et al., JAMA 2015; 314:162-169

Case Number	1	2	3	4	5	6	7	8
Maternal Age	37	36	33	36	23	37	39	39
GA (weeks)	13	12	13	20	20	12	11	10
Aneuploidy Detection by NIPT	M18, T13	M18	T13	M21, M18, M13	T21, M18, T13	T18	M18, XXY	T21, T18, T13, MX
Fetal Karyotype / Birth Outcome	46,XY Term	ND Term	46,XY Term	46,XY Term	46,XY PE 29 wks	46,XX Term	46,XY 35 wks	46,XX 32 wks
Cancer Type	Neuro- endocrine	B cell lym- phoma	Colo-rectal	Hodgkin lym- phoma	ALL (T cell)	B cell lym- phoma	B cell lym- phoma	Anal
Stage at Dx	IV	IVB	IIIC	IIA	NA	IV	II	IIIB
Time to Dx (weeks)	28	13	39	3 to MRI 29 to Bx	3	~20	~10	8
Postnatal NIPT	ND	ND	T13, M18	M13, 18, 21, MX	ND	ND	ND	ND



# WGS: Sequence, Align, Count

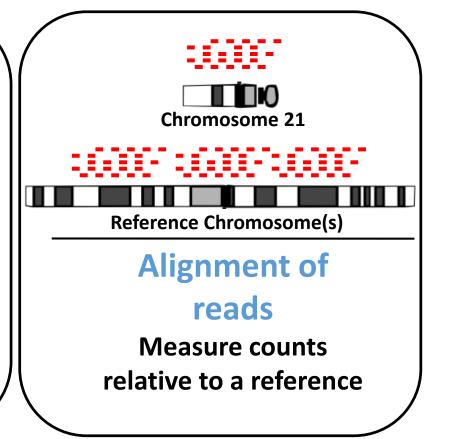


Cell-free DNA in Maternal Plasma ~150-200 bp fragments from <u>both</u> pregnant woman and her fetus CCCTTAGCGCTTTAACGTACGTAAAA CCCTTAGCGCTTTAACGTACGTAAAA ACGGGGTCAAAGGTTCCCACACGTCC GACTTAAAATCGGAATCGATGCCCAA GACTTAAAATCGGAATCGATGCCCAA ACGGGGTCAAAGGTTCCCACACGTCC CCCTTAGCGCTTTAACGTACGTAAAA CCCTTAGCGCTTTAACGTACGTAAAA

