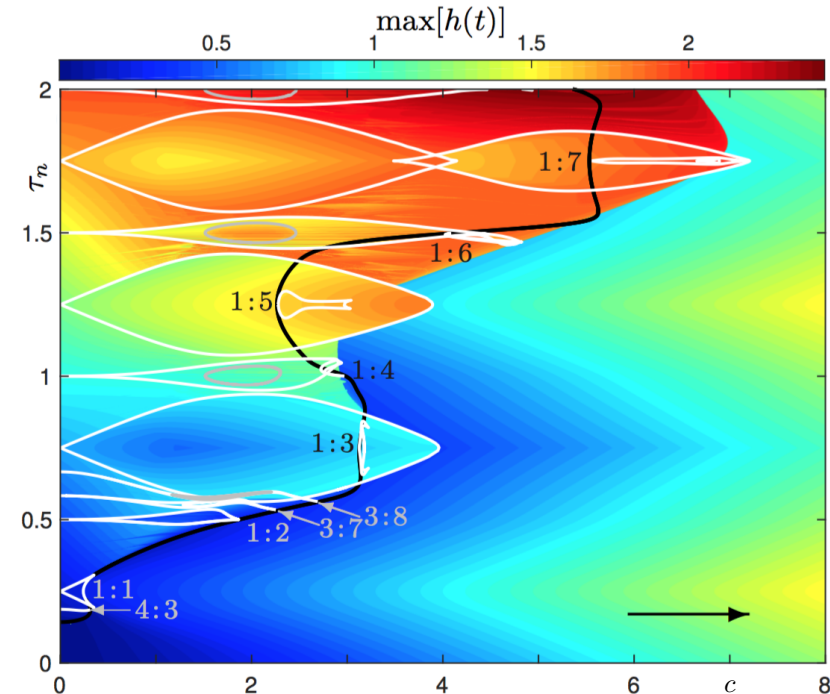


Conceptual climate models with global feedback mechanisms

Bernd Krauskopf, University of Auckland



- conceptual modeling
- energy balance and global transport
- an ENSO DDE model

Climate of Earth: the big picture



<--- radiation in

---> radiation out

↓
↑
internal mechanisms

Climate of Earth: the big picture



<--- radiation in
• solar forcing

---> radiation out

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↑
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Climate of Earth: the big picture



<--- **radiation in**

- solar forcing
- absorption/reflection

---> **radiation out**

↓
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internal mechanisms

Climate of Earth: the big picture



<--- **radiation in**

- solar forcing
- absorption/reflection

---> **radiation out**

- black body radiation

↓
↑
internal mechanisms

Climate of Earth: the big picture



- <--- **radiation in**
- solar forcing
 - absorption/reflection
- > **radiation out**
- **colored** body radiation

↓
↑
internal mechanisms

Climate of Earth: the big picture



- <--- **radiation in**
- solar forcing
 - absorption/reflection

- > **radiation out**
- **colored** body radiation
 - cloud cover

↓
↑
internal mechanisms

Climate of Earth: the big picture



<--- **radiation in**

- solar forcing
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---> **radiation out**

- **colored** body radiation
- cloud cover



internal mechanisms

- CO₂ cycles: volcanos, oceans and plants

Climate of Earth: the big picture



- <--- **radiation in**
- solar forcing
 - absorption/reflection

- > **radiation out**
- **colored** body radiation
 - cloud cover



internal mechanisms

- CO₂ cycles: volcanos, oceans and plants
- oceans, atmosphere and their coupling

Climate of Earth: the big picture



Every climate model
is a conceptual model!

- <--- radiation in
- solar forcing
 - absorption/reflection
- > radiation out
- colored body radiation
 - cloud cover



internal mechanisms

- CO₂ cycles: volcanos, oceans and plants
- oceans, atmosphere and their coupling

[Engler & Kaper, Dijkstra, ...] plus MS123/129

Climate of Earth: the big picture



which conceptual model?
the time scales matter!

<---

radiation in

- solar forcing
- absorption/reflection

--->

radiation out

- **colored** body radiation
- cloud cover



internal mechanisms

- CO₂ cycles: volcanos, oceans and plants
- oceans, atmosphere and their coupling

[Engler & Kaper, Dijkstra, ...] plus MS123/129

Energy balance models: without time scale



<--- **radiation in**
• constant solar forcing

---> **radiation out**
• considered constant
but given by CO₂ level

↓ ↑
internal mechanisms
• none considered

Energy balance models: without time scale



<--- **radiation in**
● constant solar forcing

---> **radiation out**
● considered constant
but given by CO₂ level

↓ ↑
internal mechanisms
● none considered

=> **resulting constant
temperature of Earth**

Energy balance models: large time scale



<--- **radiation in**

- constant solar forcing
- global glaciation (different albedo)

---> **radiation out**

- considered constant

↓ ↑

internal mechanisms

- none considered

Energy balance models: large time scale



<--- **radiation in**

- constant solar forcing
- global glaciation (different albedo)

---> **radiation out**

- considered constant

↓ ↑

internal mechanisms

- none considered

=> **bistability between a hot and a cold Earth**

Energy balance models: large time scale



<--- **radiation in**

- orbital solar forcing
- global glaciation (different albedo)

---> **radiation out**

- considered constant

↓

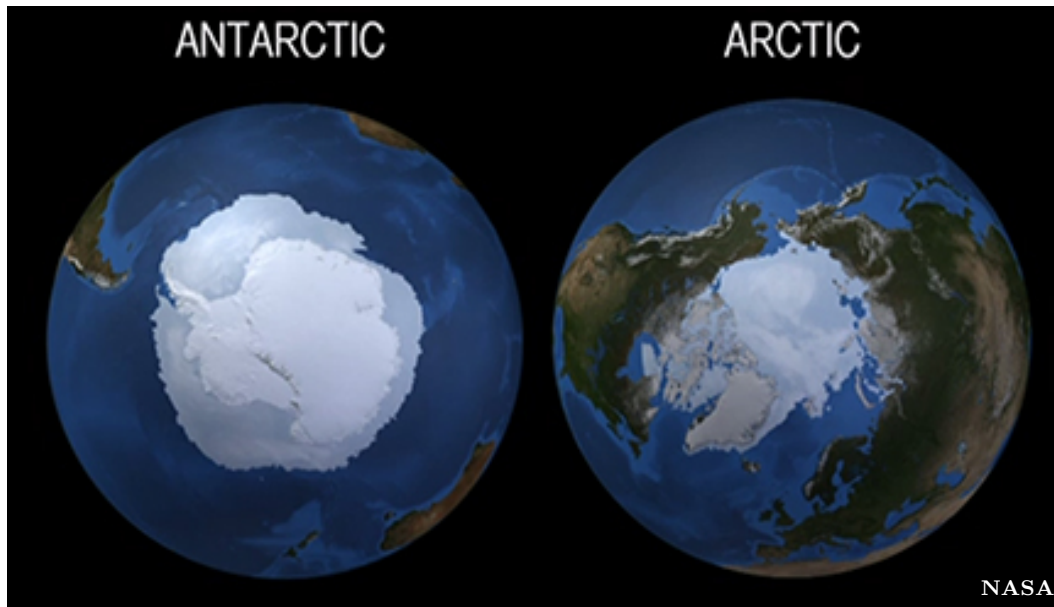
internal mechanisms

- none considered

↑

=> **periodicity of glaciation cycles**

How to model feedback by glaciation?