#### **Total DNA is**

sequenced

25-36 base pair reads

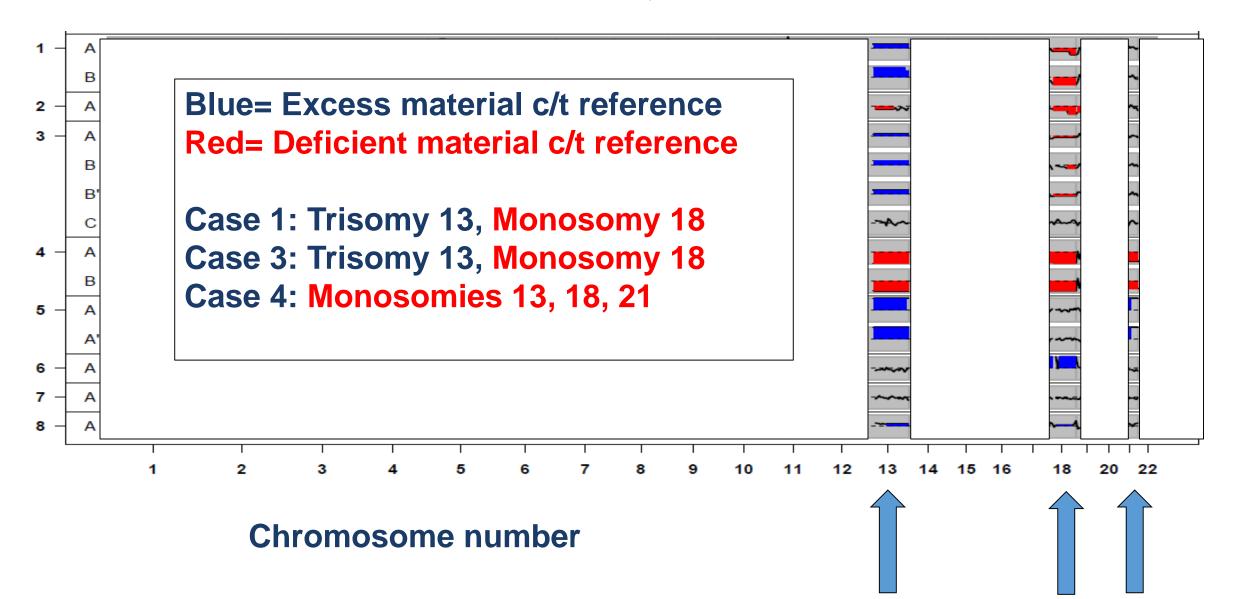


# "Masking" Sequencing Data



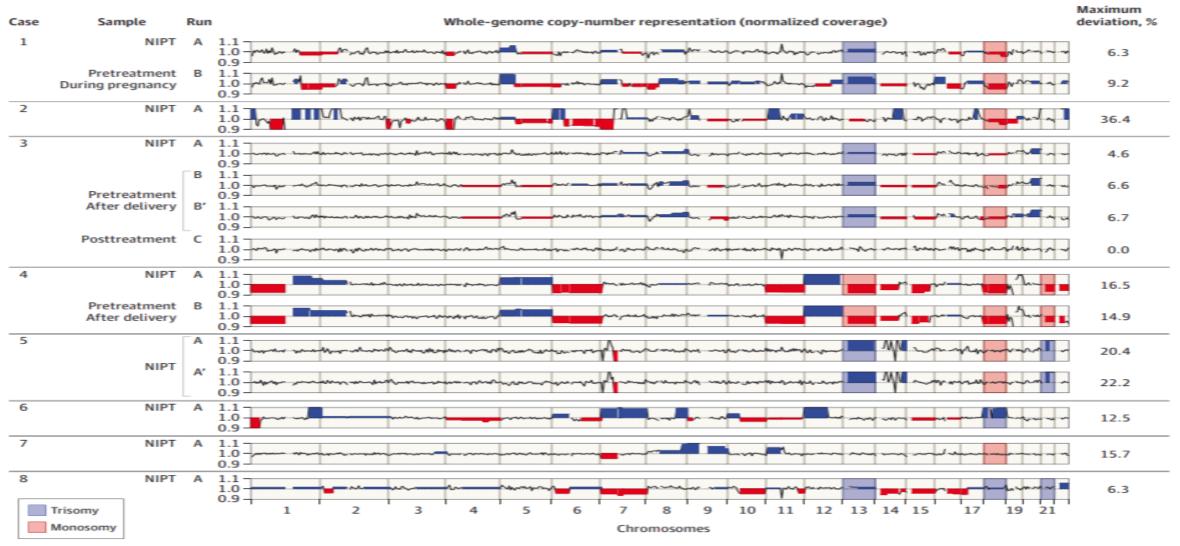
### **Partial Genome View Seen in CLIA Lab**

Bianchi et al. JAMA 2015; 314:162-169



# Whole Genome (Unmasked) View

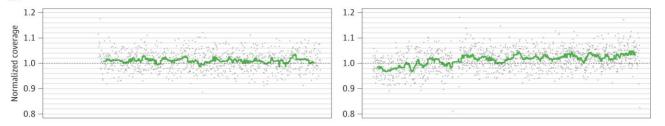
#### Figure 1. Whole-Genome View of Copy-Number Changes in 8 Cases of Maternal Cancer



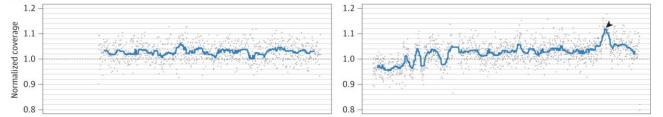
Bianchi et al. JAMA 2015; 314:162-169

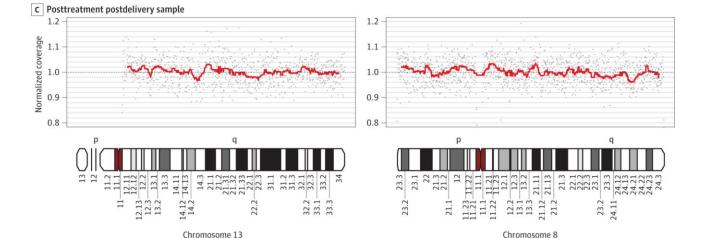
# Close-Up View of JAMA Colon Cancer Case

#### A NIPT sample (13 weeks' gestation)



#### B Pretreatment postdelivery sample





- Bioinformatics algorithm reported trisomy 13
- Postpartum sample reported as T13, monosomy 18
- Real issue is trisomy
  8. Chr 8 used as
  reference for chr 18.
- Post-treatment sample shows normalized profile



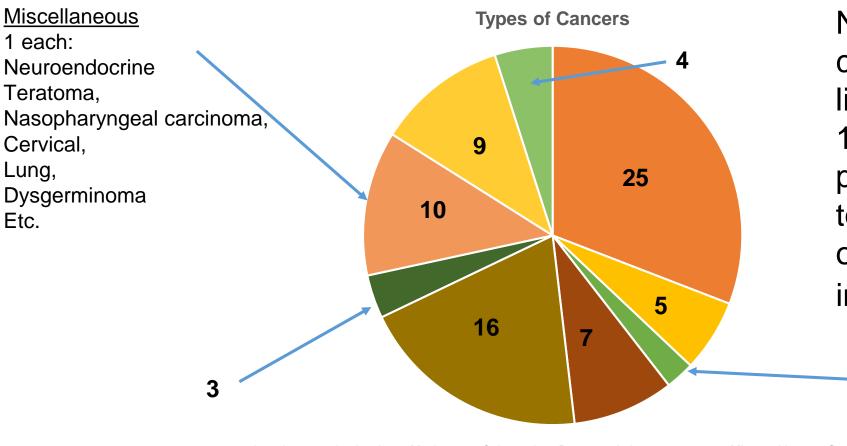
Ji et al. Genet Med April 12 2019

- 1.93 million cfDNA prenatal samples
- 639 had multiple aneuploidies (0.03%)
  - PPV of multiple aneuploidies alone=7.6%
- Retrospective study, 41 known to have cancer
- 34/41 (83%) correctly called with improved algorithm, 422/501 could be excluded
- Plasma tumor markers improved PPV to 75%
  - CA15-3, CA19-9, CA12-5, CEA, AFP

#### Secondary Maternal Cancers Reported as of Nov 2019



# N=81 (in literature)



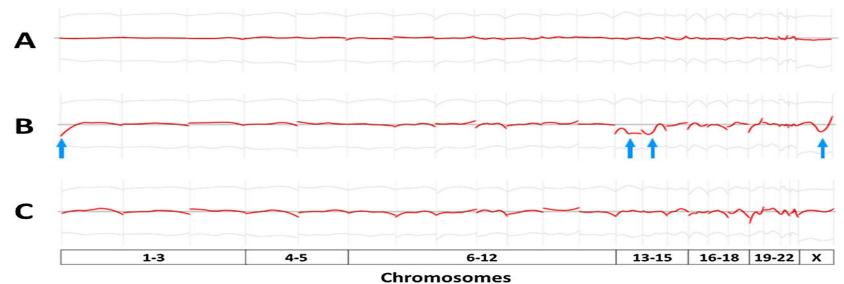
Not all maternal cancers reported in literature. 1 in 5 MFM providers estimated to have had at least one case of cancer in their practice.

3

## Case 2



- 40 yo woman underwent cf DNA screening 14.5 wks
- Very negative chr 13 z-score, suggested monosomy 13
- Genome-wide analysis showed M13 and decreased sequence tags mapping to 1p, 14, Xq
- Amniocentesis: 46, XX



Dharajiya et al. Prenat Diagn 2015

# **Uterine Fibroids**

- In one study, half of the abnormal NIPT results suggestive of cancer were due to uterine fibroids
- Fibroids are present in ~7% of African American women
- Why don't we see more genome-wide dysregulation in women with fibroids?
- Are the cases shedding DNA undergoing malignant change?

Dharajiya et al., Clin Chem 2017

#### Follow-Up Management of NIPT Results Suggestive of Cancer?



Current Commentary

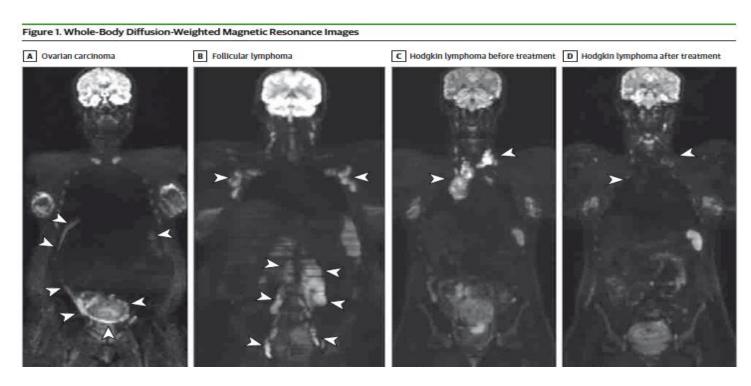
#### Maternal Malignancy Evaluation After Discordant Cell-Free DNA Results

Laura M. Carlson, MD, Emily Hardisty, MS, Catherine C. Coombs, MD, and Neeta L. Vora, MD