feedback mechanism:

less ice => lower albedo
lower albedo => ice melts

**complicated process
to model fully**

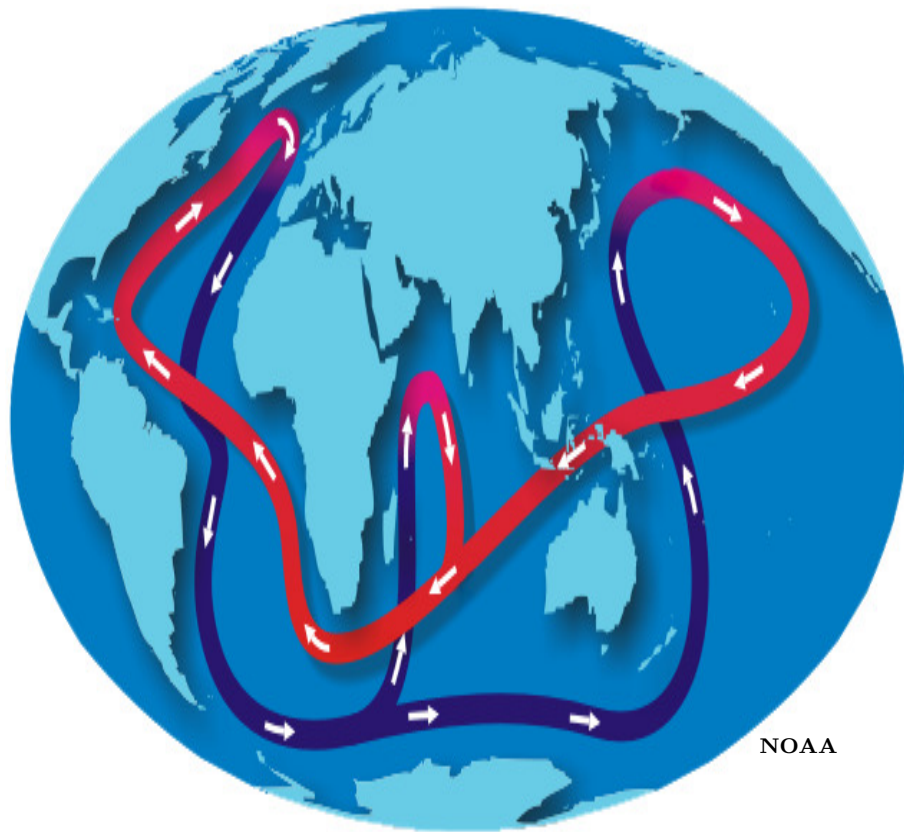
- 0D Earth? 1D Earth? 2D Earth?

- **Type of model?**

finite dim: **PWS** [Widiasih@MS80; Baek@MS123]
slow-fast ODEs [Engler;Kaper@MS129]

infinite dim: **DDE models** [Quinn@MS129]

Ocean circulation models: mid time scale

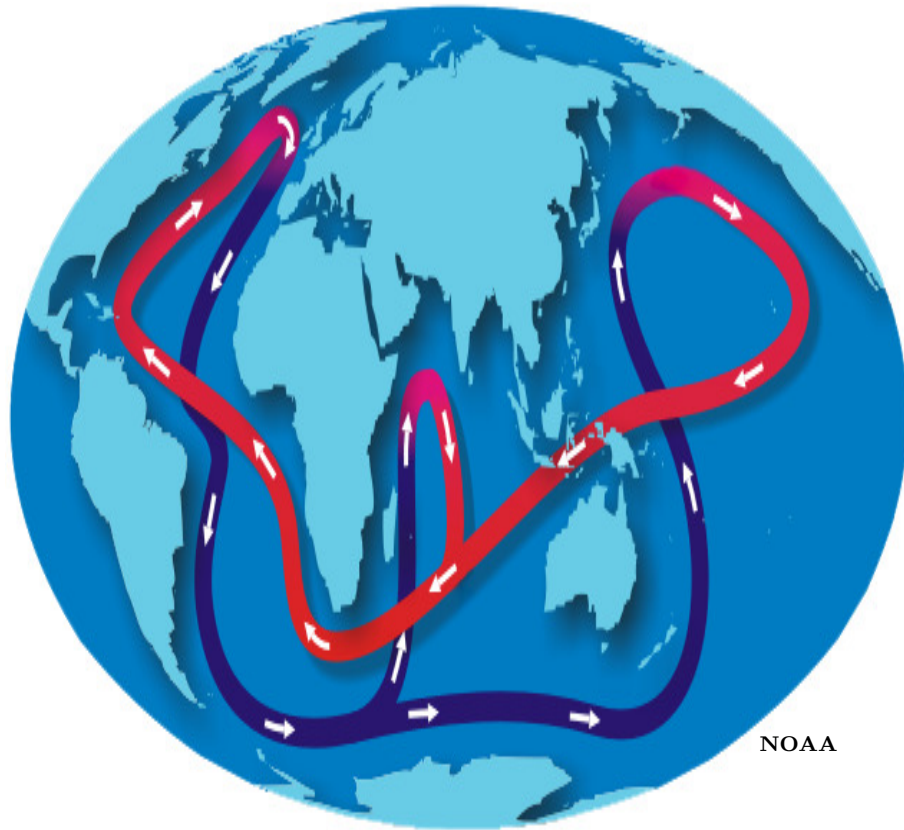


- <--- **radiation in**
- (constant) solar forcing
 - global/local glaciation (e.g., Greenland)

- > **radiation out**
- considered constant

- ↓
- ↑
- internal mechanisms**
- ocean currents/conveyors
 - ocean/atmosphere coupling

Ocean circulation models: mid time scale



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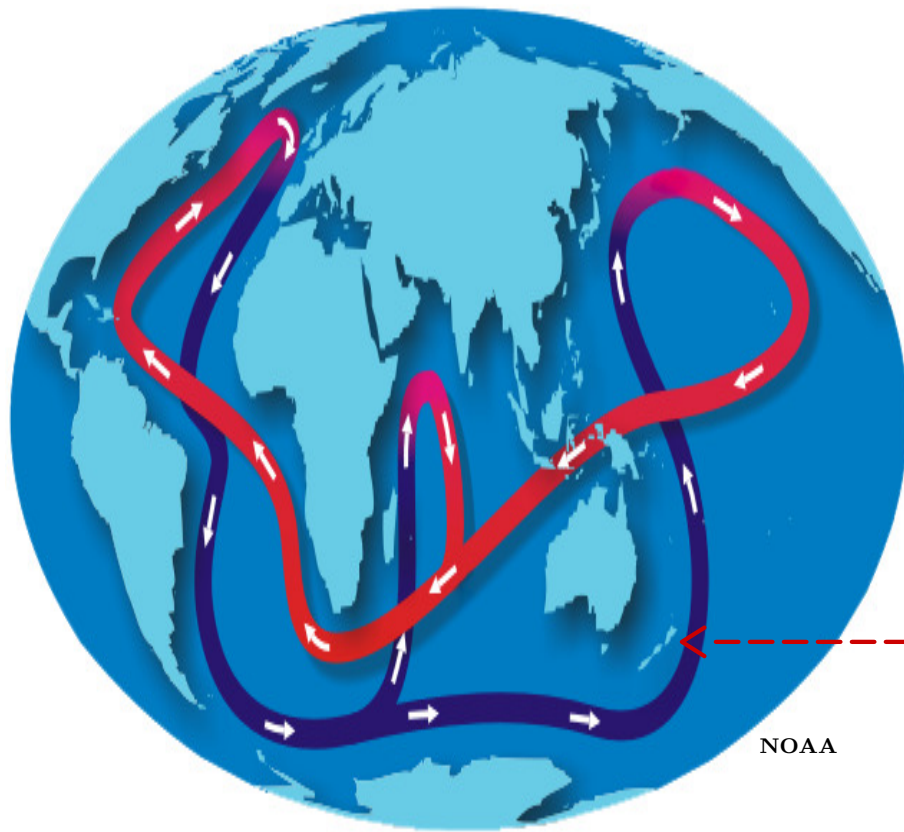
internal mechanisms

- ocean currents/conveyers
- ocean/atmosphere coupling

↑

=> **tipping points**
e.g., of Gulf stream
[MS80/93]

Advertising break: Lectureship in Applied Maths



University of Auckland

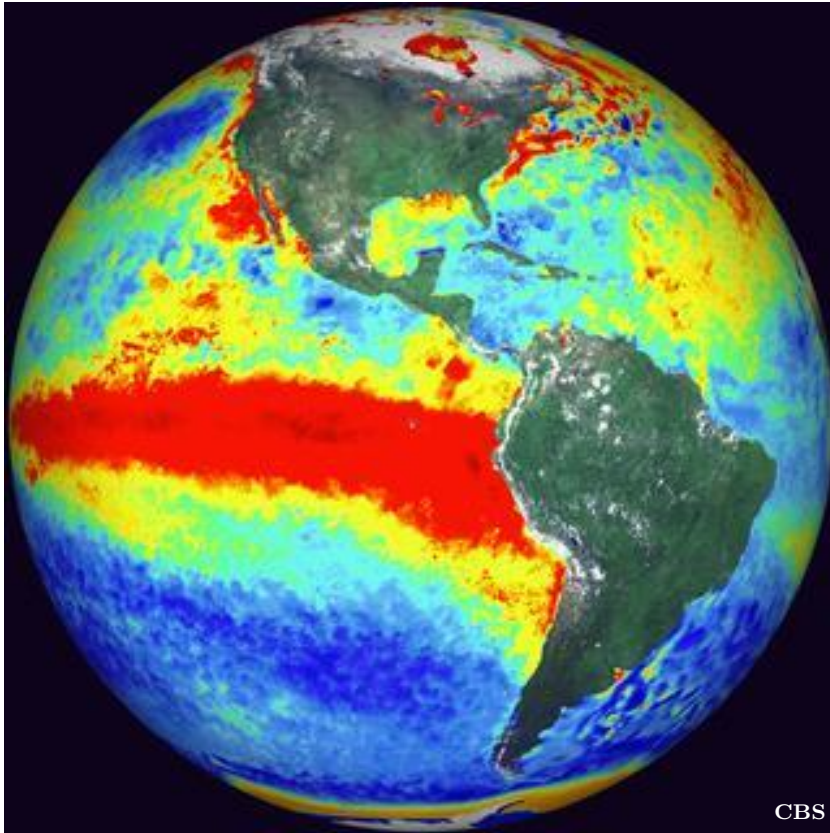
[View from the office]

apply by
11 June 2017

[details are on DsWeb]



El Niño models: human time scale

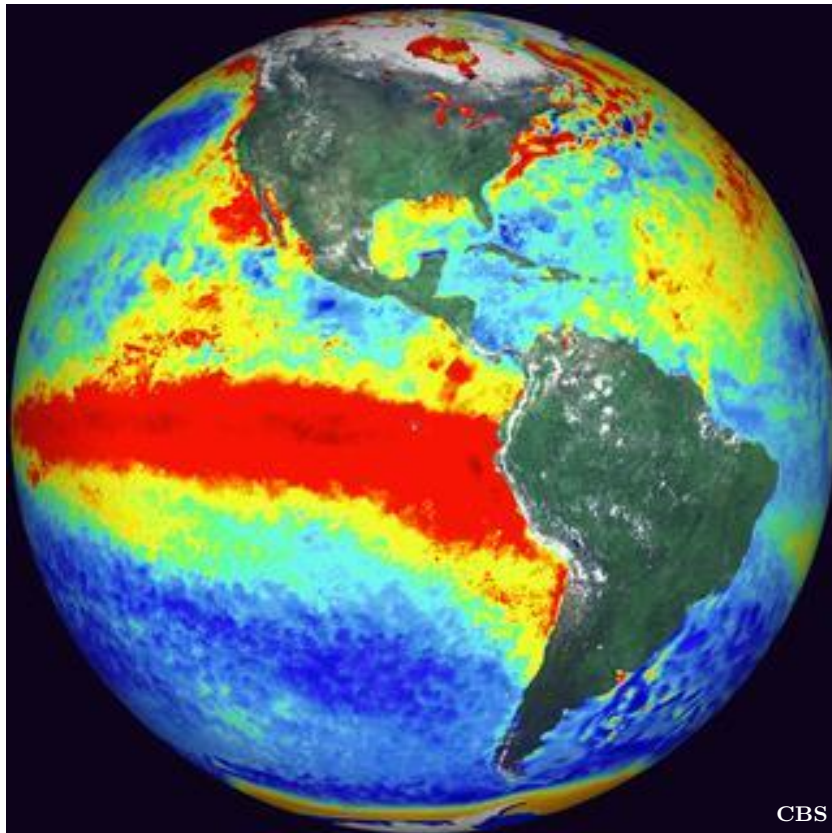


<--- radiation in
• periodic annual solar forcing cycle

---> radiation out
• considered constant

↓ ↑
internal mechanisms
• transport by ocean waves
• ocean/atmosphere coupling

El Niño models: human time scale



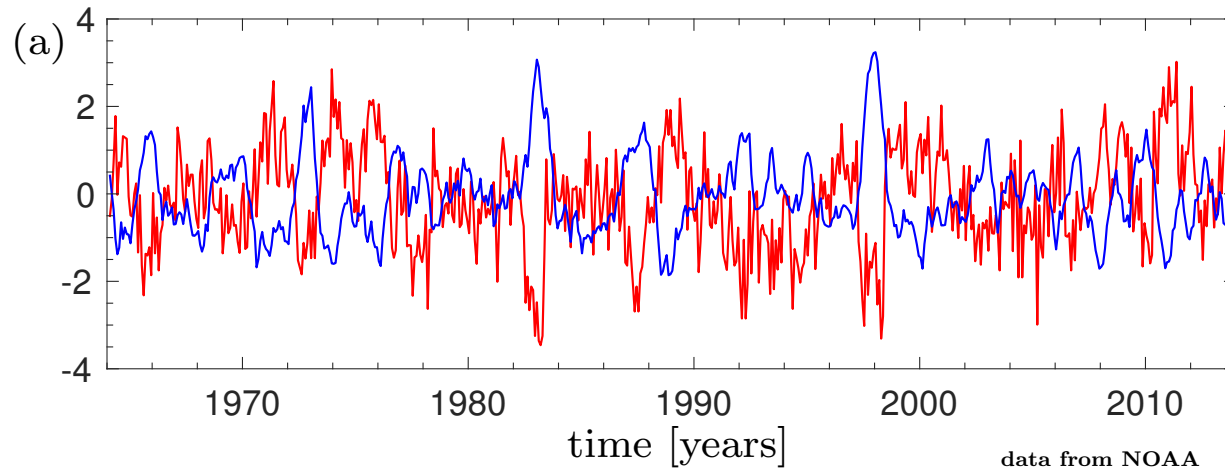
<--- radiation in
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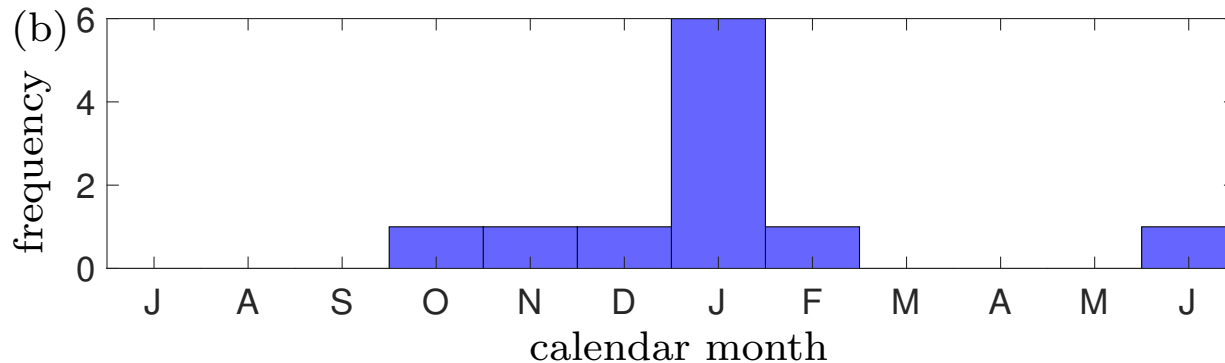
↓
↑
internal mechanisms
• transport by ocean waves
• ocean/atmosphere coupling