#### Box 1. Stepwise Evaluation of the Patient With More Than One Aneuploidy Detected on Cell-Free DNA

- 1. Discuss results with performing laboratory
- 2. History and physical examination with laboratory evaluation
  - · Complete blood count with peripheral smear
  - Metabolic panel
  - Pap test
  - Fecal occult blood
- 3. Chest radiograph
- 4. Magnetic resonance image of the chest, abdomen, and pelvis
- 5. Consider annual complete blood count for surveillance

#### Obstet Gynecol 2018



Amant et al. JAMA Oncology 2015; 1:814-819

# ISPD Debate: Should Results be Reported?

Received: 27 September 2018	Revised: 8 October 2018	Accepted: 10 October 2018
DOI: 10.1002/pd.5379		

#### ISPD 2018 MEETING ISSUE

WILEY PRENATAL DIAGNOSIS

# Current controversies in prenatal diagnosis 2: NIPT results suggesting maternal cancer should always be disclosed

Peter Benn<sup>1</sup> I Sharon E. Plon<sup>2</sup> I Diana W. Bianchi<sup>3</sup>

# **Stakeholder Perspectives**

### What Do Genetic Counselors Say?

(Giles et al. Prenat Diagn 2017; 37:126-32)

- Survey of >300 US genetic counselors
- 95% were aware NIPT results could suggest neoplasm
- Only 29% communicate this in the pre-test setting
- 77% reported that they would disclose results
- Post-test recommendations highly variable
- 91% said that institutional or national guidelines were needed for patient management







# Introducing the IDENTIFY Study

# Incidental DEtection of maternal Neoplasia Through non-Invasive cell-Free dna analYsis





#### **IDENTIFY: Follow-Up of cfDNA Patterns Suggestive of Malignancy**

Prenatal Genomics and Therapy Lab, NHGRI



Women's Malignancy Branch, NCI

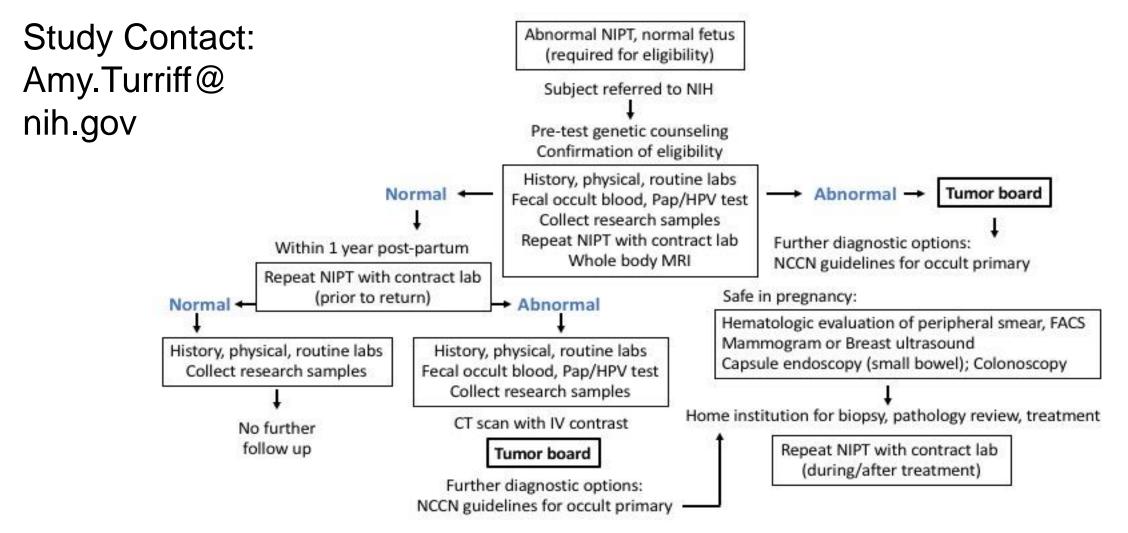
Complete work-up is free for women in the US Can study women from outside of US, but have to get to US port of entry

NIH U.S. National Library of Medicine

ClinicalTrials.gov NCT 04049604



# **IDENTIFY Study Protocol**



# Summary



- Maternal cancer is a rare, but increasingly recognized explanation for false positive cf DNA results (<1% of positive reports)
- Most suspicious is pattern of multiple aneuploidies, with genome-wide imbalance
- Not all cases are cancer: consider leiomyomas
- Need more evidence to determine management recommendations and whether early treatment saves lives
- Please refer cases to our NIH Clinical Center study!



# Thank you!

# **Q&A with Speakers**



Dennis Lo, MD



Diana Bianchi, MD



Bruce Korf, MD, PhD *Moderator* 





# **Thank You for Attending**

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