=> complicated dynamics with much higher SST about every 4–7 year

El Niño: the phenomenon



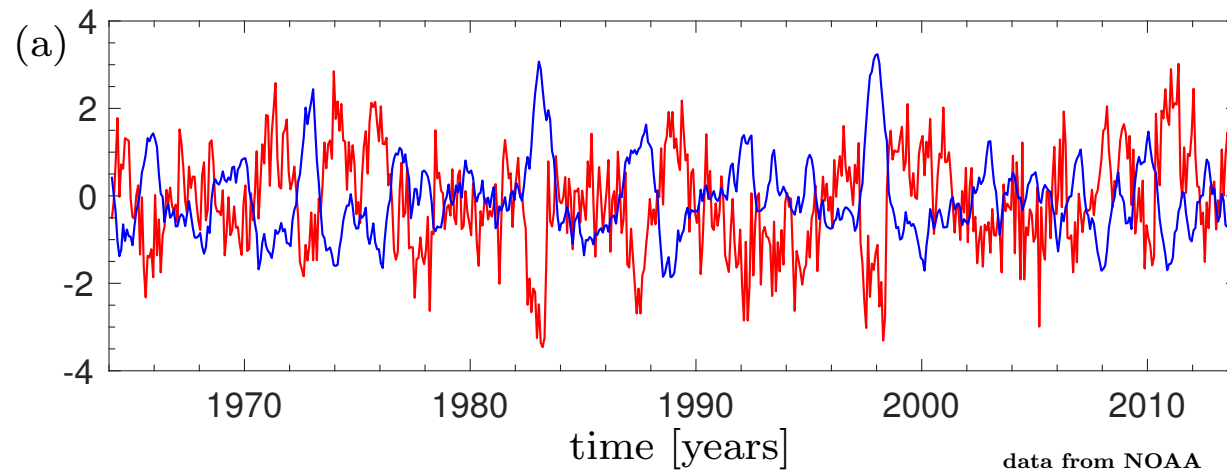
SST off Peru
SO index



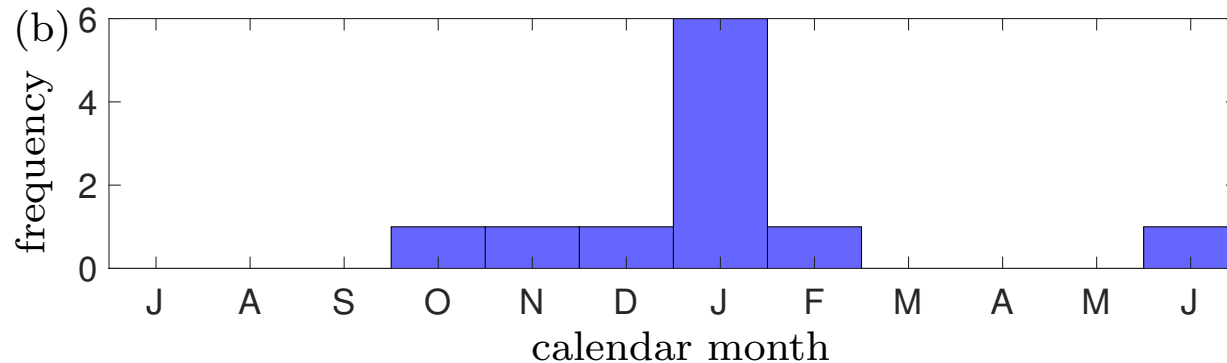
seasonal locking
of large events

Overall: coupled system called ENSO,
with El Niño events
about every 4–7 year

El Niño: the phenomenon



SST off Peru
SO index

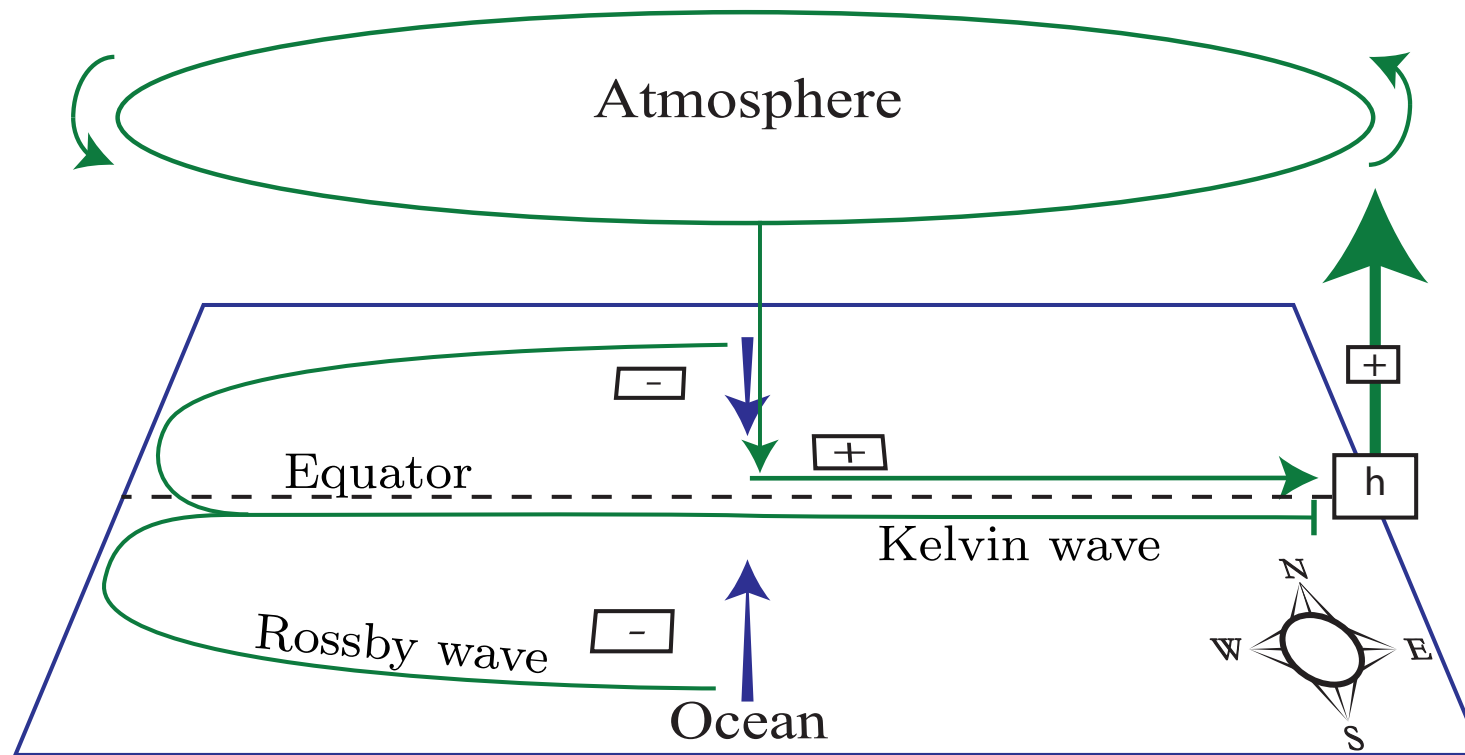


seasonal locking
of large events

Overall: coupled system called ENSO,
with large El Niño events
about every 4–7 year

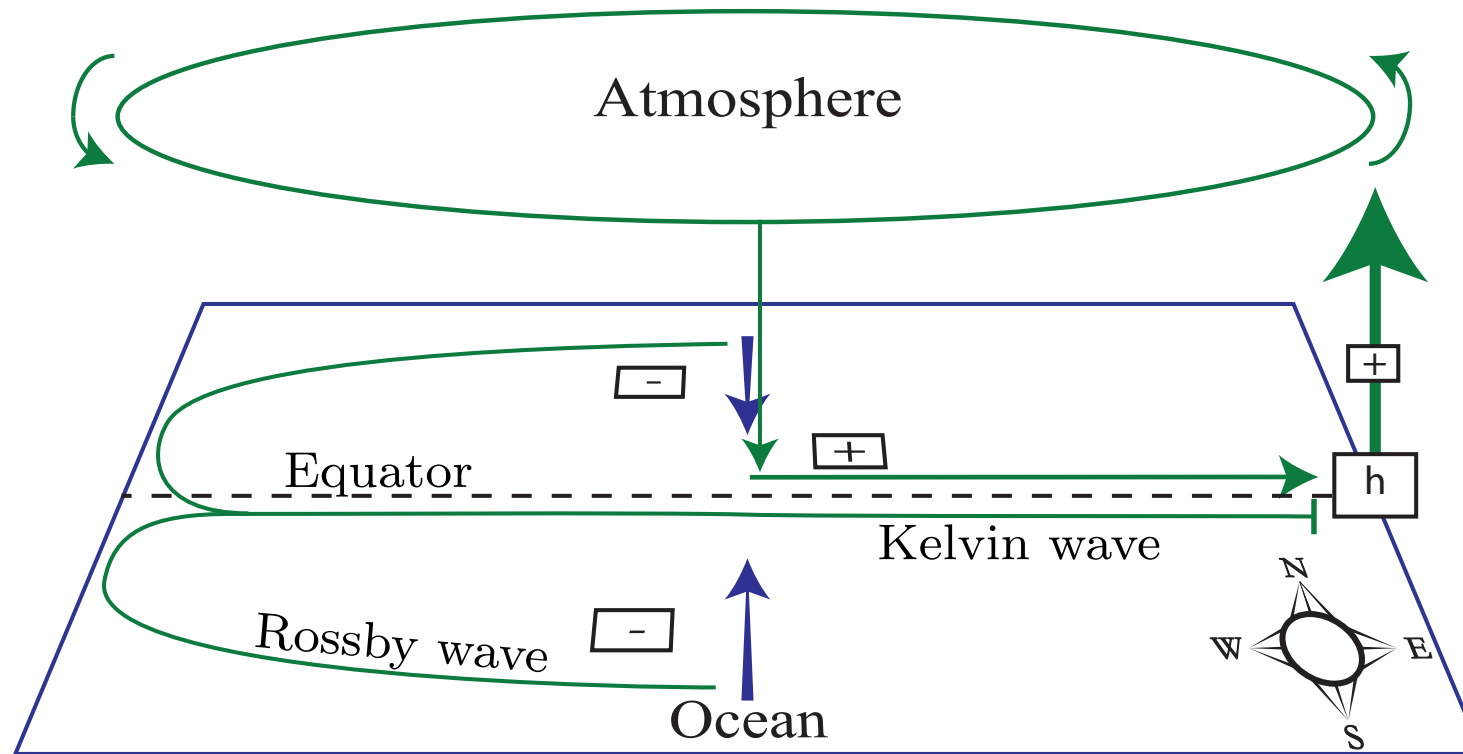
For its global importance, watch the news!

El Niño: mechanisms behind models



SST $h(t)$ at equatorial western edge of Pacific Ocean

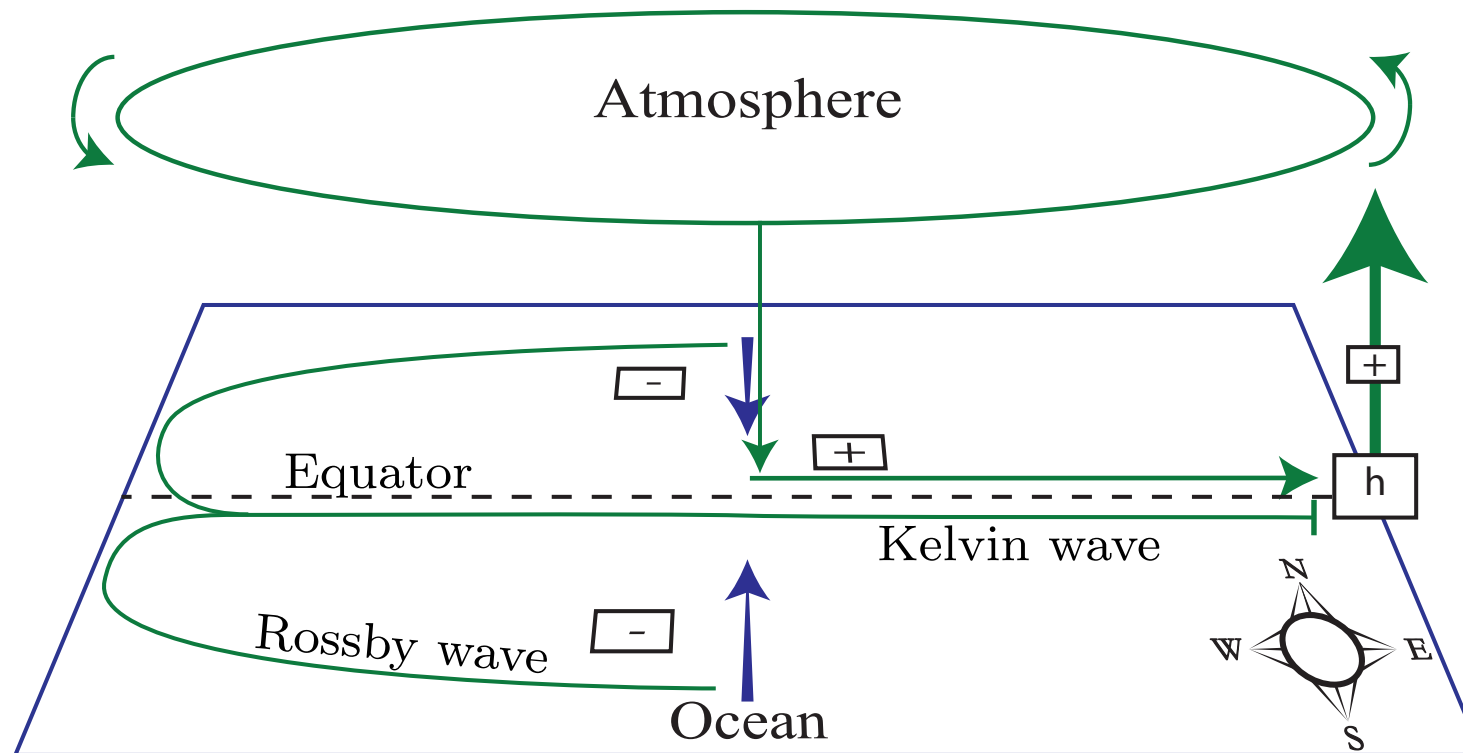
El Niño: mechanisms behind models



SST $h(t)$ at equatorial western edge of Pacific Ocean

- **interaction zone** in central Pacific Ocean

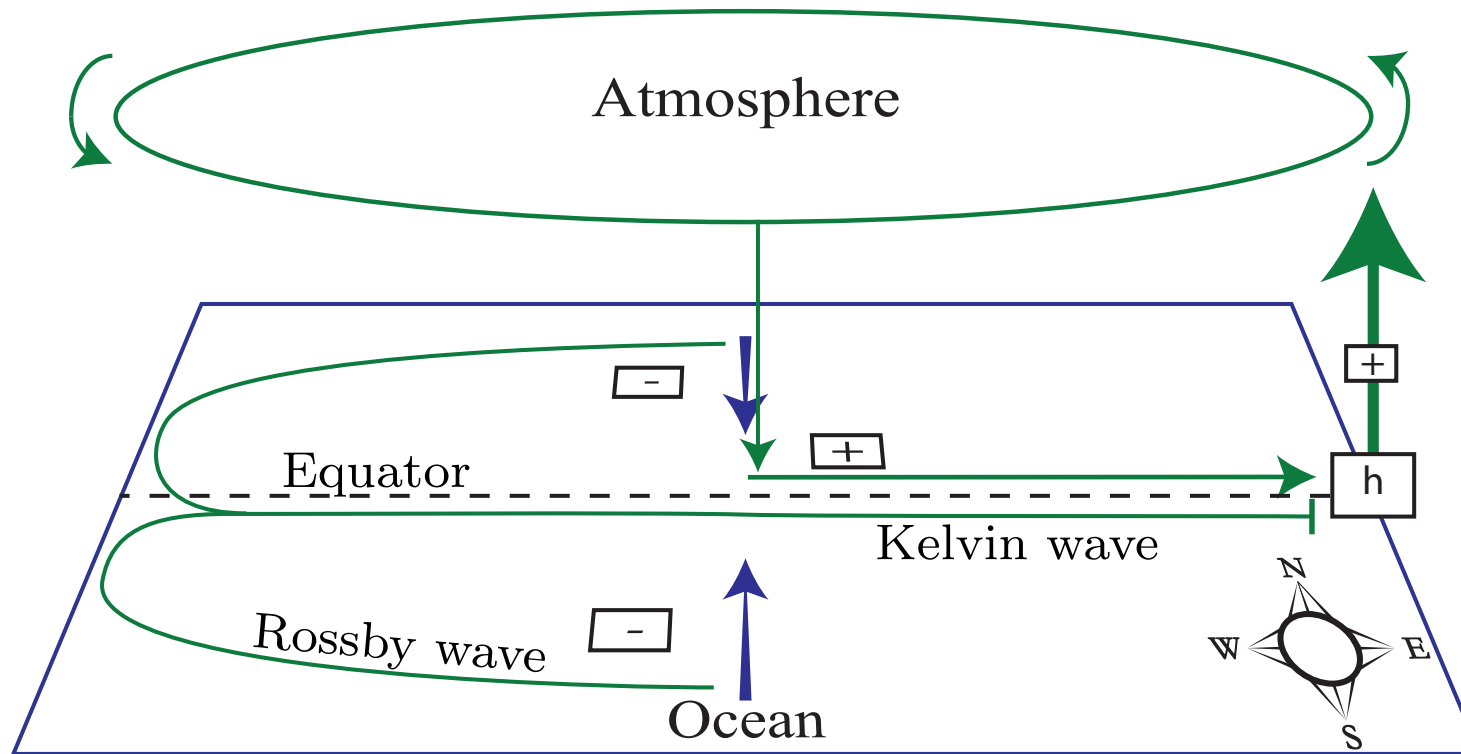
El Niño: mechanisms behind models



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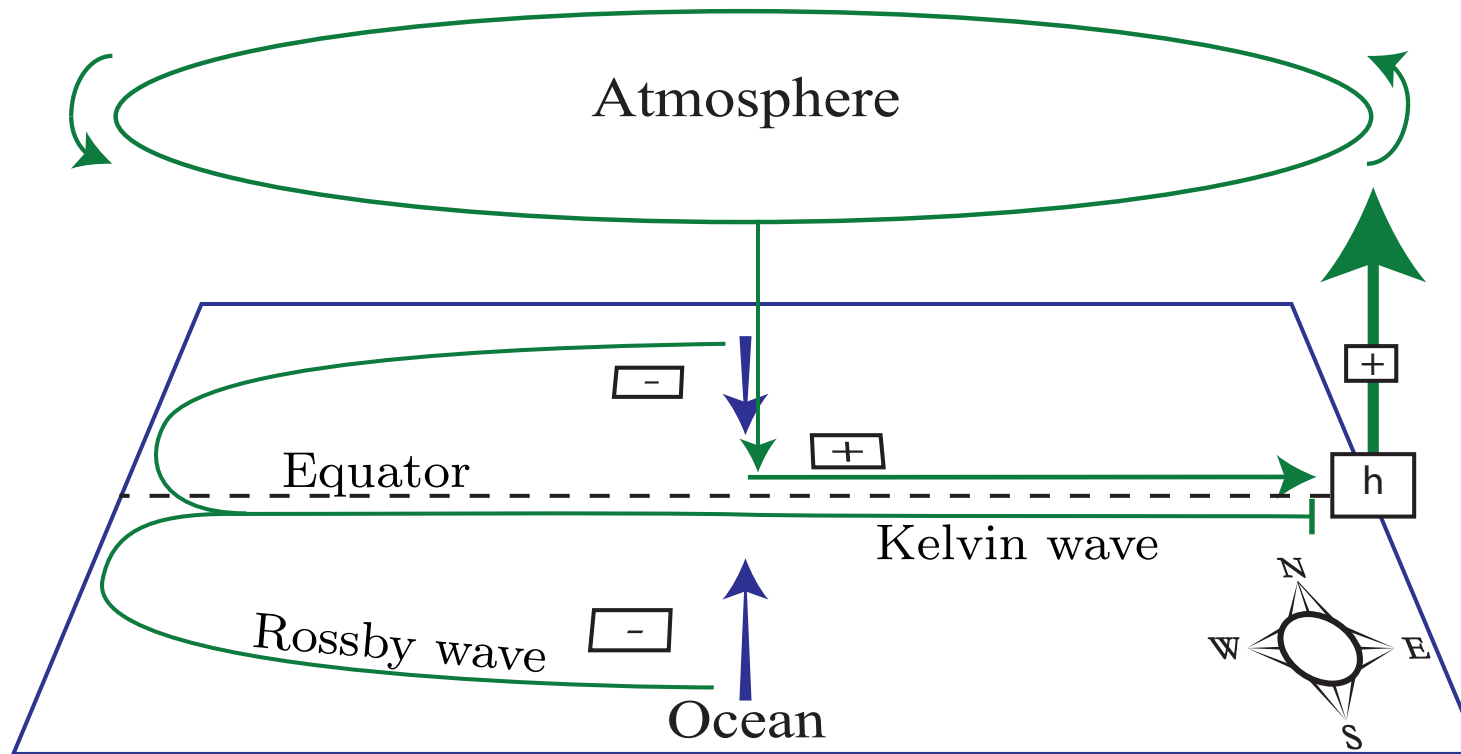
El Niño: mechanisms behind models



SST $h(t)$ at equatorial western edge of Pacific Ocean

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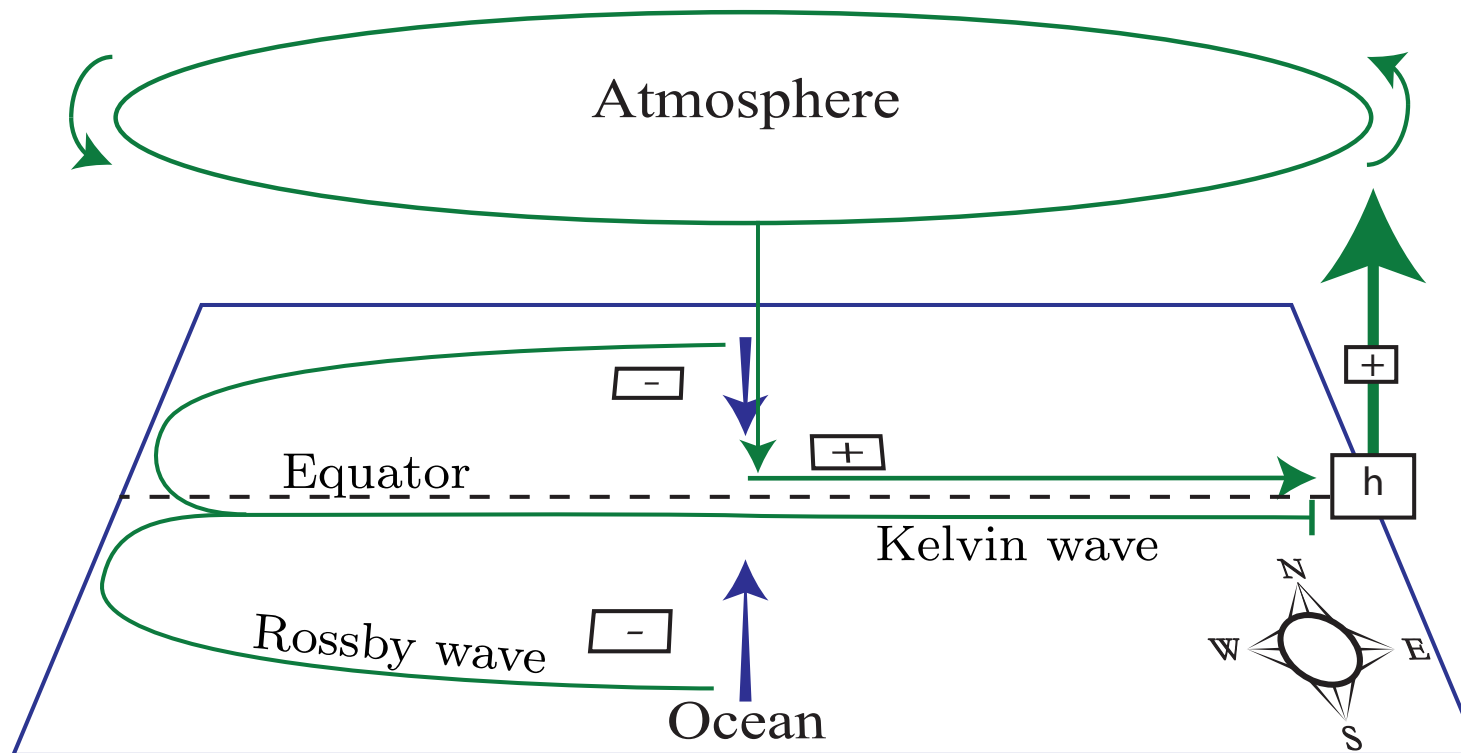
El Niño: mechanisms behind models



SST $h(t)$ at equatorial western edge of Pacific Ocean

- **interaction zone** in central Pacific Ocean
- **positive feedback** via Kelvin waves
- **negative feedback** via Rossby/Kelvin waves
- **annual periodic forcing** by the sun

El Niño: mechanisms behind models



SST $h(t)$ at equatorial western edge of Pacific Ocean

- **Type of model?**

finite dim: **slow-fast ODEs** [Guckenheimer@MS99]

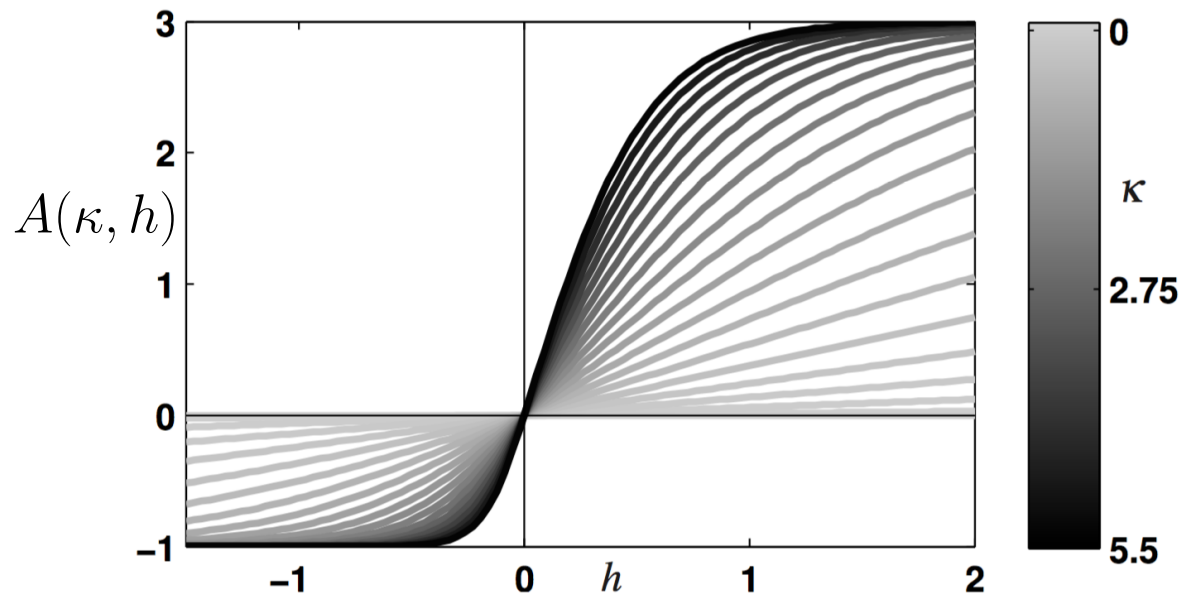
infinite dim: **DDE models** [Keane@MS72]

El Niño: a delay differential equation model

$$\dot{h}(t) = aA(\kappa, h(t - \tau_p)) - bA(\kappa, h(t - \tau_n)) + c \cos(2\pi t)$$

$$\text{with } A(\kappa, h) = \begin{cases} d_u \tanh\left(\frac{\kappa}{d_u} h\right) & \text{if } h \geq 0 \\ d_l \tanh\left(\frac{\kappa}{d_l} h\right) & \text{if } h < 0 \end{cases}$$

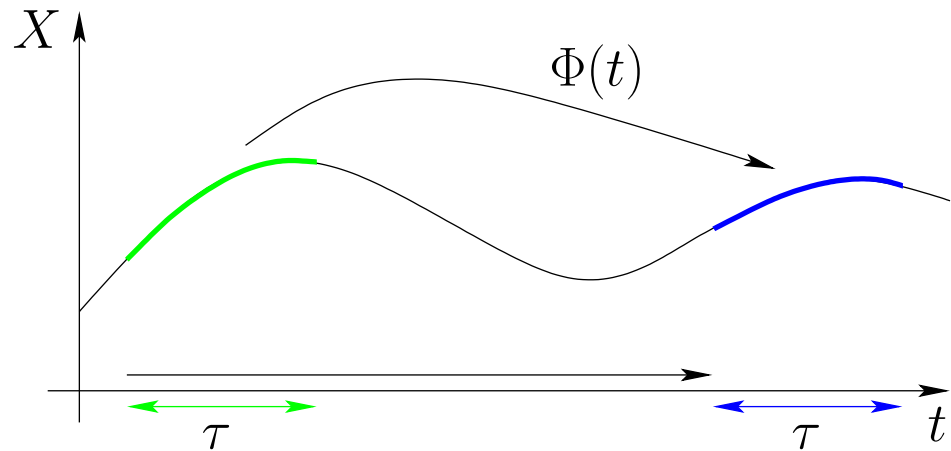
[Tziperman, Stone, Cane & Jarosh, Science-AAAS 264, 1994]



- a strength of pos f/b
- τ_p delay of pos f/b
- b strength of neg f/b
- τ_n delay of neg f/b
- κ ocean/atmos coupling
- d_u upper asymptote
- d_l lower asymptote
- c strength of forcing

DDEs with constant delays

$$\frac{dx(t)}{dt} = F(x(t), x(t - \tau), \lambda) \text{ where } \tau \text{ is a constant delay}$$

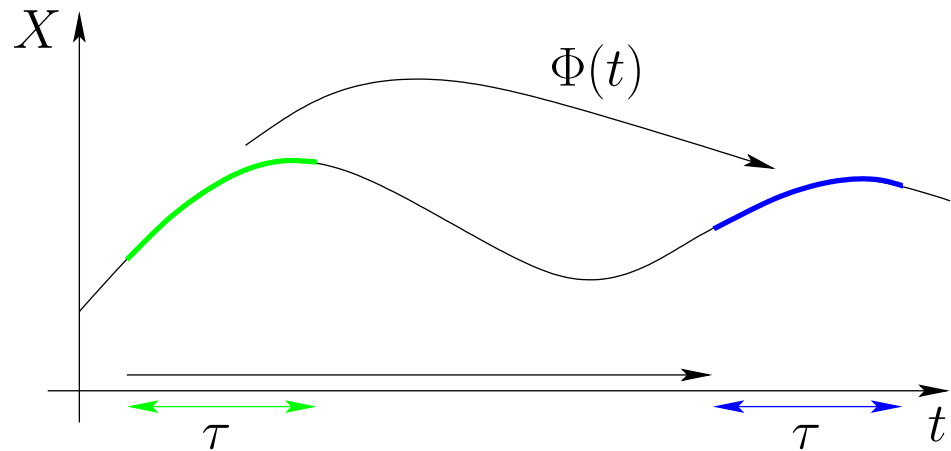


The **evolution operator** $\Phi(t)$ describes how an initial condition (history segment of length τ) evolves in time.

- The phase space is the **infinite-dimensional** space of continuous functions with values in X -space.
- Discrete spectra of equilibria and periodic orbits
- One finds the **standard bifurcations** as for ODEs
- Continuation software exists

DDEs with constant delays

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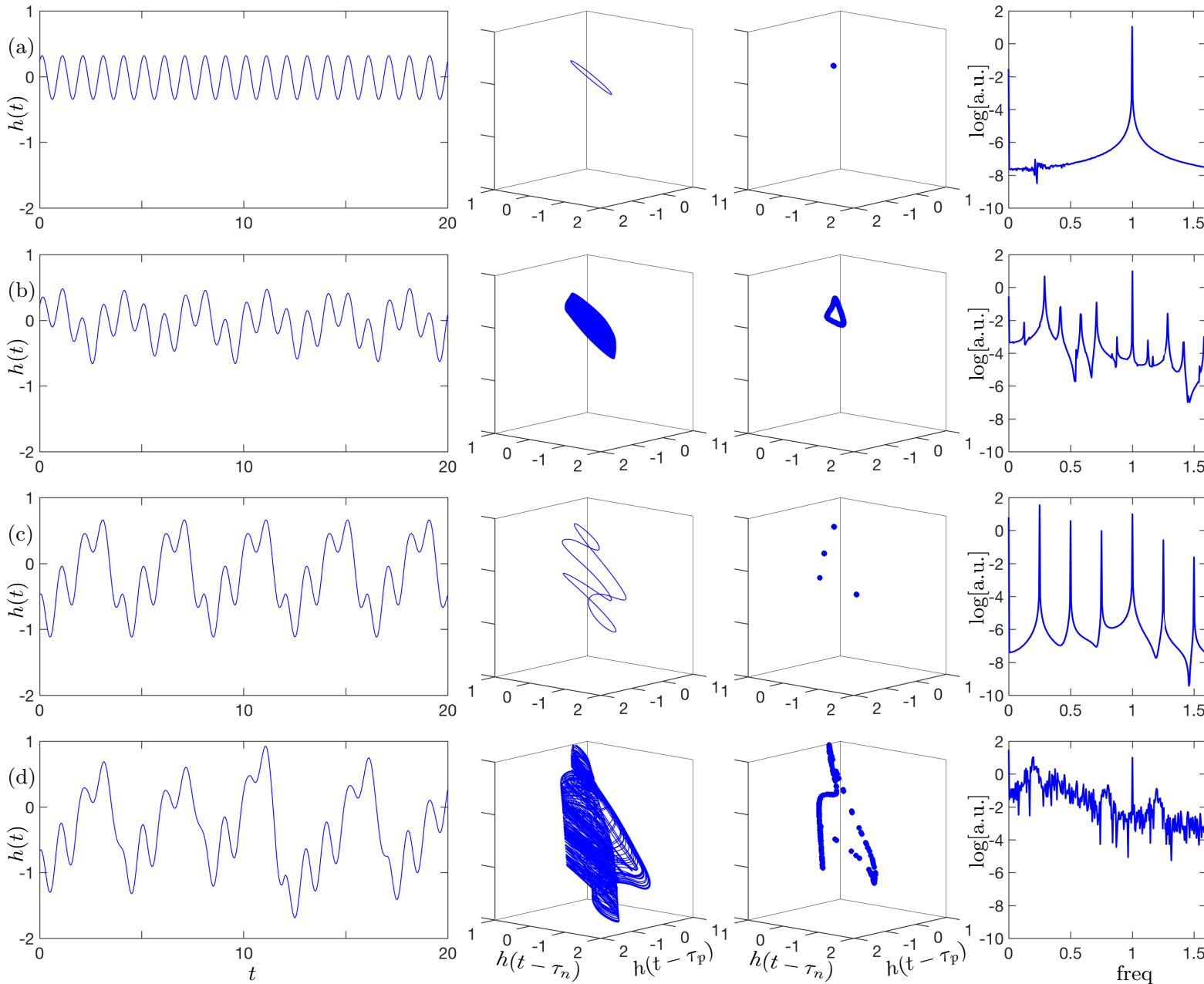


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$$\text{DDE (for fixed } \tau_i) = \text{ODE}++$$

El Niño: solutions of DDE model



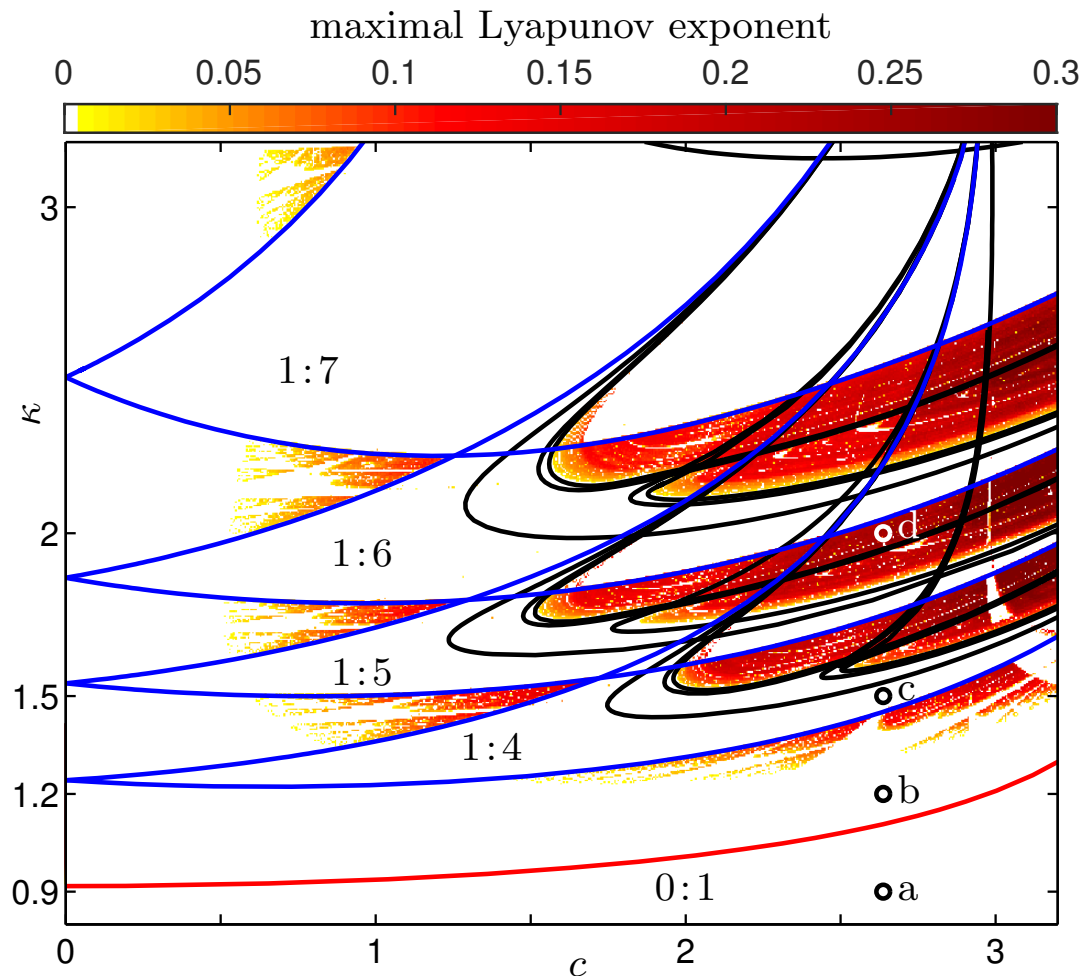
**forcing
dominated**

q/p torus

locked torus

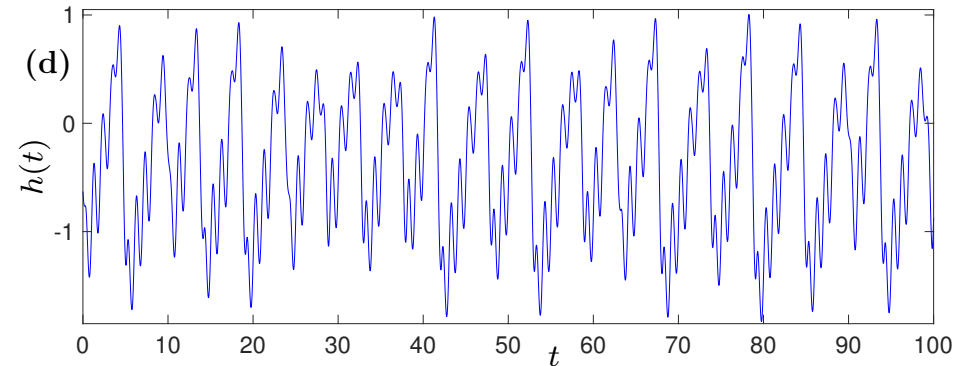
chaos

El Niño: bifurcation analysis of DDE model



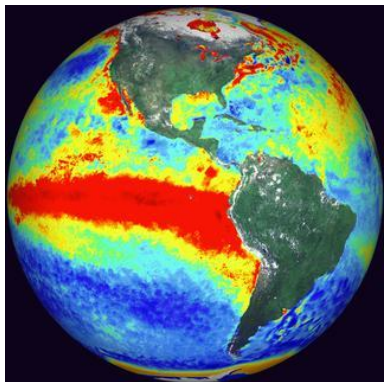
[Keane, K & Postlethwaite, SIADS, 2017] and MS72

[Keane, K & Postlethwaite, Review, 2017, submitted]



- resonance structure
- transition to chaos
- large ‘regions’ of chaos
- chaotic time series with ENSO characteristics
- one can determine roles of individual feedback mechanisms

Conclusions and outlook



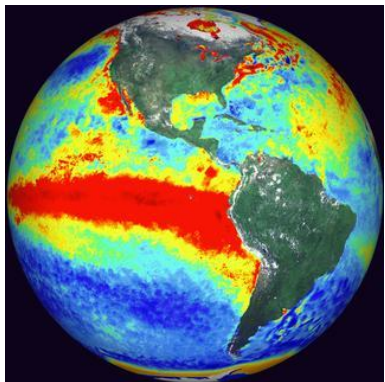
Conceptual models have a role to play

- choose your **time scale** of interest
- choose your **mechanisms** of interest
- choose your favourite **model class**:
piecewise smooth or slow-fast;
delay equations or PDEs

Challenges:

- compare different modeling approaches
- non-constant nature of f/b mechanisms
- comparison with measurements
- inclusion of actual data

Conclusions and outlook



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Enjoy MS123/129!